

# **International Classification of Impairments, Disabilities, and Handicaps**

A manual of classification  
relating to the  
consequences of disease

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## FOREWORD TO THE 1993 REPRINT

The *International Classification of Impairments, Disabilities, and Handicaps (ICIDH)*, developed in the 1970s, was issued by the World Health Organization in 1980 as a tool for the classification of the consequences of disease (as well as of injuries and other disorders) and of their implications for the lives of individuals. It now exists in 13 languages<sup>1</sup> and further versions in other languages are in preparation; more than 15 000 copies of the English and French versions and over 10 000 copies in other languages have been distributed. The bibliography maintained by the WHO Collaborating Centre on ICIDH in the Netherlands lists over 1000 references to the ICIDH. Published comments on the ICIDH include such remarks as: “[The ICIDH] concepts provide the key to rational management of chronic diseases”; at the same time, however, concern has been expressed that the ICIDH does not state clearly enough the role of social and physical environment in the process of handicap, and that it might be construed as encouraging “the medicalization of disablement”. (The term “disablement” is used here to encompass the full range of impairment, disability, and handicap.)

The ICIDH belongs to the “family” of classifications developed by WHO for application to various aspects of health and disease. The best established is the *International Statistical Classification of Diseases, Injuries, and Causes of Death (ICD)*, the Ninth Revision of which (ICD-9) was issued shortly before the publication of the ICIDH. The first volume of the Tenth Revision of the ICD (ICD-10), published in June 1992, includes various changes, for instance in the area of mental and behavioural disorders, which will have to be taken into account in a revised ICIDH.

The dissemination and application of the ICIDH, as well as the advocacy role of organizations and bodies devoted to the problems of people with disabilities, have been accompanied by important changes in the way impairments, disabilities, and handicaps, and the various problems that may arise in each of these three areas are perceived and addressed. The listing of the classification items has allowed a better description and facilitated the assessment of people with disabilities and of their situation within a given physical and social environment.

This foreword is intended to clarify certain aspects of the 1980 introduction which have been the subject of much discussion, and to indicate some of the issues to be addressed in a forthcoming revision. It also offers an opportunity to provide information on the range of uses of the ICIDH and on the developments that have occurred as a result of its publication. In other respects, the manual is essentially unchanged and includes the original introduction.

Two Collaborating Centres for the ICIDH have been established, in France (*Centre technique national d'Etudes et de Recherches sur les Handicaps et les Inadaptations*) and in the Netherlands (Standing Committee for Classifications and Terminology). Together with other WHO Collaborating Centres for Health-related Classifications, notably those in

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<sup>1</sup> A list of translations is available on request from Strengthening of Epidemiological and Statistical Services (SES/HST), World Health Organization, 1211 Geneva 27, Switzerland.

Uppsala, Sweden, for the Nordic countries, and at the National Center for Health Statistics, Hyattsville, MD, USA for North America, with groups such as the Canadian Society for the ICIDH and the *Réseau pour l'Etude de l'Espérance de Vie en Santé/International Network on the Study of Healthy Life Expectancy* (REVES), and with intergovernmental organizations such as the Statistical Division of the United Nations Department of Social and Economic Development, and the Council of Europe, these Centres have formed a technical network which has been in operation since 1987. The Council of Europe has established a Committee of Experts for the Application of the WHO International Classification of Impairments, Disabilities, and Handicaps, which brings together representatives of 14 countries, plus five observers. This Committee has examined specific applications of the ICIDH in rehabilitation work, surveys, and the collection of statistics, in the study of mental retardation, the assessment of vocational capacity, the assessment of technical enabling devices, and the application of the concept of handicap. The *Real Patronato de Prevención y de Atención a Personas con Minusvalía* in Madrid has undertaken a survey of the use of the ICIDH in Spanish-speaking countries.

### **Current applications of the ICIDH**

The ICIDH is intended to offer a conceptual framework for information; the framework is relevant to the long-term consequences of disease, injuries, or disorders, and applicable both to personal health care, including early identification and prevention, and to the mitigation of environmental and societal barriers. It is also relevant to the study of health care systems, in terms both of evaluation and of policy formulation. The concepts of the ICIDH have elicited much philosophical interest, and its applications have covered activities in social security, the design of population surveys at local, national, and international levels, and other areas, such as the assessment of working capacities, demography, community needs assessment, town planning, and architecture. Although the ICIDH is inherently a health-related classification, future documentation and development will need to reflect a broader spectrum of applications and users.

A primary application of the ICIDH has been in describing the circumstances of individuals with disabilities across a wide range of settings. The ICIDH has been directly applied to the care of individuals in diagnosis and treatment, evaluation of treatment results, assessment for work, and information. Reports on its use in personal health care have come from nurses, occupational therapists, physicians, physiotherapists and others working with a wide variety of people, including elderly people, children and adolescents, and psychiatric patients, in many widely different countries, including Australia, the Netherlands, Pakistan, Spain, Venezuela, and Zimbabwe. The ICIDH is also used to assess patients in rehabilitation, in nursing homes, and in homes for the elderly; its use in these areas has facilitated communication between various categories of workers and coordination between different types of care.

At the institutional level the ICIDH has been used to assess the numbers and type of staff required, and to study discharge policies and the characteristics of health-care utilization. At the community level, it has helped in identifying the needs of people with disabilities and handicaps, identifying handicapping situations in the social and physical environment, and formulating the policy decisions necessary for improvements in everyday life, including modifications of the physical and social environment.

In the areas of social security, occupational health, and employment, the ICIDH serves as an actual or potential basis for various assessments: for decisions on allowances, the orientation of individuals, and the nomenclature of handicaps in France, for the assessment of working abilities in Germany and the Netherlands, and for access to institutional care and to enabling devices in Italy and in Belgium (by the Flemish Fund for the Social Integration of Persons with a Handicap). Switzerland is investigating the use of the ICIDH in health insurance nomenclature.

The framework of the ICIDH has been used successfully by demographers, epidemiologists, health planners, policy-makers, and statisticians in disability surveys at national, regional, and local levels in several countries, both developed (*inter alia* Australia, Canada, Netherlands) and developing (*inter alia* Algeria, China, Fiji, Kuwait). Definitions used in the ICIDH have also served as a basis for surveys in the Netherlands, the United Kingdom, and most notably Spain, and for analysis of survey results. The statistical tools developed by the Statistical Division of the United Nations Department of Social and Economic Development for the international monitoring of population and household censuses, surveys, and administrative systems include an *International Disability Statistics Database* (DISTAT), which uses a framework based on the ICIDH; DISTAT covers national statistics from over 95 countries both in machine-readable form and as a printed *Compendium on Disability*. ICIDH concepts and definitions have been similarly used to determine various types of demographic indicators of Healthy Life Expectancy (impairment-free, disability-free, or handicap-free life expectancy) for a range of developing and developed countries. The use of the ICIDH in surveys has highlighted the relationships between impairment and disability and between disability and handicap; indeed, whether a survey is based on the concept of impairment or on that of disability can lead to marked differences in the resulting assessment of the population.

At the conceptual and policy levels, the use of the ICIDH has changed the ways in which disabilities themselves, people with disabilities, and the role of the physical and social environment in the development of handicap are considered. It has also changed some of the policy, planning, and administrative reactions of governments, organizations, and individuals to these concepts. The action taken by France in the promotion of an adapted version of the ICIDH for the collection of data on social services, and in the application of a *Nomenclature des handicaps* based on the ICIDH can be taken as one example (similar action is being considered in other countries); recent legislation in Italy is another. In Quebec, Canada, a systematic approach to policies on impairment, disability, and handicap is largely based on the ICIDH. Active interest in the ICIDH has recently been demonstrated in the USA, during and after the preparation of the *Americans with Disabilities Act* and in the publication of a major report on *Disability in America*. Even by those who do not necessarily accept it as the dominant framework, the ICIDH is widely recognized as an important standard for a conceptual framework in this field.



### Some problems identified in the use of the ICIDH

An important task in the revision of the ICIDH will be to clarify the role and interrelationships of environmental factors in the definition and development of the different aspects addressed by the ICIDH, most notably – but not exclusively – handicap. A report of the United Nations Commission on Human Rights for its Forty-third Session on Human Rights and Disability encouraged WHO to revise the ICIDH and to consider more specifically the role of the environment in the development of the handicap process. Much work has addressed conceptual developments for this topic, notably the proposals issued by the Canadian Society for the ICIDH on the development of the handicap process. The role of the social and physical environment is briefly addressed in the original introduction to the ICIDH (see page 14):

**“Handicap is more problematical. The structure of the Handicap classification is radically different from all other ICD-related classifications. The items are not classified according to individuals or their attributes but rather according to the circumstances in which people with disabilities are likely to find themselves, circumstances that can be expected to place such individuals at a disadvantage in relation to their peers when viewed from the norms of society.”**

This will require elaboration in the revised version. The Handicap classification is a classification of situations and not of individuals: the word “circumstances” is to be considered as referring not only to statistical aggregates of individuals, but also to characteristics of the physical and social environment. Indicators for both categories are also under development in the field of health promotion.

The categories of impairment, disability, and handicap remain robust; non-ICIDH-based models embody similar concepts, although they may use different terms. A number of models of the consequences of disease which incorporate other factors, such as the physical and social environment, have been proposed in the scientific literature, and will be considered during revision of the ICIDH, although most remain at the stage of theoretical development and empirical testing.

In several instances, there is a degree of overlap between disability and handicap as regards functional limitations and activities of daily living. This overlap also occurs between impairment and disability, for instance as regards: intellectual impairments; the distinction between aural, ocular, and language impairments and communication disabilities; incontinence; and physical independence. The problem of overlap will require further elaboration.

The current model of the consequences of disease and its graphic representation (see page 30) are effective in distinguishing between impairments, disabilities, and handicaps as separate concepts, but do not provide adequate information on the relationship between them. In particular, the arrows linking disease or disorder, impairment, disability, and handicap have occasionally been interpreted as representing a causal model and an indication of change over time. This representation does not allow for movement from handicap and disability back to impairment, as facilitated by appropriate interventions, and has thus been taken to imply a unidirectional flow from impairment, to disability, to handicap. Furthermore, the graphic representation of the ICIDH framework does not

adequately reflect the role of the social and physical environment in the handicap process. Although the original text states that the situation is more complex than a simple linear progression, this statement needs to be made more clearly — the arrows in the graphic presentation must be understood as meaning no more than “may lead to”. These issues, as well as alternative graphic representations, will be considered in the revision of the ICIDH.

### **Some proposed changes to the ICIDH**

The ICIDH has found a wider range of uses and users than was originally envisaged. Its value as a tool for planners and policy-makers has been amply demonstrated, notably in Canada and France, and this aspect should be stressed and extended in an introductory section of the revised version. Similar considerations apply to other facets of its use. Several reports comment that the ICIDH is not difficult to use, and this is encouraging; in an effort to broaden the application of the classification, revision should tend towards simplification rather than towards the addition of further detail. Revision, particularly of the impairment classification, must also take into account the needs of users who are not health professionals; for example, the revised version should include alphabetical indexes in addition to the index that now exists for impairments only. Consideration should also be given to the problem of application in specific population groups (e.g. children, because their status changes rapidly), and more space should be given to the problems of measurement of severity; the guidance and rulings on this included in the current version will be reconsidered.

In view of the concern about the way in which the definition of handicap is presented and understood, suggestions for revision include greater emphasis on presenting handicap as a description of the circumstances that individuals encounter as a result of interaction between their impairments or disabilities and their physical and social environment. An important task in the revision of the ICIDH will be to improve the presentation and illustration of the way in which external factors affect the ICIDH components. The introduction must stress the importance of the environment, together with the role and interaction of both individual characteristics and physical and social factors.

However, these factors, which are major components of the handicap process, should not be developed as an additional classification scheme within the ICIDH. Social and physical factors in the environment, and their relationship to impairment, disability, and handicap are strongly culture-bound. It is unlikely that a universally acceptable classification of these determinant factors is achievable at present, for the same reasons that preclude a universally accepted classification of the determinants of health. Nevertheless, classifications of environmental factors may prove useful in the analysis of national situations and in the development of solutions at the national level.

The classifications developed in the area of mental health, whether for the entire population or for specific age groups, are based mainly on the ICD. The chapter on mental and behavioural disorders has been thoroughly updated in the Tenth Revision of the ICD, and the ICIDH will have to reflect these changes, as well as those embodied in other publications. The Council of Europe Committee of Experts has recently drawn up a report discussing the present use of the ICIDH in the study of mental retardation. Recognizing the ICIDH as an important step towards generally accepted criteria for legal definitions,

the report of the United Nations Commission on Human Rights, mentioned earlier, encouraged WHO to revise the ICIDH, with special attention to the problems of impairments and disabilities related to mental health. Similar concerns apply to the problems of cognitive function often associated with aging. Publication of the French-language version of the ICIDH in 1988 evoked particular interest among psychiatrists; as WHO Collaborating Centre for the ICIDH, the *Centre technique national d'Etudes et de Recherches sur les Handicaps et les Inadaptations* (CTNERHI) is devoting an important part of its activities to the applications of the ICIDH and its relation to other classifications in mental health. As a result of these developments, the area of mental health will be treated with particular care in the revision of the ICIDH.

The revision process will also address detailed changes to items within the classification, and must take into account the improved understanding of basic biological mechanisms, particularly as regards impairments. In some cases this will have implications for preferred terminology and will entail changes to terms that have become obsolete.

The current version of the ICIDH contains definitions and examples that are highly culture-specific (e.g. references to "pouring tea") or that are inappropriately characterized according to sex; these will be corrected whenever possible. In addition, typographical and factual errors, and definitions no longer consistent with those in ICD-10 will be similarly remedied. A small number of these have been corrected in the present reprint.

An "umbrella" term is needed to encompass the spectrum of experiences linked to impairment, disability, and handicap: the term "disablement" has been suggested, but is not universally accepted. In some languages there appears to be no single suitable term. The official French-language version, for example, uses "handicap" as an umbrella term, stressing that it does not cover a monolithic reality, but is the result of different levels of experience; this version also uses a term signifying "disadvantage" for the third level of experience in the classification (as do the Italian, Japanese, and Portuguese versions). French-speaking Canadians, on the other hand, appear to prefer the word "handicap" for this third level and do not make use of an umbrella term. Agreement on the use of an existing term or on the introduction of a new term will require much thought and discussion during the revision of the ICIDH.

Training and presentation materials for the ICIDH have been developed, notably in France by CTNERHI, and in Quebec, Canada (as a video presentation). In addition, the *Real Patronato de Prevención y de Atención a Personas con Minusvalía* in Madrid has supported the development of a computer-based program in Spanish for its application. Identification and sharing of these and other experiences, and some standardization of approaches, will enhance their usefulness.

The revision of the ICIDH will be based upon a review of reports and documents describing its use, and consultation with expert representatives from relevant disciplines. The opinions of international and nongovernmental organizations, including organizations of people with disabilities, which deal with different aspects of disability will continue to be sought and considered throughout the process of revision, and representation of experiences from countries in the various Regions of WHO will be ensured.

## INTRODUCTION

The ability-capability gap, the discrepancy between what health care systems can do and what they might do, constitutes one of the greatest challenges for those concerned with health care and welfare. The hope is widely shared that improvements in the availability of relevant information could make an important contribution to the development of policies more appropriate for the solution of these problems. Choices are being made all the time, even if only by default. It is assumed that the quality of choices would be enhanced if the degree to which decisions were related to information, a description of the situation as it is, was increased. The classification schemes in this manual are offered as frameworks to facilitate the provision of such information.

### **Information relevant to health experiences**

The material incorporated in this manual is concerned with dimensions of health-related experience complementary to those embraced by the concept of disease. The manual is published by the World Health Organization, albeit only for trial purposes, in response to a resolution of the World Health Assembly. Inescapably, this carries with it the implication that information about these additional dimensions is useful and even necessary for health service planning. As the collection of this extra information can only increase the burden on existing information systems, it is prudent to begin by indicating why the need for it arises.

### *Routinely available data*

The organization and planning of a health care system are generally based on information generated routinely from the system. This tends to be of two types. First, there are indicators of need, such as recorded morbidity experience. Second, there are data that are the by-products of administrative requirements; these consist of information on resource inputs, such as manpower or hospital beds, or derivatives from these such as waiting lists, and on resource utilization, such as patient loads in various sectors of the system.

All this information is the product of an existing health care system, and it is therefore subject to the same assumptions as those underlying available health care processes. In other words, questions of utility and relevance, as they concern the appropriateness both of the care system and of the inform-

ation that derives from it, are difficult to examine. This means that the potential for fundamental appraisal or evaluation of the processes is very limited, and as a result alternative approaches may too readily be neglected.

If health care processes are to be evaluated, they must be goal-oriented, because the appraisal is concerned with the extent to which goals are attained. The prime requirement is for clearly specified outcome goals. This would permit study of the extent to which these outcome goals are met, the effectiveness of the particular health care process; the inputs necessary to attain this, the efficiency of the process; and its availability and uptake, the equality of its distribution. <sup>1</sup> Management is always likely to be processing data on resource provision and utilization but, as should be evident, these are relevant mainly to efficiency and equality goals. Although attempts have been made to evaluate effectiveness by these means, resource data can serve only as proxies for what is of real concern.

#### *Data for evaluation*

Three aspects are fundamental to any attempt to evaluate the effectiveness of health care processes. These are:

- i) contacts made with the system;
- ii) how the system responds to contact; and
- iii) the outcome of contact.

Assessment of the second of these, how the system responds to contact, requires only brief consideration. In principle the means for structuring information relevant to this aspect are widely available. Thus resource data of the types already indicated can be used for global appraisals, whilst the newly developed International Classification of Procedures in Medicine <sup>2</sup> provides a framework for documenting specific responses by the system. However, the nature of information pertinent to the first and third aspects is less straightforward and therefore requires more detailed examination.

The outcome of contact has to be related to goals. In general, these have not been formulated with the precision necessary to permit evaluation. However, the simplest requirement of a health care system is that some beneficial change in the individual's situation or status should result from contact with the system. If no such change can be detected, then the value

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1. Cochrane, A. L. (1972) *Effectiveness and efficiency: random reflections on health services*. London, Nuffield Provincial Hospitals Trust.

2. World Health Organization (1978) *International classification of procedures in medicine*, Geneva, vol. 1 and 2.

of a given health care process is seriously open to question. Thus, the challenge is to devise a means of describing the status of an individual in such a way that, by assessing status when contact is first made and then again after the system has responded, change can be recorded. This change would provide a measure of outcome.

Unfortunately, the nature of the challenge alters as the burden of morbidity changes. Thus when the major force of disease is expressed as acute illness, of which the acute infections provide the most notable example, simple and unequivocal measures are readily available from which outcome can be assessed. These consist of the occurrence or otherwise of the disease, and recovery or a fatal outcome. For this purpose, terms derived from the International Classification of Diseases (ICD) provide a valuable and relevant means for studying health experience, and the underlying cause concept is additionally helpful. Moreover, generalizations in population terms are simple to derive and are justifiable because disease control is so dependent on community-based action. Herein lay the nineteenth-century foundations of public health and of the value of indirect indicators of community health, such as perinatal mortality.

As the acute infections come under control, other diseases assume a greater importance. As long as the latter are life-threatening, the simple indicators, such as mortality, continue to be valuable, so that ICD terms retain their usefulness for evaluation. However, as technological power is developed, the situation changes. Conditions like diabetes and pernicious anaemia can be controlled even though their underlying causes cannot be eliminated. Mortality and even the occurrence of disease then have less relevance to evaluation other than that relating to primary prevention, and ICD terms no longer reflect outcome goals, particularly when the rules for assignment to categories are constrained by the underlying cause concept. Hence the need to identify manifestations, a facility made possible by options in the Ninth Revision of the ICD. This extension of the classification does permit evaluation, because of the potential for a manifestation to be abolished or suppressed; change after contact can thus be recorded. However, the extent to which population generalization can be developed on this basis remains to be explored further.

Diseases that are self-limiting or amenable to prevention or cure account for only part of the spectrum of morbidity. In fact, the very success of control measures for these diseases has resulted in the increasing importance of a residue of conditions that do not come into this category. These include the effects of trauma, impairments of special sense organs, mental retardation and mental illness, and the chronic diseases of middle and later life, particu-

larly heart disease, stroke, bronchitis, and arthritis. Disorders like these are coming to dominate current morbidity experience in some countries and they are especially noteworthy as causes of disability. For these disorders, a manifestation code is very useful for identifying the calls that may be made on different types of service, but it can only rarely serve as a means of indicating change in the individual's status after contact with a health care system.

### *Consequences of disease*

The difficulties arise because of the limited scope of the medical model of illness. The kernel of the situation is represented by the concept of disease, which may be depicted symbolically as a sequence,

etiology  $\longrightarrow$  pathology  $\longrightarrow$  manifestation.

The ICD is based on this model, the components of the sequence being variously and severally identified within the classification. However, such a model fails to reflect the full range of problems that lead people to make contact with a health care system. Some consideration of the nature of the reasons for contact is therefore necessary.

Sickness interferes with the individual's ability to discharge those functions and obligations that are expected of him. In other words, the sick person is unable to sustain his accustomed social role and cannot maintain his customary relationships with others. This view is sufficiently broad to take account of the vast majority of calls that are likely to be made on a health care system. At one extreme, it embraces life-threatening disease, and, at the other, it includes less medical experiences such as anxiety or the wish for advice and counselling. The only class of contact not incorporated in this approach is contacts made in the absence of illness phenomena, such as attendances for prophylactic inoculation. Provision for certain of these non-sickness-related contacts has been made ever since the Sixth Revision of the ICD, and this aspect has now been tackled systematically in the Ninth Revision (Supplementary Classification of Factors influencing Health Status and Contact with Health Services – V Code).

Although, in everyday practice, the medical model of illness portrayed above provides a very efficient approach to disorders that can be prevented or cured – the impact of illness is relieved secondarily as the underlying condition is brought under control – it is incomplete because it stops short of the consequences of disease. It is the latter, particularly, that intrude upon everyday life, and some framework is needed against which understanding of these experiences can be developed; this is especially true for

chronic and progressive or irreversible disorders.

The sequence underlying illness-related phenomena thus needs extension. This can be presented as

disease → impairment → disability → handicap

The nature of these different dimensions of the consequences of disease, their definition, and the basis for developing three separate classification schemes, will be considered in greater depth in the first section of this manual. At this juncture it is probably sufficient to note that the distinctions facilitate policy development in response to the problems, clarifying the potential contributions of medical services, rehabilitation facilities, and social welfare respectively. By the same token, the proposals offer different types of status descriptor, with a varying potential for change, so that the needs of evaluative studies are anticipated. Furthermore, the descriptors provide the basis for study of both the reasons why an individual makes contact with a health care system and a related problem, underutilization, where it is the determinants of why not all of those with a given health status make contact with the system that are of interest.

### Development of the classifications

Many approaches have been made to measurement of the consequences of disease. One strand has been concerned to structure experience in clinical and rehabilitation contexts, and this has been particularly notable in the United States of America. Great emphasis has generally been placed on functions such as activities of daily living, and the approach has been based on assessment procedures.<sup>3</sup> A more recent development has sprung from demands for ascertainment of disabled individuals, either in prevalence surveys or for determining eligibility for pensions and other welfare provisions. The purpose has been to identify categories or groups of people fulfilling predetermined criteria; works of this type have therefore been concerned more with assignment than with assessment. However, the range of applications has been considerable, extending from routine health statistics and specific cash benefits to health service planning, social security, social administration, and social policy.

Those concerned with measurement on a community scale, by the gathering and presentation of statistical tabulations relevant to these purposes,

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3. Wood, P. H. N. & Badley, E. M. (1978) An epidemiological appraisal of disablement. In: Bennett A. E., ed., *Recent advances in community medicine*, Edinburgh, Churchill Livingstone.



were stimulated by these activities. A preliminary scheme was developed by Mrs Esther Cahana, and submitted to WHO by Israel in 1972. Within a few months, a more comprehensive approach was suggested by the WHO Centre for the Classification of Diseases, in Paris, after discussions between the Head of the Centre, Dr Madeleine Guidevaux, and Professor André Grossiord of Hôpital Raymond Poincaré, Garches, France. These suggestions were based on two important principles: distinctions were made between impairments and their importance, i.e., their functional and social consequences, and these various aspects or axes of the data were classified separately on different fields of digits. In essence, this approach consisted of a number of distinct, albeit parallel, classifications. This contrasted with the traditions of the ICD, wherein multiple axes (etiology, anatomy, pathology, etc.) are integrated in a hierarchical system occupying only a single field of digits.

WHO therefore invited a consultant, Dr Philip Wood of Manchester, England, to explore the possibility of assimilating the Paris proposals into a scheme compatible with the principles underlying the structure of the ICD. For this purpose, it was intended to supplement a three-digit hierarchical classification of impairments, analogous in form to the ICD, by three additional digits dealing with disability and handicap in the form of mobility, physical dependence, and economic dependence. At the same time, preliminary attempts were made to systematize the terminology applied to disease consequences. These suggestions were circulated informally in 1973, and help was solicited particularly from groups with a special concern for rehabilitation.

It soon emerged that difficulties arose not only from nomenclature, but also from confusion about the underlying concepts. After clarification of these ideas, it became apparent that a single scheme conforming to the taxonomic principles of the ICD was unsatisfactory. Whilst impairments could be dealt with in this manner, a synthesis of the different dimensions of disadvantage could be accomplished only by making arbitrary and often contradictory compromises between the various dimensions or roles identified. The principle was therefore advanced that a classification of handicap had to be structured differently, based on ordination of the different states of each dimension. This development is discussed in greater detail in the first section of this manual.

After discussions with Professor Grossiord and the Paris centre, separate classifications of impairments and handicaps were prepared. These were circulated widely in 1974, and many comments and suggestions for improve-

ment were received. Particularly helpful contributions to the development of these schemes have been made at various stages on behalf of the International Continence Society (Eric Glen), the International Council of Ophthalmology (August Colenbrander), the International and European Leagues against Rheumatism (Philip Wood), the International Society for Prosthetics and Orthotics (the late Hector W. Kay), and Rehabilitation International (K.-A. Jochheim). Acknowledgement must also be made to the individual contributions of Elizabeth M. Badley and Michael R. Bury, and to the work of Bernard Isaacs and Margaret Agerholm. Various memoranda were also made available by the World Health Organization, both at its headquarters in Geneva and at its Regional Office for Europe in Copenhagen.

Further discussions were then held, involving WHO and representatives of the International Social Security Association and the Social Security Department of the International Labour Office. Responsibility for collating comments and developing definitive proposals was undertaken by Dr Wood. These were submitted for consideration by the International Conference for the Ninth Revision of the International Classification of Diseases in October 1975. At this juncture the scheme incorporated a supplementary digit to identify disability, and the whole approach was acknowledged as being to a large extent experimental and exploratory. Having considered the classification, the Conference recommended its publication for trial purposes. In May 1976, the Twenty-ninth World Health Assembly took note of this recommendation and adopted resolution WHA 29.35, in which it approved the publication, for trial purposes, of the supplementary classification of impairments and handicaps as a supplement to, but not as an integral part of, the International Classification of Diseases.

The present manual, published under this authority, represents a considerable recasting of the detailed proposals submitted to the Ninth Revision Conference. The hierarchical arrangement of the impairment classification has been radically altered so as to allow for taxonomic spaces more closely related to importance and frequency of occurrence; a completely new disability classification has been introduced, resembling in structure the impairment classification; and the handicap classification has been augmented. These alterations have been carried out in the light of preliminary field testing, comments by the International Federation of Societies for Surgery of the Hand (Alfred B. Swanson), and further comments by the individuals and organizations noted previously and by UNESCO and OECD.

### **Practical application of the classifications**

#### *Scope and structure of the manual*

The manual contains three distinct and independent classifications, each relating to a different plane of experience consequent upon disease.

- (a) *Impairments* (I code), concerned with abnormalities of body structure and appearance and with organ or system function, resulting from any cause; in principle, impairments represent disturbances at the organ level.
- (b) *Disabilities* (D code), reflecting the consequences of impairment in terms of functional performance and activity by the individual; disabilities thus represent disturbances at the level of the person.

These two are perhaps the least controversial. Their taxonomic structure resembles that of the ICD in that they are hierarchical, with meaning preserved even if the codes are used only in abbreviated form; also they are exhaustive. The contrast between the I and D codes stems from the nature of what is being classified. Impairments resemble disease terms in the ICD in that they are best conceived of as threshold phenomena; for any particular category, all that is involved is a judgement about whether the impairment is present or not. On the other hand, disabilities reflect failures in accomplishments so that a gradation in performance is to be anticipated; provision has thus been made for recording of the degree of disability, and also of future outlook.

- (c) *Handicaps* (H code), concerned with the disadvantages experienced by the individual as a result of impairments and disabilities; handicaps thus reflect interaction with and adaptation to the individual's surroundings.

**Handicap is more problematical. The structure of the Handicap classification is radically different from all other ICD-related classifications. The items are not classified according to individuals or their attributes but rather according to the circumstances in which people with disabilities are likely to find themselves, circumstances that can be expected to place such individuals at a disadvantage in relation to their peers when viewed from the norms of society.** The scheme is not exhaustive and is restricted to key social roles, what have been regarded as the most important dimensions of disadvantageous experience – orientation, physical independence, mobility, occupation, social integration, and economic self-sufficiency. For each of these dimensions a gradation of circumstances is possible, so that specification of the individual's status in regard to each is required. These properties determine that the codes are not hierarchical in the customarily accepted sense, and abbreviation is possible only by neglecting certain of the dimensions. Perusal of the outline of the H code should indicate these characteristics more clearly.

These and other features of the three classification schemes are examined at greater length in the first section of the manual. Assessment and assignment to relevant categories are also discussed. In addition, the manual includes an index to impairments.

*Data sources*

With the exception of surveys and research enquiries, the primary source for data of the type covered by this manual is the records of contacts made with a care system. It is therefore necessary to review what is entered in records, so that its suitability for classification according to the present schemes can be considered. Contact will be made with a caring professional, who may be a physician, a nurse, a remedial therapist, a social worker, or a pensions assessor, or who may be from various other health-related professions. The essence of the transaction that ensues is that the individual's problems are elicited and assessed. The conclusions of the assessment, augmented by some of the information exchanged, are likely to be noted in the case records.

The process may be exemplified by medical diagnosis. Symptoms and signs are elicited from the individual. These are then assessed and considered in conjunction. On the basis of known constellations of characteristics it is usually possible to assign the individual's problems to a predetermined group or category in the form of a specific diagnosis. Three aspects are especially noteworthy. First, the raw data are individual-oriented, the symptoms and signs present in one specific individual. In terms of an information system all that can be derived are enumerations of individuals with particular attributes. Second, meaning is given to these diverse attributes by categorization, identifying a basis for interrelationships between them. This generates group-oriented information, which has two important properties. There is a potential to make inferences about individuals in the group that go beyond the limits of data necessary for categorization; for instance, in addition to labelling the individual's collection of symptoms and signs an ideal diagnosis also indicates etiology, pathology, and prognosis. There is also a potential to aggregate category-oriented data on the basis of more general commonalities, such as the chapters of the ICD, and this enhances transmission of simplified information. The third significant aspect of medical diagnosis is that it provides a medium for communication between one professional and another, largely on the basis of the attributes that can be inferred from the category label.

On this foundation, it is possible to examine the nature of information likely to be available on disease consequences. A number of difficulties are immediately apparent, and their influence can most clearly be revealed by pursuing the analogy with medical diagnosis. First, objectives inevitably differ appreciably between the various professions; thus what is germane for a remedial therapist is unlikely to be so for a social worker. This variation in emphasis and concern introduces a lack of comparability into data. Second,

heterogeneity tends to be exaggerated by the fact that in this context most professions are concerned mainly with assessment and its associated individual-oriented data, without there having been much standardization of procedures. Information of this type does not lend itself to simplification for transmission in an information system; consider, for instance, an enumeration of difficulties experienced in the activities of daily living, which is not particularly helpful either for service planning or for indicating policy options.

A third difficulty is that unifying concepts analogous to disease entities have not generally been developed. As a result few category-oriented data have been available, an important factor contributing to shortcomings in policy development and planning in regard to the disabled. Finally, the lack of appropriate concepts with transprofessional currency has led to communication difficulties, a problem compounded by ambiguity and confusion in terminology.

This manual does not presume to resolve all these difficulties, as its publication for trial purposes indicates. However, it is presented for exploration as a means of overcoming some of the problems. It seeks to contribute to the promotion of uniformity in broad concepts and terminology and, by indicating ways in which individual attributes may be grouped together for simplification, to encourage standardization and an improvement in the comparability of data. Today's case records are unlikely to contain all the necessary detail in a form suitable for rigorous application of the classification schemes. Nevertheless, it is hoped that the manual will have educational value, stimulating the collection and recording of more appropriate data.

Pending the gathering of more ideal information with these classification schemes specifically in mind, it is still possible to exploit the approach with existing records. Some indication of the possibilities may be helpful. The underlying cause of an individual's difficulties, the disease, can usually be ascertained from the diagnosis stated in medical records, so that ICD coding is not too difficult. In nonmedical records the underlying cause may not be easy to determine, but in these contexts it is usually likely to be of less importance in any case. In either type of record the more immediate consequences of disease, the major impairments, are likely to be noted, so that coding to the I code should also not present insuperable obstacles. The main aspect likely to command attention in the future relates to identification criteria and their relation to severity.

The D code calls for information that is also likely to be in the records already, although care will be necessary in regard to variation resulting from

the use of clinical assessment, functional tests (including the activities of daily living), or questionnaires. The categories proposed should lend themselves to assessment and to elicitation by questionnaire without much difficulty. However, caution is also necessary in appreciating the manner in which disability may be established in different contexts – a professional medical definition based on a physician's judgement, a behavioural definition derived from performance of selected activities, or a legal definition framed in terms of eligibility for various benefits.

The assessment of handicap unavoidably includes consideration of the dimensions and categories included in the H code. Thus the basic assignment should not prove unduly difficult or give rise to any additional labour. This suggests that the scheme is feasible, but its comparability and validity will only be revealed by further experience. For retrospective application to existing records the major difficulty is likely to be incomplete information, but the orientation of whatever material may be available can still be instructive.<sup>4</sup> Nevertheless in applying the classification certain problems need to be borne in mind. First, disadvantage may be perceived in three different ways: subjectively, by the individual himself; by others who are significant to the individual; and by the community as a whole. Second, there is ambiguity over how to regard third-party handicap. Third, it is not possible for any scheme intended for international use to correspond exactly with eligibility for various benefits. These and related problems are examined in greater detail in the first section of the manual.

### *Uses of information*

It is a fundamental principle of taxonomy that classification is subordinate to a purpose. This would appear to preclude the possibility of any general-purpose scheme and, as has been noted earlier, to embrace all the planes of disease consequences has necessitated three distinct taxonomies. However, this canon does not debar development of classifications that simultaneously are able to serve a number of purposes, as the durability and robustness of the basic structure of the ICD indicate; the price of such flexibility is no more than the occasional controversial compromise. The schemes presented in this manual have been developed with these considerations in mind, at the same time trying to take into account the needs of a wide variety of potential users, and it may be helpful if some of the uses are identified specifically.

The three major classes of needs are analogous to those for which the ICD is most widely used. These are:

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4. Wood and Badley, *op.cit.*

- (a) for the production of statistics on the consequences of disease. These are necessary for surveillance of trends, for service planning, and for research enquiries into the epidemiology and sociology of disability and handicap. For the latter purpose it is discordances in severity between the three planes and the determinants of these differences that are particularly instructive;
- (b) for the collection of statistics relevant to the utilization of services. These not only provide the basis for service planning, but also facilitate evaluation;
- (c) for indexing and case record retrieval according to the attributes identified in the classifications.

As has been noted, each of the three schemes is restricted to a single plane of the consequences of disease. This means that a full profile, extending from the underlying cause of the impairment to the disadvantage that ensues, can be derived only if all three codes are used in conjunction with the ICD so as to yield a complete specification of status. It is hoped that in everyday clinical contexts the full scheme will be utilized so that, in addition to fulfilling the above needs, it may be possible to promote more sensitive and comprehensive assessment of individual problems and to facilitate more critical evaluation.

In addition, though, the codes have been conceived so that they are capable of independent use for specific purposes. It is envisaged that for these more limited applications the orientation of the impairment, disability, and handicap codes will be, respectively, for medical, rehabilitation, and welfare services. However, disability and handicap data are also relevant to broader areas of social policy, such as those concerned with education, employment, and housing. Finally, it is also hoped that the codes may contribute to standardization in problem identification and record-keeping among different professional groups.

Although there have been demands for a means of classifying impairments and disabilities in conjunction with the ICD, the nature and scale of the schemes proposed go considerably further than many persons had originally envisaged. This is in keeping with the World Health Organization's declared goal of "Health for all by 2000". Better information on the consequences of chronic and disabling conditions should make an essential contribution to the realization of this aim.

The development of these classification schemes has covered much new ground. Indebtedness to the work of many individuals and groups has already been acknowledged. Nevertheless, experience in this area of classification, especially in the practical applications, is extremely limited. After extensive

consultation, it seemed that the most constructive plan to secure overall coherence and consistency in these tentative and experimental proposals would require that their final integration and editing be undertaken by a single individual. The more usual process of revision by group deliberation would best be exploited when variations in practical experience from widespread field application were to hand, and when conflicting views needed resolution. For these reasons users encountering difficulties in the application of the classifications are asked to communicate their comments to:

Strengthening of Epidemiological and Statistical Services,  
World Health Organization,  
1211 Geneva 27,  
Switzerland.

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The following ICD abbreviations and conventions have been used in this manual:

*NEC* not elsewhere classified

*NOS* not otherwise specified

\* combination category (for reduction of information on a number of impairments to a single category)

*other* in the titles of categories in the classification, the term "other" indicates any specification not included in preceding categories (for example, inclusion in the category "complete deficiency, right" requires that the right side be specified; the next category is "other complete deficiency", and to this would be assigned those where the left side or both sides were specified, and also those where the side affected was not specified)

*decimal*

*digits*

*8 and 9* used for "other" and "unspecified" categories, respectively



## **SECTION 1**

### **THE CONSEQUENCES OF DISEASE**

#### **The response to illness**

**Acute and chronic illness**

#### **A unifying framework**

**Planes of experience**

**Impairment**

**Disability**

**Handicap**

**Integration of concepts**

#### **Application of the concepts**

**Terminology**

**Deviation from norms**

**Measurement**

**Classification of impairments**

**Classification of disabilities**

**Dimensions of disadvantage**

**Classification of handicaps**

## THE CONSEQUENCES OF DISEASE

This manual is concerned with improving information on the consequences of disease. Such an endeavour is dependent on appreciation of the nature of health-related experiences. It is necessary, therefore, to begin with an attempt to clarify these.

### The response to illness

In contemplating illness phenomena it is customary to invoke the concept of disease. This notion and its derivatives, such as the International Classification of Diseases (ICD), consider pathological phenomena as though they were unrelated to the individuals in whom they occur. Long-prevalent traditions in thought have fostered such separation, tending to make categorical distinctions between the natural and human worlds, between non-living and living, and between body and mind. However, certain limitations in this approach are evident. By isolating thoughts of disease from consideration of the sufferer, the consequences tend to be neglected. These consequences – responses by the individual himself and by those to whom he relates or upon whom he depends – assume greater importance as the burden of illness alters. The problems may be illustrated by contrast between acute and chronic processes.

### *Acute and chronic illness*

In colloquial speech, “acute” tends to indicate something sharp or intense, whereas “chronic” implies severity in terms of being objectionable or very bad. For this reason patients can be alarmed when they learn that the official nomenclature for their condition includes the latter term – e.g., chronic bronchitis. However, professional usage of the words remains closer to their etymology. Thus “acute” means “ending in a sharp point”, implying a finite duration, which, classically, culminates in a crisis. On the other hand “chronic”, which is derived from a word meaning “time”, indicates “long-continued”. A host of interrelated properties is associated with these contrasts in time scale, and these render unnecessary any precise formulation of the temporal boundary between acute and chronic processes.

The characteristics of acute illness may be exemplified by acute infections. Onset of the condition is frequently sudden. There may be almost total prostration, not least because rest is commonly regarded as facilitating recovery. Furthermore, there is the prospect of a limited period in this state. These three features help to promote two important responses. First, suspension of everyday obligations comes about in such a way as to be acceptable both to the sufferer and to others. Secondly, the situation encourages unquestioning capitulation to professional advice. For the health

professional the situation is also relatively straightforward. The interval between exposure to the putative dominant cause and development of the illness tends to be short, so that simple models of causality can account for disease occurrence. The high incidence of many acute conditions provides the professional with experience of a diversity of responses. Uncomplicated decisions are called for; either action is imperative or there is time for reflection on what form of support might be appropriate. Most acute illnesses are self-limiting; some may be life-threatening, but the remainder, because of their finite duration, pose a minimal threat to the patient. These features encourage a paternalistic attitude by the professional, so that symptom relief is regarded largely as a means of tiding the patient over until the crisis has passed. Finally, the concentration of effort needed to treat acute conditions is not too difficult to justify; although primary prevention may offer a more economical solution, commitment of resources for secondary control by health services is at least time-limited. Thus, in policy terms, the options in regard to what can be accommodated within a given level of health service investment tend to be clear-cut, the choices being concerned with whether various acute health problems should be responded to at all.

Chronic illness presents different challenges. The onset is usually insidious; there may be a gradual progression of symptoms, or more permanent problems may develop as the sequel to a number of acute episodes. Confidence and hopes are undermined; the experience is usually difficult to account for, no end is in sight, and self-perception — the sense of identity — is assaulted by changes in the body and its functional performance. Activity restriction, though at times severe, nevertheless usually falls short of total incapacity until very late in the course of the illness. Legitimation or acceptance by others can be more difficult when a degree of independent existence is possible, not least because obligations cannot be suspended indefinitely; some way of coming to terms with the altered situation therefore becomes necessary. Finally, the persistence of problems implicitly reveals limitations in the potency of medical treatment, so that professional advice is often accepted with less assurance. The health professional is confronted with complementary difficulties. The prevalence of chronic conditions may be high, but their incidence is relatively low; common experience therefore relates more to the range of problems unfolding in a limited number of patients. This has been one of the forces contributing to the development of medical specialization and the concentration of care in large institutions such as hospitals. Clinical decisions themselves are more problematic, the insidious progress of chronic disease making diagnosis more difficult; definitive conclusions often have to be deferred, yet action may be called for in the face of this uncertainty.

Demands differ in other important aspects. Thus, the impact of the condition on the individual, though important, does not dominate the scene to the exclusion of all else. Clinical status has to be set against the background of life, moving, as it does, between home and work. Symptoms reflecting impairments and disabilities call for attempts at amelioration in their own right. Sensitivity is also taxed; virtually everyone experiences acute illness at some time, so that it is not too difficult to project oneself into the situation of the patient, but personal knowledge of chronic suffering is much less widespread. Finally, the multidimensional quality of problems encountered in people with chronic illness tends to promote needs-based appraisals, which carry with them potentially inflationary consequences for health and welfare services. Thus policy formulation is more difficult and more controversial; it is necessary to choose which to respond to from the diversity of problems presented by affected individuals.

### A unifying framework

The challenges for health care change as chronic illness comes to occupy a more dominant position. The sufferers themselves, the health professionals concerned to help them, and the policy makers and planners seeking to adapt to the different needs that arise — each in his different way encounters difficulties in coming to terms with the consequences of disease. The confusion that all three groups share stems largely from the lack of a coherent scheme or conceptual framework against which to set such experiences. These limitations in understanding are an obstacle to improving relevant information, and this in turn inhibits progress towards more helpful responses.

### *Planes of experience*

The principal events in the development of illness are as follows:

(i) *Something abnormal occurs within the individual*; this may be present at birth or acquired later. A chain of causal circumstances, the “etiology”, gives rise to changes in the structure or functioning of the body, the “pathology”. Pathological changes may or may not make themselves evident; when they do they are described as “manifestations”, which, in medical parlance, are usually distinguished as “symptoms and signs”. These features are the components of the medical model of disease, as indicated in the Introduction.

(ii) *Someone becomes aware of such an occurrence*; in other words, the pathological state is exteriorized. Most often the individual himself becomes aware of disease manifestations, usually referred to as "clinical disease". However, it is also necessary to encompass two other types of experience.

(a) Not infrequently, symptoms may develop that cannot currently be linked to any underlying disease process. Something is certainly being exteriorized, even if it cannot be accounted for. Most health professionals would attribute such symptoms to a disturbance — as yet unidentified — of some essential structure or process within the body

(b) In contrast, some deviation may be identified of which the "patient" himself is unaware. Such pathology without symptoms sometimes constitutes subclinical disease, which is encountered with increasing frequency as screening programmes are extended. Alternatively, a relative or someone else may draw attention to disease manifestations

In behavioural terms, the individual has become or been made aware that he is unhealthy. His illness heralds recognition of *impairments*, abnormalities of body structure and appearance, and of organ or system function, resulting from any cause. Impairments represent disturbances at the organ level.

(iii) *The performance or behaviour of the individual may be altered* as a result of this awareness, either consequentially or cognitively. Common activities may become restricted, and in this way the experience is objectified. Also relevant are psychological responses to the presence of disease, part of so-called illness behaviour, and sickness phenomena, the patterning of illness manifested as behaviour by the individual in response to the expectations others have of him when he is ill. These experiences represent *disabilities*, which reflect the consequences of impairments in terms of functional performance and activity by the individual. Disabilities represent disturbances at the level of the person.

(iv) *Either the awareness itself, or the altered behaviour or performance to which this gives rise*, may place the individual at a disadvantage relative to others, thus socializing the experience. This plane reflects the response of society to the individual's experience, be this expressed in attitudes, such as the engendering of stigma, or in behaviour, which may include specific instruments such as legislation. These experiences represent *handicap*, the disadvantages resulting from impairment and disability. The explicit concern with the value attached to an individual's performance or status obviously makes this the most problematical plane of disease consequences.

Each of the last three planes in this sequence — exteriorization, objectification, and socialization — now requires more detailed consideration. This

will be followed by further examination of the interrelationships between the underlying concepts, supported by examples to highlight the distinctions.

### *Impairment*

*In the context of health experience, an impairment is any loss or abnormality of psychological, physiological, or anatomical structure or function*

Two aspects of this definition need to be stressed. First, the term "impairment" is more inclusive than "disorder" in that it also covers losses; e.g., the loss of a leg is an impairment, but not a disorder. Secondly, in reaching agreement on terminology with other international agencies, it has been necessary to make certain modifications to the definitions included in a preliminary draft of this manual.<sup>1</sup> In the draft, functional limitations were regarded as being elements of disability, whereas they have now been assimilated with impairments; this alteration helps to resolve boundary distinctions that originally lacked clarity.

Impairment represents deviation from some norm in the individual's biomedical status, and definition of its constituents is undertaken primarily by those qualified to judge physical and mental functioning according to generally accepted standards. Impairment is characterized by losses or abnormalities that may be temporary or permanent, and it includes the existence or occurrence of an anomaly, defect, or loss in a limb, organ, tissue, or other structure of the body, or a defect in a functional system or mechanism of the body, including the systems of mental function. Being concerned to describe identity at a particular point in time, impairment is neutral in regard to a number of associated features, and this needs to be stressed. Thus impairment is not contingent upon etiology, how the state arose or developed; both ascribed and achieved status, such as genetic abnormality or the consequences of a road traffic accident, are included. Use of the term "impairment" does not necessarily indicate that disease is present or that the individual should be regarded as sick. Equally, the deviation from the norm does not need to be perceived by the impaired individual, as should be clear from what has been said above about exteriorization. On the same grounds, a concept of latent impairment constitutes a contradiction in terms — the individual exposed to or harbouring an extraneous etiological agent of disease is not impaired; impairment ensues only when the agent has initiated a reaction by the body so that pathological processes develop.

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1. Wood, P.H.N. (1975) *Classification of impairments and handicaps* (Unpublished document WHO/ICD9/REV. CONF/75.15)

*Disability* In the context of health experience, a disability is any restriction or lack (resulting from an impairment) of ability to perform an activity in the manner or within the range considered normal for a human being

In providing the link between impairment and handicap, it is fairly easy for the concept of disability to appear somewhat vague, variable, or arbitrary. As already noted, however, functional limitation is now regarded as an aspect of impairment, and this should resolve most of the difficulties. Impairment is concerned with individual functions of the parts of the body; as such it tends to be a somewhat idealistic notion, reflecting potential in absolute terms. Disability, on the other hand, is concerned with compound or integrated activities expected of the person or of the body as a whole, such as are represented by tasks, skills, and behaviours.

Disability represents a departure from the norm in terms of performance of the individual, as opposed to that of the organ or mechanism. The concept is characterized by excesses or deficiencies of customarily expected behaviour or activity, and these may be temporary or permanent, reversible or irreversible, and progressive or regressive. The key feature relates to objectification. This is the process through which a functional limitation expresses itself as a reality in everyday life, the problem being made objective because the activities of the body are interfered with. In other words, disability takes form as the individual becomes aware of a change in his identity. Customary expectations embrace integrated functioning in physical, psychological, and social terms, and it is unrealistic to expect a neat separation between medical and social aspects of activity. For instance, physical incapacities and socially deviant behaviours equally transgress what is expected of the individual — the important differences between them concern the value that is attached to such deviations, and any sanctions that may be applied as a result; such valuations relate to the concept of handicap, rather than to that of disability.

In attempting to apply the concept of disability, there is a need for caution in how the ideas are expressed. By concentrating on activities, disability is concerned with what happens — the practical — in a relatively neutral way, rather than with the absolute or ideal and any judgements that may attach thereto. To say that someone *has a disability* is to preserve neutrality, nuances of interpretation in regard to his potential still being possible. However, statements phrased in terms of being rather than having tend to be more categorical and disadvantageous. Thus to say that someone *is disabled*, as if this were an adequate description of that individual, is to risk being dismissive and invoking stigma.

### *Handicap*

*In the context of health experience, a handicap is a disadvantage for a given individual, resulting from an impairment or a disability, that limits or prevents the fulfilment of a role that is normal (depending on age, sex, and social and cultural factors) for that individual*

Three important features of this concept should be borne in mind:

- (i) some value is attached to departure from a structural, functional, or performance norm, either by the individual himself or by his peers in a group to which he relates;
- (ii) the valuation is dependent on cultural norms, so that a person may be handicapped in one group and not in another – time, place, status, and role are all contributory;
- (iii) in the first instance, the valuation is usually to the disadvantage of the affected individual.

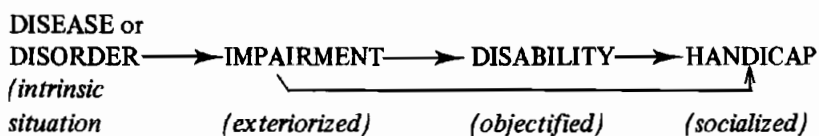
The state of being handicapped is relative to other people – hence the importance of existing societal values, which, in turn, are influenced by the institutional arrangements of society. Thus, the attitudes and responses of the non-handicapped play a central role in modelling the ego concept, and defining the possibilities, of an individual who is potentially handicapped – the latter has a very limited freedom to determine or modify his own reality. In this context it is relevant to take note of differences in societal responses to visible as opposed to invisible impairments, and to serious as opposed to trivial disadvantages.

Handicap is characterized by a discordance between the individual's performance or status and the expectations of the particular group of which he is a member. Disadvantage accrues as a result of his being unable to conform to the norms of his universe. Handicap is thus a social phenomenon, representing the social and environmental consequences for the individual stemming from the presence of impairments and disabilities. The essence of an adverse valuation by society is discrimination by other people, but the concept is, nevertheless, essentially neutral as regards its origins. Thus the individual's own intention is of no immediate concern; disadvantage can arise when the individual deviates in spite of his own wishes, but it can also develop when the deviation is inadvertent or the product of his own choice. The concept also assimilates phenomena such as invalidism or excessive dependence upon an institution.

### *Integration of concepts*

The ideas just discussed can be linked in the following manner:





Although this graphic representation suggests a simple linear progression along the full sequence, the situation is in fact more complex. In the first place, handicap may result from impairment without the mediation of a state of disability. A disfigurement may give rise to interference with the normal operation of cues in social intercourse, and it may thus constitute a very real disadvantage, to say nothing of the embarrassment that the disfigured individual may feel. In this example, though, it would be difficult to identify any disability mediating between the disfigurement and the disadvantage. Similarly, a child with coeliac disease, who is functionally limited, may be able to live a fairly normal life and not suffer activity restriction; he could nevertheless suffer disadvantage by virtue of his inability to partake of a normal diet. More important than these incomplete sequences is the possibility of interruption at any stage. Thus one can be impaired without being disabled, and disabled without being handicapped. The corollary of this is that there can be striking disparities in the degree to which the various elements of the sequence depart from their respective norms, and, as a result, one cannot assume consonance in degrees of disability and handicap. For instance, one individual with rheumatoid arthritis may be only mildly disabled and yet at a severe disadvantage, whereas another person with the same disease who is much more severely disabled may, perhaps because of greater support from the family or social network, experience considerably less disadvantage.

Further complexity is introduced by two other phenomena. First, certain disabilities can retard or conceal the development or recognition of other abilities; thus, an impairment of language can interfere with the expression of other and dependent qualities, such as intelligence. Secondly, there can be a variable degree of influence in a reverse direction along the sequence. Thus, the experience of certain handicaps can engender, as part of illness behaviour, not only various disabilities but at times even the impairment of certain faculties; as already noted, each of the concepts is largely independent of its origins, so that these secondary phenomena should not be excluded.

The great value of presenting the concepts in this way is that a problem-solving sequence is portrayed, intervention at the level of one element having the potential to modify succeeding elements. This is especially

relevant to the purposes of this manual, since the sequence is also valuable as a means of identifying information deficits. It will probably be helpful to present further examples of how the concepts are expressed.

– A child born with a finger-nail missing has a malformation – a structural impairment – but this does not in any way interfere with the function of the hand and so there is no disability; the impairment is not particularly evident, and so disadvantage or handicap would be unlikely.

– A myope or a diabetic individual suffers a functional impairment but, because this can be corrected or abolished by aids, appliances, or drugs, he would not necessarily be disabled; however, the non-disabled juvenile diabetic could still be handicapped if the disadvantage is considerable, e.g., by not being allowed to partake of confectionery with his peers or by having to give himself regular injections.

– An individual with red-green colour blindness has an impairment, but it would be unlikely to lead to activity restriction; whether the impairment constitutes a handicap would depend on circumstances – if his occupation were agricultural he might well be unaware of his impairment, but he would be at a disadvantage if he aspired to drive a railway engine, because he would be prevented from following this occupation.

– Subnormality of intelligence is an impairment, but it may not lead to appreciable activity restriction; factors other than the impairment may determine the handicap because the disadvantage may be minimal if the individual lives in a remote rural community, whereas it could be severe in the child of university graduates living in a large city, of whom more might be expected. (This example illustrates how any attempt to differentiate between intrinsic and extrinsic components of handicap in fact neglects the fundamental property of this concept, which expresses the resultant of interaction between the intrinsic and the extrinsic; the intrinsic aspect is identified by any impairments and disabilities that may be present.)

– Perhaps the most graphic example of someone who is handicapped without being disabled is the individual who has recovered from an acute psychotic episode but who bears the stigma of being a “mental patient”; note that this handicap complies with the definition, because it is consequent upon impairment and disability, but that neither the impairment nor the disability exists at the time the handicap develops.

– Finally, the same handicap can arise in different situations, and therefore as a result of different disabilities. Thus, personal hygiene might be difficult to maintain, but its antecedents could be very different for someone accustomed to a washbasin as compared with a way of life where ablutions are performed in a lake, or in a fast-moving river, or yet again in a desert environment.

### **Application of the concepts**

Before one can attempt to apply this conceptual framework for the consequences of disease, it is necessary to deal with two related difficulties.

### *Terminology*

A major reason for the lack of information about the disabled in society is that the various agencies concerned have not shared a common unambiguous definition of what constitutes disablement, impairment, and

limitation.<sup>2</sup> Incongruence in problem identification also occurs, as was highlighted in a review of the need for definitions: "The word disability refers to an abnormality which interferes with function to a significant degree. A complete diagnosis should describe the disability, the abnormality underlying it, and the cause of the abnormality. Parents tend to think in terms of disability, whereas doctors often speak of abnormalities or their causes, and this may lead to misunderstanding."<sup>3</sup> Most of the terms over which confusion arises have been used with common-sense meanings in everyday speech and writing. The underlying problem has been that concepts relating to disability and disadvantage have been insufficiently explored, and, as a result, no systematized language usage specific to these concerns has developed.

Two initiatives by the World Health Organization have helped to transform this state of affairs. The first took place in 1975-1976, when approval in principle was given to the conceptual framework put forward in the preliminary draft of this manual. The second, built on this foundation over the succeeding years, has secured agreement on usage for the most important terms between a number of international agencies. This preferred nomenclature has been employed throughout.

Although too much can be made of the importance of semantic distinctions, the acid-test for a preferred nomenclature is whether it promotes practical benefits. The latter should come about as a clearer description of processes reveals to what extent and in what way problems may be solved. Considerable care has been applied to the selection of descriptive terms in this manual, so as to reinforce the conceptual distinctions. This effort can be seen at two levels:

(i) Avoidance of the same word to identify an impairment, a disability, and a handicap. In colloquial speech there has been a trend to euphemism, with words being debased as mental retardation first became mental disability and then mental handicap. This succeeds only in blurring the distinctions; the disadvantage experienced by individuals with psychological impairments can vary, so that it is inappropriate to refer to a handicap as "mental". Thus the descriptive adjectives "mental" and "physical" may correctly be applied to impairments, but their use in relation to disabilities is loose and to handicaps quite unsuitable. It is perhaps vain to hope that the tide of careless usage can be reversed, but at least in serious discourse the logic of terminology

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2. Townsend, P. (1967) *The disabled in society*. London, Greater London Association for the Disabled.

3. Mitchell, R. G. (1973) Editorial: *Develop. Med. Child. Neurol.*, 15, 279-280.

should be exploited to reinforce the conceptual framework.

(ii) In addition to seeking different descriptive terms, the use of different parts of speech also seemed to be appropriate. Thus for the qualities represented by impairments an adjective derived from a substantive is apposite, but for the activities included as disabilities a participle was deemed more suitable, the “-ing” ending emphasizing the dynamic aspect. An exhaustive consistency in this regard has not been possible, but a trend should be apparent.

These points can best be illustrated with examples:

<i>Impairment</i>	<i>Disability</i>	<i>Handicap</i>
language	speaking	orientation
hearing	listening	
vision	seeing	
skeletal	{ dressing, feeding	physical independence
	{ walking	mobility
psychological	behaving	social integration

For specific impairments, the nomenclature and classification preferred by relevant international organizations, such as the International Society for Prosthetics and Orthotics, have been employed wherever possible.

### *Deviation from norms*

All three of the concepts relevant to the consequences of disease — impairment, disability, and handicap — depend on deviations from norms. The amount of deviation regarded as being present depends on the operation of a definition of the norm in question, be the specification implicit or identified. There are three approaches to such definition:

(i) For quantitative phenomena, such as body height, the exploitation of statistical concepts of “the normal” and of deviations therefrom is of some help. However, although the approach may be useful in indicating conformity to type, there are limitations and certainly statistical methods can have little application when the norm relates to a value. The attraction of statistical concepts is that they appear to be value-free, which appeals to the many health professionals with a scientific background. Such striving for objectivity is encouraged by the illusion that common notions of causality in science are non-normative, as if they represented matter-of-fact relations or contingent connexions between events. The reality is that the very notion of what will count as a causal agent in disease is connected with a normative view of the normal or healthy organism.

(ii) Normative views, such as those just indicated, are determined by reference to some ideal. This approach to the norm implicitly relates to

threshold phenomena. To some degree the situation may be circumscribed by enunciation of standardized criteria for assignment to the class of those conforming, or failing to conform, to the ideal. Such methods can be applied to unquantified phenomena in the domain of impairment, and to most disabilities.

(iii) Drawing further on the theory of deviance, yet other norms are determined by social responses. These are relevant to some disabilities and to most handicaps. In general, these norms are more difficult to categorize reproducibly, other than by recourse to cumbersome and highly arbitrary methods such as those used for determining eligibility for benefits. However, the particular relevance of social norms in the present context is that they indicate that an individual's perceptions — his belief that he has a problem — or the identity that other people attribute to the individual can both give rise to disadvantage.

Another problem stems from the very nature of norms. Specific individual impairments or disadvantages are not themselves universal, although when they are taken in aggregate most of us fail to escape some departure from the norm. The social definition of problems allows one to resolve the difficulties, because value orientation relates to social interest; thus, in a social context, disease occurring in wild species is likely to be of little concern, whereas maladies affecting domestic animals are of greater interest. Departures from the norm thus need to be regarded in such a way that views are tempered by consideration of the feasibility and desirability of intervention to restore the norm. This is also the place to introduce another note of caution. There has been a recent vogue for promoting the notion of social handicap, drawing attention to such problems as poverty and poor housing out of relation to their direct influences on health. While one has sympathy with any attempt to combat social deprivation, such dilution of the concept of handicap is unhelpful because it tends to confound identification of specific health-related experiences and the means by which these might be controlled.

### *Measurement*

In order to measure the consequences of disease, those who are affected have to be ascertained. The process of ascertainment of the disabled and handicapped is itself somewhat dubious, at least as an absolute proposition, and two crucial questions have to be acknowledged. The first concerns the sense in which the individual is disabled or handicapped. This should have been made clear by the definitions of concepts, and it is hoped that the ideas will serve to rebut those who try to dismiss the scheme as an attempt to

classify the unclassifiable. The second question, which underpins the first, is directed at the reason for ascertainment, because any attempt to clarify thinking in this area can be vulnerable to the protests of those who are concerned that categorization or labelling engenders stigma. However, this attitude surely denies the possibility of any coherent attempt to alter the present situation; until categories can be identified, one is unable to begin to count, and until counting is possible one cannot know how big the problems are or deploy the resources intelligently in an endeavour to control the problems.

In order to overcome the difficulties, it has been necessary to develop three separate classification schemes supplementary to the ICD — one concerned with each of the three principal concepts. These classifications are intended to facilitate study of some of the consequences of disease. They have been designed as coding systems that will allow details from individual case records to be reduced to standardized numerical form. This will allow the simplest form of measurement, by counting the numbers in each category. In turn, these counts can then be grouped with related problems so as to promote simplification for the preparation of statistical tabulations of aggregated data. The classifications therefore exemplify the underlying conceptual framework, but to a considerable degree their value can be considered separately from that of the theoretical constructs. This means that the classifications should be evaluated in the same way as the ICD — i.e., do they assist in the derivation of information of value to users?

Brief guidance on the acquisition of data compatible with these classifications was offered in the Introduction, and further suggestions about assessment and assignment have been made at the end of each of the succeeding chapters. However, it is now necessary to provide a more detailed description of the principles underlying the development of each of the three classifications.

### *Classification of impairments*

For many clinicians, thought on an impairment axis is likely to be unfamiliar because it is cross-disciplinary. In many ways there is an approximate inverse relationship between the ICD and the impairment (I) code. Thus where the ICD allows a considerable array of causes, such as those for cardiovascular and respiratory diseases, the I code makes relatively limited provisions because the functional consequences of these various conditions are much more limited. Similarly, the functional consequences of an ampu-

tation are virtually identical, be it due to trauma or to a congenital deficiency, and so only a single series of coding categories is provided; the ICD would have to be used to distinguish which cause applied. However, amputations also illustrate how parts of the ICD fail to provide sufficient detail for clinical management, a problem that applies to other disorders of the limbs as well. An attempt has been made to embrace the diversity of the functional consequences of these conditions in some detail, although this has necessitated recourse to a fourth digit.

The basic structure of the I code consists of two digits supplemented by a decimal digit; as just noted, in some parts the use of a fourth digit has been suggested as well. An attempt has been made to allot taxonomic space in relation to the frequency and importance of the various types of impairment. In form the code resembles the ICD, in that it is hierarchical and meaning is preserved even if the code is used only in abbreviated form. Again, like disease terms in the ICD, impairments are best conceived of as threshold phenomena; for any particular category, all that is involved is a judgement about whether the impairment is present or not. In developing a single exhaustive code, it has been necessary to make compromises in specificity and taxonomic purity for the sake of simplicity, and a number of aspects obviously could not be accommodated; perhaps the most important omissions are individual composite functions such as jumping and creeping. The classification had to simplify details, and the overwhelming consideration has been to identify the most important feature influencing the intervention or support the individual might be likely to need. As soon as multiple impairments are present, specificity becomes less important. However, to allow for specificity when it is desired, an option has been provided wherever possible; each individual impairment may be coded (multiple coding) or special combination categories, identified by an asterisk, may be used instead if the information has to be reduced to a single category. Alternatively, the code could be used to identify the most limiting impairment. This would be by analogy with the underlying cause concept in relation to the ICD. In this connexion, the prime function of the level of detail offered is to define the content of classes. It is up to the user to determine how much detail is recorded, so that the situation resembles that with the ICD – definition of subclasses by specification of considerable detail, but tabulation of aggregated data by broader classes such as ICD chapters.

One other problem is that some categories of the I code and of the ICD appear to overlap. This occurs particularly in regard to symptoms, but a review of the purposes of the two classifications is likely to settle the difficulty. Interest in the condition leading to the consumption of medical services would probably require an ICD statement. A study of the reasons why

people make contact with a health care system could use either I code or ICD statements, depending on the terms of reference of the study. Evaluation of the effectiveness of a health care system would call for two I code statements – one indicating initial status, and the other the status after contact with the system. Perhaps the likeliest use of the I code, though, would be as an indicator of unmet needs. In these circumstances, one might well wish to restrict consideration to relatively persistent impairments, eliminating transitory states and other trivia by adopting duration and severity criteria – such as that I code statements should be recorded only for impairments that had been present for a specified period of time, or that had persisted after medical treatment. Finally, it can be seen that in many ways a classification of impairments may be regarded as a classification of health-related problems that individuals are likely to encounter. The I code therefore has relevance to problem-oriented record systems and may be of value to those interested in automating the processing of such records, short of introducing full interactive visual display with a computer.

### *Classification of disabilities*

In the preliminary draft of this manual a limited scheme was put forward for recording disability in a rather arbitrary manner, by means of a digit supplementary to the I code. This proved to be inadequate for many purposes. As a result, a more comprehensive disability (D) code has been designed – one that encompasses the more important behaviours and activities associated with everyday life. The key influence in designing this classification has been the feasibility of recording the interface between the individual and his environment in such a way as to display his potential; this may be supplemented by the handicap classification as a means of indicating the extent to which potential is realized. Perhaps the ideal aim for the D code would be to present a profile of the individual's functional abilities, as determined from what disabilities were present, in such a way that reciprocal specification of the environment allowed matching with the individual's capabilities. For instance, in the context of job placement, a factory extending over two floors but with toilet facilities located on only one of these would require separate specification of each floor for purposes of matching with the (residual) functional abilities of potential employees. If this effort succeeded, the D code could then be used as a means of screening that could be applied not only to job placement in vocational rehabilitation but also to school placement, rehousing the disabled, identifying vulnerability in the elderly, and other related purposes.



In view of these considerations, it proved necessary to eliminate much of the complexity and detail present in conventional assessments, such as those of the activities of daily living. Only in this way could procedures be developed that would be simple and confined to the most basic or key functions. The actual assessment procedures may need to retain their complexity but, as in the I code, this additional detail could be incorporated in the D code more as a means of clarifying the content of broader classes. In the circumstances, it is understandable that the D code is less developed than the schemes for impairment and handicap. However, its form allows of expansion in response to additional needs uncovered by further field experience. The basic structure of the code consists of two digits, with the option of a supplementary decimal digit, but even the nine main chapters have not been fully utilized so far. Once again, the taxonomic form resembles that of the ICD in being hierarchical, meaning being preserved even if the code is used only in abbreviated form — this feature is essential for facilitating matching of the individual and environmental circumstances. However, there is one important difference from both the ICD and the I code — disabilities are not threshold phenomena; they reflect failures in accomplishments, so that a gradation in performance is to be expected. Provision has therefore been made for recording the degree of disability by means of a supplementary digit. Furthermore, those engaged in rehabilitation also find a judgement on future outlook of potential value, and so provision has also been made for this on an additional supplementary digit.

### *Dimensions of disadvantage*

Before proceeding to the classification of handicaps, it is necessary to consider further the nature of disadvantage. Any direct attempt to measure values is fraught with difficulties. However, one can identify certain fundamental accomplishments that are related to the existence and survival of man as a social being and are expected of the individual in virtually every culture. An individual with reduced competence in any of these dimensions of existence is, *ipso facto*, disadvantaged in relation to his peers. The degree of disadvantage attached to reduced competence may vary appreciably in divers cultures, but some adverse valuation is almost universal. The key accomplishments include the ability of the individual to:

- (i) orient himself in regard to his surroundings, and to respond to these inputs;
- (ii) maintain an effective independent existence in regard to the more immediate physical needs of his body, including feeding and personal hygiene;

- (iii) move around effectively in his environment;
- (iv) occupy time in a fashion customary to his sex, age, and culture, including following an occupation (such as tilling the soil, running a household, or bringing up children) or carrying out physical activities such as play and recreation;
- (v) participate in and maintain social relationships with others;
- (vi) sustain socioeconomic activity and independence by virtue of labour or exploitation of material possessions, such as natural resources, livestock, or crops.

These six dimensions may be designated as survival roles, and the handicap classification is based on this analysis. However, before describing this scheme, a number of other points have to be taken into account.

The only value assumed in this analysis is that existence and survival are necessary and good. In a consideration of health-related problems this proposition would not appear to require justification. Survival roles have the merit of being broadly transcultural; in fact, disturbance of orientation, physical dependence, immobility, restricted occupation, social isolation, and poverty are the biggest and most frequent problems of the impaired and disabled, even in urbanized and industrialized societies with appreciable surplus wealth. Survival roles by no means exhaust the dimensions of handicap, and cognizance must be taken of other disadvantages. Maslow's hierarchy of needs<sup>4</sup> would appear to present a useful conceptual framework for understanding these problems. The three lowest planes are physiological, safety, and social needs, and it is with these that the handicap classification deals, largely in that order. Ego needs and status recognition come next, and the highest level is self-fulfilment. Maslow then asserts that man is motivated to satisfy needs in a predetermined order; the individual is no longer driven once a need is satisfied, but higher needs will be ignored until more basic needs are met. These hierarchical distinctions can be of value in helping to determine priorities. Certainly the dominance of survival roles emerges very clearly from such an analysis. Moreover, the symbolic values attached to such basic functions as eating and excretion can be seen to reflect a higher level of need. However, these higher needs are more difficult to measure, and there is little to commend directing attention to more difficult problems as long as basic needs are neglected. For this reason the classification makes little provision for disadvantage other than in relation to survival roles. Those interested in studying these aspects in greater detail will doubtless develop their own supplementary schemes.

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4. Maslow, A.H. (1954) *Motivation and personality*. New York, Harper & Row.

One important source of confusion needs to be disposed of at this stage. Disadvantage has frequently been equated with dependence. Certainly dependence has the advantage of being fairly easy to define, and therefore to measure. Moreover, a fundamental self-sufficiency in regard to physical and material accomplishments is important, not least because dependence on others entails making demands on their productivity and time. This aspect is complicated in many societies, in which changes in family size, geographical mobility, and obligations within the family have led to demands for dependence to be responded to collectively rather than individually — a problem compounded by demographic changes that increase the proportion of the population at greatest risk, the elderly. The principal obstacle to subsuming disadvantage within the term “dependence” is that it obscures social needs that can themselves, if met, go a long way to overcoming many of the disadvantages associated with irremediable physiological and safety needs. Underlying this is the fact that the notable feature of highly integrated societies is the extreme dependence of the individual on others, both for social relationships and for opportunities for occupation and economic self-sufficiency. The reality is that man is a social being; the social relations an individual enjoys are equally as essential for his survival as is competence in attending to physiological needs. Thus man’s situation can more appropriately be described as one of interdependence. Moreover, this helps to highlight another important aspect — if disadvantage in one dimension is reduced, disadvantage in another may also be made more bearable.

The apparent lack of a clear operational differentiation has obviously served to perpetuate confusion between disability and handicap. In the past, the means of identifying handicap usually depended on the ascertainment of disability and its severity. To infer the existence of disadvantage from the presence of certain disabilities may not appear unreasonable, but important assumptions both about values and about the interrelationship between disadvantage and the nature and severity of disability are implied by this approach. Thus there is a danger that the goal of social action may too readily become deflected to disability alone. This is a reductionist response, submerging handicap within disability, and it can encourage views that are insensitive to other dimensions of disadvantage, so that inequities result. These are exemplified by the common and yet unacceptable differences in the financial recompense offered to the disabled according to the cause of their impairment, feelings of guilt presumably accounting for the preferential rates often given to those disabled at war. There is also a tendency to concentrate excessively on disability resulting from physical impairments, to the neglect of that consequent upon psychological impairments.

The difficulty is that the measure too readily determines the activity. For instance, measurements of intelligence quotients (IQ) have tended to influence educational opportunities out of relation to need, educational potential, or anything other than the availability of buildings and institutions to cope with the categories so identified. Much the same has happened with disability. Administratively, it may appear to be simpler to institute ascertainment and control at the level of disability; certainly one can see the need for some yardstick to reconcile the frequently conflicting needs of society and of the individual. However, disability will only indirectly influence disadvantage, and it is therefore important to be quite clear about one's goals. If handicap is the prime area of social concern, not all those with activity restriction are necessarily at a disadvantage — because activity restriction cannot be viewed as a sociological phenomenon *per se*. There is a distinct cleavage between disability and handicap, both conceptually and in the means for intervention, and methodological obstacles need not compel social action on disadvantage to be determined by measures of disability alone. As evidence for this, the handicap classification is provided as the means of studying disadvantage direct.

#### *Classification of handicaps*

Having indicated the challenge, it is now necessary to explain why the handicap (H) code takes the form that it does. An attempt to classify nominal variables is relatively easy. The principal requirement is that the classification should be exhaustive. In medical contexts, the other requirement of simple logic — that the classes be exclusive — is more problematical, since more than one disease, impairment, or disability can occur in the same individual. The difficulty can be overcome, however, either with multiple coding or by adopting conventions such as combination categories or assignment rules based on concepts such as the underlying cause. A hierarchy can be imposed on a classification of nominal variables by identifying similarities and grouping these together. An example is provided by the various axes of the ICD, based on etiology, system, location, and nature. Impairments and disabilities can be classified in a similar manner.

The essential property of nominal variables is that the classes are mutually exclusive. When one turns to the concept of handicap, the situation is very different. Whereas manifestations are finite, consequences relate to a more complex whole that is difficult to partition. In terms of disadvantage, the consequences are that an individual is unable to sustain the roles to which he is accustomed or to attain those to which he might otherwise aspire. Through these roles one can identify dimensions or components of disadvantage, but these interact in such a way that it is important to reflect

them all, while keeping them distinct. Thus the first task in constructing a taxonomy of handicap is to identify the dimensions that one wishes to classify. This has been undertaken in the preceding section, where it was acknowledged that values, being difficult to measure, may best be studied in an indirect manner. Thus the H code classifies items not according to individuals or their attributes, but according to the circumstances in which disabled people are likely to find themselves – circumstances that can be expected to place such individuals at a disadvantage in relation to their peers when judged by societal norms. It is then necessary to recognize that one is concerned with degrees of these dimensions; thus, for each dimension, a gradation of circumstances is possible, and a specification of the individual's status in regard to each is therefore required. As a result, the code is not hierarchical in the customarily accepted sense, and abbreviation is possible only by arbitrarily neglecting certain of the dimensions – rather than by compromise between various roles or axes, as is incorporated in the ICD. Similarly, an attempt at synthesis would be both presumptuous and self-defeating; it would involve the imposition of value judgements in a dangerously subjective realm.

Perusal of the outline of the H code should indicate these characteristics more clearly, when it will be seen that, as a descriptor, an H code statement is a means of summarizing the situation semi-quantitatively, rather than identifying discrete categories. Overlap between categories in the different dimensions has been kept to a minimum, but it could not be eliminated altogether. Thus an individual who is bedfast is not only immobile, but also totally dependent on others for care. Theoretically it might be possible to eliminate such overlap, but in practice it appeared preferable for each dimension to stand on its own, for the reasons noted above. Much thought has been given to the ordination, the partitioning of the states of the various dimensions. Not only must categories be meaningful in themselves, but differentiation between them must be feasible by fairly simple and yet reliable means. For these reasons a full spectrum of sensitivity, spanning the available nine digits, has not always been possible. One must acknowledge here the value of concepts such as that of critical interval needs,<sup>5</sup> which has been incorporated in the physical independence dimension and is much superior to more arbitrary distinctions such as those based upon the duration for which help is needed. How successful all these attempts have been, and how appropriate the ordination within each dimension is, will become apparent only after further practical trials of the classification.

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5. Isaacs, B., & Neville, Y. (1975) *The measurement of need in old people*. (Scottish Health Service Studies, No. 34) Edinburgh, Her Majesty's Stationery Office.

Certain other problems are likely to be encountered. First, disadvantage may be perceived in three different ways – subjectively, by the individual himself; by others who are significant to the individual; and by the community as a whole. Secondly, there is ambiguity over how to regard third-party handicap – i.e., handicap in an individual who is not himself impaired but who suffers disadvantage because of the demands made upon him by chronic illness or disability in the family. The difficulty is that, in following the definition of handicap with rigour, it is not possible to take account of such people in the present context, since their disadvantage is not consequent upon their own impairment and disability. Thirdly, it should be obvious from what has been said that it is not possible for any scheme intended for international use to correspond exactly with eligibility status for various benefits.

## SECTION 2

### CLASSIFICATION OF IMPAIRMENTS

List of two-digit categories

- 1 Intellectual impairments
- 2 Other psychological impairments
- 3 Language impairments
- 4 Aural impairments
- 5 Ocular impairments
- 6 Visceral impairments
- 7 Skeletal impairments
- 8 Disfiguring impairments
- 9 Generalized, sensory, and other impairments

Guidance on assignment

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## **IMPAIRMENT**

*Definition*      In the context of health experience, an impairment is any loss or abnormality of psychological, physiological, or anatomical structure or function

(Note : “Impairment” is more inclusive than “disorder” in that it covers losses – e.g., the loss of a leg is an impairment, but not a disorder)

*Characteristics*      Impairment is characterized by losses or abnormalities that may be temporary or permanent, and that include the existence or occurrence of an anomaly, defect, or loss in a limb, organ, tissue, or other structure of the body, including the systems of mental function. Impairment represents exteriorization of a pathological state, and in principle it reflects disturbances at the level of the organ



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## LIST OF TWO-DIGIT CATEGORIES OF IMPAIRMENT

### 1 INTELLECTUAL IMPAIRMENTS

#### Impairments of intelligence (10-14)

- 10 Profound mental retardation
- 11 Severe mental retardation
- 12 Moderate mental retardation
- 13 Other mental retardation
- 14 Other impairment of intelligence

#### Impairments of memory (15-16)

- 15 Amnesia
- 16 Other impairment of memory

#### Impairments of thinking (17-18)

- 17 Impairment of flow and form of thought processes
- 18 Impairment of thought content

#### Other intellectual impairments (19)

- 19 Other intellectual impairment

### 2 OTHER PSYCHOLOGICAL IMPAIRMENTS

#### Impairments of consciousness and wakefulness (20-22)

- 20 Impairment of clarity of consciousness and of the quality of conscious experience
- 21 Intermittent impairment of consciousness
- 22 Other impairment of consciousness and wakefulness

#### Impairments of perception and attention (23-24)

- 23 Impairment of perception
- 24 Impairment of attention

**Impairments of emotive and volitional functions (25-28)**

- 25 Impairment of drives
- 26 Impairment of emotion, affect, and mood
- 27 Impairment of volition
- 28 Impairment of psychomotor functions

**Behaviour pattern impairments (29)**

- 29 Impairment of behaviour pattern

**3 LANGUAGE IMPAIRMENTS****Impairments of language functions (30-34)**

- 30 Severe impairment of communication
- 31 Impairment of language comprehension and use
- 32 Impairment of extralinguistic and sublinguistic functions
- 33 Impairment of other linguistic functions
- 34 Other impairment of learning

**Impairments of speech (35-39)**

- 35 Impairment of voice production
- 36 Other impairment of voice function
- 37 Impairment of speech form
- 38 Impairment of speech content
- 39 Other impairment of speech

**4 AURAL IMPAIRMENTS****Impairments of auditory sensitivity (40-45)**

- 40 Total or profound impairment of development of hearing
- 41 Profound bilateral hearing loss
- 42 Profound hearing impairment in one ear with moderately severe impairment of the other ear
- 43 Moderately severe bilateral hearing impairment
- 44 Profound hearing impairment in one ear with moderate or lesser impairment of the other ear
- 45 Other impairment of auditory sensitivity

**Other auditory and aural impairments (46-49)**

- 46 Impairment of speech discrimination
- 47 Other impairment of auditory function
- 48 Impairment of vestibular and balance function
- 49 Other impairment of aural function

**5 OCULAR IMPAIRMENTS****Impairments of visual acuity (50-55)**

- 50 Absence of eye
- 51 Profound visual impairment of both eyes
- 52 Profound visual impairment of one eye with low vision in the other eye
- 53 Moderate visual impairment of both eyes
- 54 Profound visual impairment of one eye
- 55 Other impairment of visual acuity

**Other visual and ocular impairments (56-58)**

- 56 Visual field impairment
- 57 Other visual impairment
- 58 Other ocular impairment

**6 VISCERAL IMPAIRMENTS****Impairments of internal organs (60-66)**

- 60 Mechanical and motor impairment of internal organs
- 61 Impairment of cardiorespiratory function
- 62 Impairment of gastrointestinal function
- 63 Impairment of urinary function
- 64 Impairment of reproductive function
- 65 Deficiency of internal organs
- 66 Other impairment of internal organs

**Impairments of other special functions (67-69)**

- 67 Impairment of sexual organs
- 68 Impairment of mastication and swallowing
- 69 Impairment related to olfaction and other special functions

**7 SKELETAL IMPAIRMENTS****Impairments of head and trunk regions (70)**

- 70 Impairment of head and trunk regions

**Mechanical and motor impairments of limbs (71-74)**

- 71 Mechanical impairment of limb
- 72 Spastic paralysis of more than one limb
- 73 Other paralysis of limb
- 74 Other motor impairment of limb

**Deficiencies of limbs (75-79)**

- 75 Transverse deficiency of proximal parts of limb
- 76 Transverse deficiency of distal parts of limb
- 77 Longitudinal deficiency of proximal parts of upper limb
- 78 Longitudinal deficiency of proximal parts of lower limb
- 79 Longitudinal deficiency of distal parts of limb

**8 DISFIGURING IMPAIRMENTS****Disfigurements of head and trunk regions (80-83)**

- 80 Deficiency in head region
- 81 Structural deformity in head and trunk regions
- 82 Other disfigurement of head
- 83 Other disfigurement of trunk

**Disfigurements of limbs (84-87)**

- 84 Failure of differentiation of parts
- 85 Other congenital malformation
- 86 Other structural disfigurement
- 87 Other disfigurement

**Other disfiguring impairments (88-89)**

- 88 Abnormal orifice
- 89 Other disfiguring impairment

**9 GENERALIZED, SENSORY, AND OTHER IMPAIRMENTS****Generalized impairments (90-94)**

- 90 Multiple impairment
- 91 Severe impairment of continence
- 92 Undue susceptibility to trauma
- 93 Metabolic impairment
- 94 Other generalized impairment

**Sensory impairments (95-98)**

- 95 Sensory impairment of head
- 96 Sensory impairment of trunk
- 97 Sensory impairment of upper limb
- 98 Other sensory impairment

**Other impairments (99)**

- 99 Other impairment

# 1 INTELLECTUAL IMPAIRMENTS

Intellectual impairments include those of intelligence, memory, and thought  
Excludes : impairments of language and learning (30-34)

## IMPAIRMENTS OF INTELLIGENCE (10-14)

Includes : disturbances of the rate and degree of development of cognitive functions, such as perception, attention, memory, and thinking, and their deterioration as a result of pathological processes

- 10 **Profound mental retardation**  
IQ under 20  
Individuals who may respond to skill training in the use of legs, hands, and jaws
- 11 **Severe mental retardation**  
IQ 20-34  
Individuals who can profit from systematic habit training
- 12 **Moderate mental retardation**  
IQ 35-49  
Individuals who can learn simple communication, elementary health and safety habits, and simple manual skills, but do not progress in functional reading or arithmetic
- 13 **Other mental retardation**
- 13.0 *Mild mental retardation*  
IQ 50-70  
Individuals who can acquire practical skills and functional reading and arithmetic abilities with special education, and who can be guided towards social conformity
- 13.8 *Other*
- 13.9 *Unspecified*
- 14 **Other impairment of intelligence**
- 14.0 *Global dementia*  
Dementia affecting all cognitive functions and skills  
Includes : deterioration of cognitive functioning as a result of cerebral disease or trauma
- 14.1 *Lacunar or patchy dementia*  
With partial preservation of some cognitive functions and skills

- 14.2 *Other and unspecified dementia*
- 14.3 *Loss of learned skills*
- 14.8 *Other*
- 14.9 *Unspecified*

### IMPAIRMENTS OF MEMORY (15-16)

- 15 **Amnesia**
  - Includes : partial or complete loss of memory for past events, and inability to register, retain, or retrieve new information
  - 15.0 *Retrograde amnesia*
    - Impaired memory for happenings prior to some well-identified event
  - 15.1 *Impairment of long-term memory*
  - 15.2 *Impairment of recent memory*
    - Includes : congrade amnesia, impaired ability to acquire new information
  - 15.3 *Psychogenic amnesia*
    - Irregularity of pattern of memory loss
  - 15.4 *Impairment of memory for shapes*
  - 15.5 *Impairment of memory for words*
  - 15.6 *Impairment of memory for figures*
  - 15.8 *Other*
  - 15.9 *Unspecified*
  
- 16 **Other impairment of memory**
  - Memory includes the capacity to register, retain, and reproduce information
  - Includes : false memories and distortions of memory content
  - 16.0 *Confabulation*
  - 16.1 *Memory illusions*
    - Paramnesia
  - 16.2 *Cryptomnesia*
    - Recall of facts or events without recognizing them as memories
  - 16.3 *Other distortion of memory content*
  - 16.4 *Forgetfulness*
  - 16.8 *Other*
  - 16.9 *Unspecified*

## IMPAIRMENTS OF THINKING (17-18)

- 17 Impairment of flow and form of thought processes**  
 Includes : disturbances affecting the speed and organization of thought processes, and the ability to form logical sequences of ideas
- 17.0 *Impairment of conceptualization or abstraction*  
 Relates to the ability to interpret the meaning of what is perceived, to integrate perceptions, to form meaningful relations among perceptions, and to abstract
- 17.1 *Impairment of logical thinking*  
 Relates to the ability to relate ideas hierarchically
- 17.2 *Slowness of thought*
- 17.3 *Acceleration of thought*
- 17.4 *Perseveration*  
 Includes : “getting stuck”, repeating phrases, and constantly returning to same topic
- 17.5 *Circumstantial thinking*
- 17.6 *Obsessional ideas*
- 17.7 *Flight of ideas*  
 Includes : association of words by sound or rhyme
- 17.8 *Other*  
 Includes : incoherence of thought processes
- 17.9 *Unspecified*
- 18 Impairment of thought content**  
 Includes : restriction of thought content, excessive or unrealistic emphasis on and preoccupation with a particular set of ideas to the exclusion of critical examination of the ideas, and false beliefs not amenable to correction through logical argument and reality testing
- 18.0 *Poverty of thought content*
- 18.1 *Overvalued ideas*
- 18.2 *Paranoid delusions*  
 A delusion is a false belief, impervious to the force of reason, and not shared by others of similar education and cultural background. A paranoid delusion or idea of reference is a delusion in which the individual considers that things in his surroundings are happening especially in connexion with him



- 18.3 *Depressive delusions*  
Includes : delusions of guilt and impoverishment
- 18.4 *Delusional jealousy*
- 18.5 *Delusions of grandeur*
- 18.6 *Fantastic delusions*
- 18.7 *Hypochondriacal and nihilistic delusions*
- 18.8 *Other delusions*
- 18.9 *Other and unspecified*

### OTHER INTELLECTUAL IMPAIRMENTS (19)

- 19 **Other intellectual impairment**  
Includes : Impairments of gnosis and praxis functions, where there is disturbance of higher cortical functions underlying the recognition and purposeful manipulation of objects
- 19.0 *Agnosia*  
Disturbed ability to recognise objects in the absence of impairments of consciousness, memory, and thinking
- 19.1 *Apraxia*  
Disturbed ability to perform learned purposeful movements in the absence of impairments of consciousness, memory, thinking, and motor capacity
- 19.2 *Acalculia*  
Disturbed ability to count and operate with numbers in the absence of impairments of consciousness, memory, and thinking
- 19.3 *Impairment of openness to new ideas*
- 19.4 *Misinterpretation*  
A misinterpretation is a false construction put by the individual on an occurrence
- 19.8 *Other*
- 19.9 *Unspecified*

## 2 OTHER PSYCHOLOGICAL IMPAIRMENTS

Psychological impairments have been interpreted so as to include interference with the basic functions constituting mental life. For the purposes of this scheme, the functions listed as being impaired are those that normally indicate the presence of basic neurophysiological and psychological mechanisms. The level of organization of these functions is that usually recorded in a clinical examination of the central nervous system and in the examination of "mental status". In addition, some more complex psychological functions to do with drives, emotional control, and reality testing have also been included.

Conventionally symptoms such as hallucinations and delusions are usually thought of as very closely related to what have been defined here as impairments. In terms of the classification they can be regarded as the result of impairment of some essential psychological processes, which must normally exist even though we are as yet largely ignorant of their nature. For instance, severe anxiety symptoms can be thought of as an impairment of autonomic response control mechanisms; the same applies to morbid depressive affect, and to hypomanic affect. Similarly, hallucinations presumably result from impairment of mechanisms differentiating between self and non-self, while delusions indicate impairment of analogous mechanisms concerned with reality testing. For the purposes of the classification, symptoms have been included among impairments with the understanding that there is an inferred impairment of some underlying complex psychological mechanism.

Interferences with behaviour that represent complex purposeful and integrated sequences of interaction with and response to the environment and other persons are, for this purpose, properly regarded as disabilities rather than impairments.

### IMPAIRMENTS OF CONSCIOUSNESS AND WAKEFULNESS (20-22)

#### 20 **Impairment of clarity of consciousness and the quality of conscious experience**

Includes : various degrees of diminished wakefulness, and states characterized by changes in the level of wakefulness combined with altered awareness of self and the surrounding world

Excludes : Intermittent impairment of consciousness (21)

- 20.0 *Unconsciousness*  
Includes : coma, sopor, and stupor
- 20.1 *Clouding of consciousness*  
Includes : transitional syndrome or post-concussional state
- 20.2 *Narrowing of field of consciousness*  
Includes : when due to affect
- 20.3 *Delirium*  
Includes : twilight states
- 20.4 *Other confusional state*  
Includes : disorientation for time, place, and persons
- 20.5 *Dissociative state*
- 20.6 *Trance-like state*  
Includes : hypnotic state
- 20.7 *Akinetic mutism*
- 20.8 *Other*
- 20.9 *Unspecified*
  
- 21 Intermittent impairment of consciousness**  
Includes : intermittent ictal disturbances characterized by a total or partial loss of consciousness or by states of altered awareness, and a variety of local cerebral signs and symptoms
- 21.0 *Profound intermittent interruption of consciousness*  
Includes : epilepsy with frequency of seizures of once per day or greater
- 21.1 *Severe intermittent interruption of consciousness*  
Includes : epilepsy with frequency of seizures of once per week or greater
- 21.2 *Moderate intermittent interruption of consciousness*  
Includes : epilepsy with frequency of seizures of once per month or greater
- 21.3 *Mild intermittent interruption of consciousness*  
Includes : epilepsy with frequency of seizures less than once per month
- 21.4 *Intermittent disturbance of consciousness*  
Includes : psychomotor epilepsy
- 21.5 *Other seizures*  
Includes : petit mal
- 21.6 *Other intermittent interruption of consciousness*  
Includes : syncope and drop-attacks
- 21.7 *Fugue states*

21.8 *Other*

21.9 *Unspecified*

**22 Other impairment of consciousness and wakefulness**

Includes : impairments of the sleep/wakefulness cycle, both disturbances affecting the quantity, quality, and pattern of the processes of sleep and wakefulness, and impairments of autonomic control of bodily functions that are influenced by the sleep cycle

22.0 *Difficulty in getting off to sleep*

22.1 *Premature awakening from sleep*

Includes : insomnia NOS

22.2 *Hypersomnia*

Excessive sleeping

22.3 *Other impairment of sleep/wakefulness pattern*

Includes : narcolepsy

22.4 *Enuresis nocturna*

22.5 *Other abnormality of activity during sleep*

Includes : sleep-walking and sleep-talking

22.6 *Other impairment of sleep/wakefulness cycle*

22.7 *Sleepiness*

Includes : somnolence

22.8 *Other*

Includes : impairment of awareness (which is an undifferentiated response to stimulus)

22.9 *Unspecified*

**IMPAIRMENTS OF PERCEPTION AND ATTENTION (23-24)**

Includes : disturbances of the functions enabling an individual to receive through the senses, to process information about the individual's own body and his environment, and to focus selectively on aspects or parts of such information

**23 Impairment of perception**

23.0 *Impairment of the intensity of perception*

Includes : changes in the degree to which qualities and attributes of objects are perceived as vivid and impressing on the mind

23.00 Uniform dulling of perception

- 23.01 Selective dulling of perception  
Includes : dulling in specific modalities
- 23.02 Uniform heightening of perception
- 23.03 Selective heightening of perception  
Includes : hypersensitivity to noise
- 23.08 Other
- 23.09 Unspecified
- 23.1 *Distortion of perception*  
Includes : illusions, disturbed percepts where the objective content of sense data received in different modalities is distorted – something actually to be seen or heard is experienced as something else  
Excludes : depersonalization (23.30)
- 23.10 Optical illusions
- 23.11 Acoustic illusions
- 23.12 Tactile illusions
- 23.13 Kinaesthetic illusions
- 23.14 Illusions in other sense modalities
- 23.15 Composite illusions  
Includes : pareidolic imagery
- 23.18 Other
- 23.19 Unspecified
- 23.2 *False perception*  
Includes : hallucinations and pseudohallucinations, false or abnormal percepts that are not based on objective sense data
- 23.20 Visual hallucinations
- 23.21 Auditory hallucinations
- 23.22 Tactile hallucinations
- 23.23 Olfactory hallucinations
- 23.24 Gustatory hallucinations
- 23.25 Other hallucinations
- 23.26 Pseudohallucinations  
Includes : those in any sense modality
- 23.27 Oneiroid or dream-like hallucinatory state
- 23.28 Other
- 23.29 Unspecified
- 23.3 *Disturbance of body awareness*

- 23.30 **Depersonalization**  
Experiences of alienation from one's own body, and the experience that one's relationship to the environment and surroundings (and vice versa) is altered
- 23.31 **Derealization**  
Alteration of the feeling of reality/unreality and familiarity/unfamiliarity accompanying the perception of objects  
Includes : *déjà vu*, *jamais vu*, and *déjà vécu* experiences
- 23.32 **Body image disorder**  
Includes : phantom limb experiences
- 23.38 **Other**
- 23.39 **Unspecified**
- 23.4 ***Disturbances of time and space perception***  
Includes : time-standing-still, micropsy, and macropsy experiences
- 23.5 ***Impairment of reality testing***  
Includes : loss of ability to distinguish fantasy from reality
- 23.8 ***Other***
- 23.9 ***Unspecified***
- 24 Impairment of attention**  
Includes : disturbances of the intensity, span, and mobility of attention, the latter being a differentiated response to specific stimulus
- 24.0 ***Distractibility***
- 24.1 ***Impaired concentration***
- 24.2 ***Narrowing of attention span***
- 24.3 ***Impaired ability to shift focus of attention***  
Includes : fixed attention
- 24.4 ***Blank spells***  
Includes : sudden stoppage or inattention while speaking for a few seconds or longer (may be due to thought-blocking or hallucination)
- 24.5 ***Inattentiveness***
- 24.6 ***Impairment of alertness***  
Includes : diminished ability to stay alert as reflected by facial expression, speech, or posture

24.8 *Other*

24.9 *Unspecified*

**IMPAIRMENTS OF EMOTIVE AND VOLITIONAL FUNCTIONS (25-28)**

Refer to functions which contribute predispositions to action and purposeful behaviour

**25 Impairment of drives**

Includes : increase, decrease, or changes of pattern of various behaviours related to basic physiological needs or instincts

Excludes : impairment of volition (27)

25.0 *Decreased appetite*

Includes : anorexia

25.1 *Increased appetite*

Includes : hyperorexia and bulimia

25.2 *Impairment of sexual role*

Includes : lack of interest in a sexual relationship or contact

25.3 *Decrease of libido*

Includes : loss of libido

25.4 *Other impairment of sexual performance*

In the presence of normal libido

Includes : other disturbances of sexual functioning

Excludes : impairment of reproductive function (64) and of sexual organs (67)

25.40 Impotence

25.41 Ejaculatio praecox

25.42 Frigidity

25.48 Other

25.49 Unspecified

25.5 *Alcohol dependence*

Includes : alcoholism

25.6 *Other drug dependence*

Includes : drug addiction

25.7 *Other pathological craving*

Includes : states of pathological craving related to substance dependence, and alcohol abuse

- 25.8 *Other*
- 25.80 Inability to sustain goals
  - 25.81 Impairment of motivation
  - 25.88 Other
- 25.9 *Unspecified*
- 26 Impairment of emotion, affect, and mood**
- Includes : disturbances of the intensity and quality of feelings and their somatic accompaniments, and disturbances of the duration and stability of feeling states
- Excludes : pathological affect leading to narrowing of field of consciousness (20.2)
- 26.0 *Anxiety*
- Includes : tense, worried look or posture, fearful apprehensive look, frightened tone of voice, and tremor
- Excludes : tremor NOS (74.92)
- 26.00 Pathological anxiety
    - Includes : free-floating anxiety
  - 26.01 Phobic anxiety
    - Includes : panic attacks
  - 26.08 Other
  - 26.09 Unspecified
- 26.1 *Depression*
- Includes : anhedonia, and features such as sad, mournful look, tears, gloomy tone of voice, deep sighing, and choking of voice on depressing topic
- 26.2 *Other blunting of affect*
- Includes : apathy, expressionless face or voice, uniform blunting whatever the topic of conversation, indifference to distressing topics, and flatness of affect
- 26.3 *Gross excitement*
- Includes : the individual is manic, or throws things, runs or jumps around, waves arms wildly, shouts, or screams
- 26.4 *Other excitement*
- Includes : euphoria, elation, hypomania, and unduly cheerful or smiling.
- Excludes : psychomotor excitement (28.2)



- 26.5 *Irritability*  
Includes : angry outbursts
- 26.6 *Emotional lability*  
Includes : lability of one mood, changing from one mood to another, and proneness to periods of depression or elation
- 26.7 *Incongruity of affect*  
Includes : emotion shown but not congruent with topic, and ambivalent affect
- 26.8 *Other*
- 26.80 "Catastrophic reaction"
- 26.81 Attempted control of affect display  
Includes : attempt to suppress crying or anger, or to fake a socially appropriate affect
- 26.82 Restlessness
- 26.83 Feelings of guilt
- 26.84 Emotional immaturity
- 26.85 Distress NEC
- 26.88 Other
- 26.9 *Unspecified*  
Includes : emotionally disturbed NOS, emotional impairment NEC
- 27 Impairment of volition**  
Includes : disturbances of the capacity for purposeful behaviour and control of own actions  
Excludes : obsessional traits (29.5) and mutism (30.0)
- 27.0 *Lack of initiative*  
Includes : impairment of manifestations of independent or unprompted action and self determination (the latter including expression of personal opinion such as spontaneous criticism or disagreement (not negativism, 27.3), situation-relevant acts such as closing a door or lifting an object from the floor, asking questions, and making requests or demands)
- 27.1 *Restriction of interests*  
Includes : loss of interests
- 27.2 *Overcompliance*  
Includes : excessive cooperation with elements of passivity, and automatic submission

- 27.3 *Negativism*
- 27.4 *Ambitendence*
- 27.5 *Compulsions*  
Includes : rituals
- 27.6 *Impairment of impulse control*  
Includes : impulsive acts
- 27.8 *Other*  
Includes : impairment of adaptability, and other impairment of cooperation (e.g., misleading responses such as consistently negative responses and frequent self-contradiction, or appearance of being deliberately misleading)  
Excludes : Fatigability (28.5)
- 27.9 *Unspecified*
- 28 Impairment of psychomotor functions**  
Includes : disturbances in the speed, rate, and quality of voluntary movements in the presence of an intact neural motor apparatus  
Excludes : involuntary movements of face (70.21), head (70.31), and body (70.54), and facial mannerisms (70.22)
- 28.0 *Slowness*  
Includes : slowness of psychic tempo, reduction of rate or speed of voluntary movements, and delays in responding to questions or in initiating requested tasks or movements (such as walking abnormally slowly, delay in performing movements, slowness of speech with long pauses before answering or between words, and reduction of facial movements)  
Excludes : indistinct speech (35.5)
- 28.1 *Other underactivity*  
Includes : hypoactivity, semistuporous states, and reduction of extent of voluntary movements (such as sitting abnormally still, near total lack of voluntary movement, "doing nothing", and immobility of face)  
Excludes : stupor (20.0)
- 28.2 *Psychomotor excitement*
- 28.3 *Hyperkinesia in children*
- 28.4 *Other overactivity*  
Includes : over-talkativeness, pacing up and down restlessly, and not sitting down for a minute

- 28.5 *Fatigability*  
 Fatigue out of proportion to demands experienced  
 Includes : abnormal fall-off in alertness or speed of response or initiative  
 Excludes : sleepiness (22.7) and generalized fatigue (94.6)
- 28.8 *Other*
- 28.9 *Unspecified*

### BEHAVIOUR PATTERN IMPAIRMENTS (29)

Refer to habitual patterns of behaviour that may interfere with social adjustment and functioning. Such patterns of behaviour may be present since adolescence and throughout most of adult life (e.g., in personality disorders), or may occur as persisting sequelae of neurological or mental illnesses. They manifest themselves mainly as accentuated character traits

Excludes : emotional lability (26.6)

#### 29 Impairment of behaviour pattern

- 29.0 *Suspiciousness*
- 29.1 *Social withdrawal*  
 Includes : active avoidance of verbal or non-verbal interaction with other people, or of being in the physical presence of other people (e.g., avoidance of customarily expected social activities outside the home such as visiting kin or friends, going out with friends, and participating in games)
- 29.2 *Excessive shyness*  
 Includes : excessive sensitivity and vulnerability, and other impairment of ability to mix with people
- 29.3 *Hypochondriasis*
- 29.4 *Worrying*  
 Excludes : anxiety (26.0)
- 29.5 *Obsessional traits*  
 Includes : insecurity, indecisiveness, and repetition compulsion
- 29.6 *Other phobias*  
 Includes : agoraphobia
- 29.7 *Hostility*  
 Includes : aggressivity, being uncooperative, angry, overtly hostile, discontented, antagonistic, threatening, or violent (hitting out at or attacking others)

- 29.8 *Other*
- 29.80 Histrionic traits
  - 29.81 Perplexity
    - Includes : puzzlement
  - 29.82 Self-injury
    - Includes : head banging, picking at sores, and beating eyes
  - 29.83 Other destructiveness
    - Includes : damaging furniture and tearing up pages, magazines, or clothing
  - 29.84 Attention-seeking
    - Includes : will not leave (other) adults
  - 29.85 Solitary behaviour
  - 29.88 Other
- 29.9 *Unspecified*
- Includes : personality disorder NEC

### 3 LANGUAGE IMPAIRMENTS

Language impairments relate to the comprehension and use of language and its associated functions, including learning

#### IMPAIRMENTS OF LANGUAGE FUNCTIONS (30-34)

##### **30 Severe impairment of communication**

30.0 *Severe functional impairment of communication*

Includes : mutism

30.1 *Combined central disorders of speech and visual function with severe impairment of communication*

Includes : autism

30.2 *Impairment of higher centres for speech with inability to communicate*

Includes : severe dysphasia

30.3 *Other dysphasia*

30.4 *Other severe impairment of communication due to cerebral damage*

30.5 *Other total or severe interference with communication*

30.8 *Other impairment of higher centres for speech*

30.9 *Unspecified*

##### **31 Impairment of language comprehension and use**

31.0 *Central disorders of visual function with inability to communicate*

Includes : severe dyslexia

31.1 *Other dyslexia*

31.2 *Other central disorders of visual function*

31.3 *Impairment of vocabulary*

31.4 *Impairment of syntax*

31.5 *Impairment of semantic function*

31.8 *Other*

31.9 *Unspecified*

**32 Impairment of extralinguistic and sublinguistic functions**32.0 *Impairment of extralinguistic functions*

Includes : imitation (reproduction of sounds without comprehension)

32.1 *Impairment of processing, patterning, and retention of auditory stimuli in a temporal and form-integrated manner*32.8 *Other impairment of sublinguistic functions*32.9 *Unspecified***33 Impairment of other linguistic functions**33.0 *Impairment of use of other language systems*

Includes : finger spelling and sign language

33.1 *Impairment of listener feedback*

Includes : lack of signals usually emitted by listener (e.g., affirmative nodding and phrases such as "I see ..." and "Is that so ?")

33.2 *Other impairment of facial expression*

Includes : increase, reduction, or inappropriateness of quantity or range of facial expression (such as gaze avoidance, looking up, and abnormal staring)

Excludes : disturbance of facial expression (70.23)

33.3 *Other impairment of body language*

Includes : pantomime, gesture, idiosyncratic or involuntary patterns of body movement, and disturbance of posture, orientation, and tonus (such as abnormally reclined, relaxed, uncomfortable, inappropriate, or closed posture position), uncommunicative body orientation (e.g., turned away from conversation partner at 90° angle), abnormally limited gesture, abnormal muscle tension, agitation (e.g., fidgety, restless, pacing, or frequent unnecessary movements), and catatonic movements (echopraxia, *flexibilitas cerea*, "Mitgehen", and echolalia)

Excludes : slowness of body movement (28.0), stereotypies and postural mannerisms (70.55), negativism (27.3), and ambitendence (27.4)

33.4 *Other impairment of language comprehension, verbal*33.5 *Other impairment of language comprehension*

Includes : nonverbal

- 33.6 *Other impairment of language formulation, oral*
- 33.7 *Other impairment of language formulation*  
Includes : graphic
- 33.8 *Other*
- 33.9 *Unspecified*
- 34 Other impairment of learning**  
Excludes : those related to impairment of intelligence (10-14),  
and impairment of openness to new ideas (19.3)
- 34.0 *Delayed language comprehension and use for auditory stimuli*
- 34.1 *Delayed language comprehension and use for visual stimuli*
- 34.2 *Reading difficulties*
- 34.3 *Other impairment of reading*
- 34.4 *Impairment of writing*  
Includes : mirror writing
- 34.5 *Other specific learning difficulties*  
A child with specific learning difficulties is one with average or above-average intelligence and with no evidence of major motor disorder, neurosensory loss, primary emotional disorder, or environmental disadvantage, who exhibits difficulties in understanding or using spoken or written language as manifested by disorders of listening, thinking, reading, writing, spelling, or arithmetic
- 34.8 *Other*
- 34.9 *Unspecified*

#### IMPAIRMENTS OF SPEECH (35-39)

- 35 Impairment of voice production**
- 35.0 *Use of substitute voice*  
Includes : artificial larynx
- 35.1 *Other deficiency of larynx*
- 35.2 *Other total loss of voice production*
- 35.3 *Severe dysarthria*
- 35.4 *Other dysarthria*

- 35.5 *Indistinct speech*  
Includes : drawling, mumbling, slurring, and other features making speech difficult to understand  
Excludes : impairments of speech form (37)
- 35.6 *Other impairment of neurological control*  
Includes : laryngeal palsy
- 35.7 *Other impairment of speech organs*
- 35.8 *Other*
- 35.9 *Unspecified*
- 36 Other impairment of voice function**
- 36.0 *Other impairment of nonverbal "grammar"*  
Includes : lack of changes of pitch and loudness to amplify meaning  
Excludes : impaired use of gesture (33.3)
- 36.1 *Impairment of voice modulation*  
Includes : expressionless and flat tone of voice
- 36.2 *Impairment of pitch*
- 36.3 *Abnormally quiet voice*
- 36.4 *Other impairment of loudness*
- 36.5 *Other impairment of intonation*
- 36.6 *Impairment of voice quality*  
Includes : harsh, breathy
- 36.7 *Impairment of other qualities of voice*
- 36.8 *Other*
- 36.9 *Unspecified*
- 37 Impairment of speech form**
- 37.0 *Impairment of speech fluency*  
Includes : stammering and stuttering
- 37.1 *Impairment of speech pressure*  
Includes : more copious speech than normal, too rapid speech
- 37.2 *Other impairment of speech patterning*  
Includes : impairment of rate, rhythm, and stress
- 37.3 *Other impairment of phonation*
- 37.4 *Other impairment of resonance*



- 37.5 *Impairment of coherence*  
Includes : distorted grammar, lack of logical connexion, sudden irrelevancies, and answering off the point
- 37.6 *Nonsocial speech*  
Includes : talking, muttering, or whispering out loud or out of context of conversation
- 37.7 *Other impairment of conversational form*  
Includes : simultaneous talking or talking out of turn
- 37.8 *Other*
- 37.9 *Unspecified*
- 38 Impairment of speech content**  
Excludes : perseveration (17.4) and flight of ideas (17.7)
- 38.0 *Idiosyncratic use of words or phrases*  
Includes : use of neologisms
- 38.1 *Other inappropriate speech*  
Includes : excessive use of puns, rhymes, jokes, and song, and irrelevant speech
- 38.2 *Other impairment of humour*  
Includes : other inappropriate humour or lack of humour although appropriate occasions offered by conversation
- 38.3 *Impairment of speech length*  
Includes : abnormally lengthy or circumstantial speech, and individual difficult to interrupt
- 38.4 *Other impairment of speech quantity*  
Includes : restricted quantity of speech (frequently fails to answer, or restricted to minimum necessary, or no extra sentences or additional comments)
- 38.5 *Poverty of speech content*
- 38.8 *Other*
- 38.9 *Unspecified*
- 39 Other impairment of speech**

## 4 AURAL IMPAIRMENTS

Aural impairments relate not only to the ear, but also to its associated structures and functions. The most important subclass of aural impairment is made up of impairments relating to the function of hearing

### IMPAIRMENTS OF AUDITORY SENSITIVITY (40-45)

**Terminology** The term "deaf" should be applied only to individuals whose hearing impairment is so severe that they are unable to benefit from any amplification

Auditory sensitivity is determined by the average hearing threshold level, measured in decibels (dB), for pure tone stimuli of 500, 1000, and 2000 hertz (Hz), with reference to ISO : R389 - 1970. Distinction is customarily made between the following levels of hearing impairment :

total hearing loss	
profound hearing impairment	more than 91 dB (ISO)
severe hearing impairment	71 - 91 dB (ISO)
moderately severe hearing impairment	
impairment	56 - 70 dB (ISO)
moderate hearing impairment	41 - 55 dB (ISO)
mild hearing impairment	26 - 40 dB (ISO)

**Coding** Where hearing impairment is asymmetrical it should be classified according to the less impaired side.

#### 40 Total or profound impairment of development of hearing

An individual who has lost or never had the ability to hear and understand speech even when amplified, this loss having been suffered prior to the age of 19 years

Includes : deaf mutism

#### 41 Profound bilateral hearing loss

41.0 *Total bilateral hearing loss*

41.1 *Total hearing loss in one ear, profound impairment in the other ear*

41.2 *Profound bilateral impairment of hearing*

- 41.3 *Other profound hearing impairment of one ear specified as the better ear*
- 41.9 *Unspecified*  
Includes : (bilateral) deafness NOS, profound hearing loss NOS  
Excludes : profound hearing impairment where only one ear is mentioned and not specified as the better ear (44.3 and 44.7)
- 42 Profound hearing impairment in one ear with moderately severe impairment of the other ear**
- 42.0 *Total hearing loss in one ear, hearing impairment of other ear severe*
- 42.1 *Total hearing loss in one ear, hearing impairment of other ear moderately severe*
- 42.2 *Profound hearing impairment in one ear, hearing impairment of other ear severe*
- 42.3 *Profound hearing impairment in one ear, hearing impairment of other ear moderately severe*
- 42.4 *Other severe hearing impairment in one ear specified as the better ear*
- 43 Moderately severe bilateral hearing impairment**
- 43.0 *Severe bilateral hearing impairment*
- 43.1 *Severe hearing impairment in one ear, hearing impairment in other ear moderately severe*
- 43.2 *Moderately severe bilateral hearing impairment*
- 43.3 *Other moderately severe hearing impairment in one ear specified as the better ear*
- 43.8 *Hard of hearing bilaterally NOS*
- 43.9 *Unspecified*  
Includes : bilateral hearing impairment NOS, hard of hearing NOS, psychogenic deafness  
Excludes : moderately severe hearing impairment where only one ear mentioned and not specified as the better ear (45.1, 45.3)

- 
- 44 Profound hearing impairment in one ear with moderate or lesser impairment of the other ear**
- 44.0 *Total hearing loss in one ear, hearing impairment in other ear moderate*
- 44.1 *Total hearing loss in one ear, hearing impairment in other ear mild*
- 44.2 *Total hearing loss in one ear, no hearing impairment in other ear*
- 44.3 *Total hearing loss in one ear, hearing impairment in other ear not stated*
- 44.4 *Profound hearing impairment in one ear, hearing impairment in other ear moderate*
- 44.5 *Profound hearing impairment in one ear, hearing impairment in other ear mild*
- 44.6 *Profound hearing impairment in one ear, no hearing impairment in other ear*
- 44.7 *Profound hearing impairment in one ear, hearing impairment in other ear not stated*
- 44.9 *Unspecified*  
Includes : deafness of one ear NOS
- 45 Other impairment of auditory sensitivity**
- 45.0 *Severe hearing impairment in one ear, hearing impairment in other ear moderate or mild*
- 45.1 *Severe hearing impairment in one ear, hearing impairment in other ear not present or not stated*
- 45.2 *Moderately severe hearing impairment in one ear, hearing impairment in other ear moderate or mild*
- 45.3 *Moderately severe hearing impairment in one ear, hearing impairment in other ear not present or not stated*
- 45.4 *Moderate bilateral hearing impairment*
- 45.5 *Moderate hearing impairment in one ear, hearing impairment in other ear mild*
- 45.6 *Moderate hearing impairment in one ear, hearing impairment in other ear not present or not stated*
- 45.7 *Mild bilateral hearing impairment*
- 45.8 *Mild hearing impairment in one ear, hearing impairment in other ear not present or not stated*

- 45.9 *Unspecified*  
Includes : hearing impairment NOS

#### OTHER AUDITORY AND AURAL IMPAIRMENTS (46-49)

- 46 Impairment of speech discrimination**  
A distortion of hearing function where the basic problem exists in discrimination and recognition, involving auditory distortion not accountable in terms of sensorineural sensitivity. It is assessed by speech audiometry (discrimination for monosyllabic words in quiet, with speech presented at comfort level in sound field), but is a function of auditory sensitivity and can be calculated from the pure tone threshold of hearing, and so often is not distinguished  
Excludes : impairments accompanied by impairment of auditory sensitivity (40-45)
- 46.0 *Profound bilateral impairment of discrimination* (less than 40% correct)  
46.1 *Severe bilateral impairment of discrimination* (40-49% correct)  
46.2 *Moderately severe bilateral impairment of discrimination* (50-59% correct)  
46.3 *Moderate bilateral impairment of discrimination* (60-79% correct)  
46.4 *Mild bilateral impairment of discrimination* (80-90 % correct)  
46.5 *Profound unilateral impairment of discrimination* (less than 40% correct)  
46.6 *Severe or moderately severe unilateral impairment of discrimination* (40-59% correct)  
46.7 *Moderate or mild unilateral impairment of discrimination* (60-90% correct)  
46.8 *Other and unspecified bilateral impairment of discrimination*  
Includes : where correctness not quantified but specified as bilateral  
46.9 *Other and unspecified*  
Includes : where correctness not quantified
- 47 Other impairment of auditory function**
- 47.0 *Impairment of sound conduction NEC*  
47.1 *Sensorineural impairment NEC*  
47.2 *Tinnitus*

- 47.3 *Other subjective impairments of hearing*
- 47.4 \* *Mixed impairment of auditory function*
- 47.8 *Other impairment of auditory function*
- 47.9 *Unspecified*
  
- 48      **Impairment of vestibular and balance function****
- 48.0 *Vertigo*  
Includes : dizziness
- 48.1 *Impairment of labyrinthine function*
- 48.2 *Impairment of locomotion related to vestibular or cerebellar function*
- 48.3 *Other impairment of cerebellar and coordinating function*
- 48.4 *Other impairment of vestibular function*
- 48.5 *Liability to falls*  
Includes : those occurring suddenly while walking, giving rise to a tendency to walk holding on to furniture and associated with rejection of human help  
Excludes : impulsive falls (48.6)
- 48.6 *Impulsive falls*  
Includes : the impulsive elderly individual who gets up and tries to walk and then overbalances and falls (i.e., is able to walk but requires supervision because of the risk of falling)
- 48.7 *Other impairment of balance*
- 48.8 *Other*  
Excludes : impairment of coordination of limbs (74)
- 48.9 *Unspecified*
  
- 49      **Other impairment of aural function****
- 49.0 *Deficiency of inner ear*
- 49.1 *Deformity of inner ear*
- 49.2 *Aural discharge*  
Includes : otorrhoea
- 49.3 *Aural irritation*  
Includes : dermatitis and ear pain
- 49.4 *Other aural infection*
- 49.5 *Deficiency of middle ear*
- 49.6 *Deformity of middle ear*

- 49.7 *Deformity of external ear*  
Includes : deficiency and disfigurement
- 49.8 *Other impairment of external ear*
- 49.9 *Other and unspecified*

## 5 OCULAR IMPAIRMENTS

Ocular impairments relate not only to the eye, but also to its associated structures and functions, including the eyelids. The most important subclass of ocular impairment is made up of impairments relating to the function of vision

### IMPAIRMENTS OF VISUAL ACUITY (50-55)

- Scope**                    The degree of impairment may be reduced by compensating aids, and a refractive error that can be fully corrected by glasses or contact lenses is generally not regarded as a visual impairment. However, provision for identifying such individuals has been made in category 57.0
- Terminology**            Different meanings are attached to the term “blindness”, particularly in the context of legal definitions. In order to avoid ambiguity a preferred nomenclature for visual impairments is defined in the table, in which synonymous terms are also identified
- Coding**                    1.    The degree of impairment may be different for the two eyes of an individual. Unfortunately there is no consistency in identifying these differences – sometimes the performance of both eyes is recorded, sometimes only that of what is specified as the better or the worse eye, and sometimes only that of one eye without further qualification. A reference chart is appended after category 55
2.    Absence of an eye is classified separately from other profound impairments of visual function. This distinction is made because the individual with a missing eye is additionally impaired in regard to appearance, i.e., he has a disfiguring deficiency
3.    As the reference chart indicates, provision is made for all possible combinations of impairments of visual acuity; multiple coding within this section is therefore unnecessary



## TERMINOLOGY FOR IMPAIRMENTS OF VISUAL ACUITY

WHO category of vision	Degree of impairment	Visual acuity (with best possible correction)	Synonyms and alternative definitions
NORMAL VISION	None	0.8 or better ( $5/6, 6/7.5, 20/25$ , or better)	range of normal vision
	Slight	less than 0.8 ( $< 5/6, 6/7.5$ , or $20/25$ )	near-normal vision
LOW VISION	Moderate	less than 0.3 ( $< 5/15, 6/18$ or $6/20$ , or $20/80$ or $20/70$ )	moderate low vision
	Severe	less than 0.12 ( $< 5/40, 6/48$ , or $20/160$ ) ( $< 0.1, 5/50, 6/60$ , or $20/200$ ) <sup>§</sup>	severe low vision – legal blindness in some countries; count fingers at 6 m or less
BLINDNESS ¶	Profound	less than 0.05 ( $< 5/100, 3/60$ , or $20/400$ )	profound low vision or moderate blindness – blindness in ICD-9; count fingers at less than 3 m – finger counting NOS
	Near-total	less than 0.02 ( $\leq 5/300, 1/60$ , or $3/200$ )	severe or near-total blindness; count fingers at 1 m or less, or hand motions at 5 m or less, or hand motions NOS, or light perception
	Total	no light perception (NLP)	total blindness (including absence of eye)

§ this alternative level of visual acuity is less accurate : on charts without lines at 0.16 and 0.12 it means effectively  $< 0.2$ .

¶ one or both eyes.

## 50 Absence of eye

- 50.0 *Absence of both eyes*
- 50.1 *Absence of one eye, visual impairment of other eye total*
- 50.2 *Absence of one eye, visual impairment of other eye near-total*
- 50.3 *Absence of one eye, visual impairment of other eye profound*
- 50.4 *Absence of one eye, visual impairment of other eye severe*
- 50.5 *Absence of one eye, visual impairment of other eye moderate*
- 50.6 *Absence of one eye, visual impairment of other eye slight*
- 50.7 *Absence of one eye, no visual impairment of other eye*

- 50.8 *Other absence of one eye*  
Includes : absence of one eye when degree of visual performance in other eye not specified
- 50.9 *Unspecified*
- 51 Profound visual impairment of both eyes**  
(see chart after category 55)
- 51.0 *Total visual impairment of both eyes*
- 51.1 *Total visual impairment of one eye, visual impairment of other eye near-total*
- 51.2 *Total visual impairment of one eye, visual impairment of other eye profound*
- 51.3 *Near-total visual impairment of both eyes*
- 51.4 *Near-total visual impairment of one eye, visual impairment of other eye profound*
- 51.5 *Other near-total visual impairment of one eye specified as the better eye*
- 51.6 *Profound visual impairment of both eyes*
- 51.7 *Other profound visual impairment of one eye specified as the better eye*
- 51.9 *Unspecified*  
Includes : (bilateral) blindness NOS  
Excludes : profound visual impairment where only one eye mentioned and not specified as the better eye (54.2, 54.5, and 54.8)
- 52 Profound visual impairment of one eye with low vision in the other eye**  
(see chart after category 55)
- 52.0 *Total visual impairment of one eye, visual impairment of other eye severe*
- 52.1 *Total visual impairment of one eye, visual impairment of other eye moderate*
- 52.2 *Near-total visual impairment of one eye, visual impairment of other eye severe*
- 52.3 *Near-total visual impairment of one eye, visual impairment of other eye moderate*
- 52.4 *Profound visual impairment of one eye, visual impairment of other eye severe*
- 52.5 *Profound visual impairment of one eye, visual impairment of other eye moderate*
- 52.6 *Other severe visual impairment of one eye specified as the better eye*

- 52.9 *Unspecified*  
Includes : blindness (WHO category) of one eye and low vision of other eye NOS
- 53 Moderate visual impairment of both eyes**  
(see chart after category 55)
- 53.0 *Severe visual impairment of both eyes*
- 53.1 *Severe visual impairment of one eye, visual impairment of other eye moderate*
- 53.2 *Moderate visual impairment of both eyes*
- 53.3 *Other moderate visual impairment of one eye specified as the better eye*
- 53.8 *Low vision of both eyes NOS*
- 53.9 *Unspecified*  
Includes : bilateral visual impairment NOS, low vision NOS  
Excludes : moderate visual impairment where only one eye mentioned and not specified as the better eye (55.2, 55.5, and 55.8)
- 54 Profound visual impairment of one eye**  
(see chart after category 55)
- 54.0 *Total visual impairment of one eye, visual impairment of other eye slight*
- 54.1 *Total visual impairment of one eye, no visual impairment of other eye*
- 54.2 *Total visual impairment of one eye, visual impairment of other eye not stated*
- 54.3 *Near-total visual impairment of one eye, visual impairment of other eye slight*
- 54.4 *Near-total visual impairment of one eye, no visual impairment of other eye*
- 54.5 *Near-total visual impairment of one eye, visual impairment of other eye not stated*
- 54.6 *Profound visual impairment of one eye, visual impairment of other eye slight*
- 54.7 *Profound visual impairment of one eye, no visual impairment of other eye*
- 54.8 *Profound visual impairment of one eye, visual impairment of other eye not stated*

- 54.9 *Unspecified*  
Includes : blindness of one eye NOS
- 55 **Other impairment of visual acuity**  
(see chart below)
- 55.0 *Severe visual impairment of one eye, visual impairment of other eye slight*
- 55.1 *Severe visual impairment of one eye, no visual impairment of other eye*
- 55.2 *Severe visual impairment of one eye, visual impairment of other eye not stated*
- 55.3 *Moderate visual impairment of one eye, visual impairment of other eye slight*
- 55.4 *Moderate visual impairment of one eye, no visual impairment of other eye*
- 55.5 *Moderate visual impairment of one eye, visual impairment of other eye not stated*
- 55.6 *Slight visual impairment of both eyes*
- 55.7 *Slight visual impairment of one eye, no visual impairment of other eye*
- 55.8 *Slight visual impairment of one eye, visual impairment of other eye not stated*
- 55.9 *Unspecified*  
Includes : (unilateral) impairment of vision NOS, loss of vision NOS

REFERENCE CHART FOR CLASSIFICATION OF IMPAIRMENTS  
OF VISUAL ACUITY §

Degree of impairment of better eye	Degree of impairment of other eye						
	total	near-total	profound	severe	moderate	slight	not specified
total	51.0						
near-total	51.1	51.3					51.5
profound	51.2	51.4	51.6				51.7
severe	52.0	52.2	52.4	53.0			52.6
moderate	52.1	52.3	52.5	53.1	53.2		53.3
slight	54.0	54.3	54.6	55.0	55.3	55.6	
none	54.1	54.4	54.7	55.1	55.4	55.7	
better eye not specified	54.2	54.5	54.8	55.2	55.5	55.8	

§ excludes where an eye is absent (50), or where only WHO categories of vision specified (51.9, 53.8, 54.9)

**OTHER VISUAL AND OCULAR IMPAIRMENTS (56-58)**

Excludes : central disorders of visual function  
(30.1, 31.0, and 31.2)

**56 Visual field impairment**

Excludes : impairments accompanied by impairment of visual acuity  
(50-55)

56.0 *Total impairment of visual fields* (field diameter 0°)

56.1 *Near-total impairment of visual fields* (field diameter 5° or less)

56.2 *Profound impairment of visual fields* (field diameter 10° or less)

56.3 *Severe impairment of visual fields* (field diameter 20° or less)

56.4 *Moderate impairment of visual fields* (field diameter 60° or less)

56.5 *Slight impairment of visual fields* (field diameter 120° or less)

56.6 *Tunnel vision NOS*

56.7 *Hemianopia*

56.8 *Other unilateral visual field impairment*

56.9 *Other and unspecified*

**57 Other visual impairment**

57.0 *Wears correcting lenses* (with resultant normal or near-normal vision)

57.1 *Astigmatism*

57.2 *Impairment of visual accommodation*

57.3 *Diplopia*

Includes : strabismus

57.4 *Other impairment of ocular motility and binocular vision*

Includes : nystagmus

57.5 *Impairment of colour vision*

57.6 *Impairment of night vision*

57.7 *Subjective impairment of vision*

Includes : amblyopia, distortions, floaters, and transient visual loss

Excludes : blurred vision, eye pain, and eye strain (58.7)

57.8 *Other impairment of vision*

Includes : light sensitivity

57.9 *Unspecified*

**58 Other ocular impairment**

58.0 *Ocular discharge*

Includes : excessive lacrimal secretion, running eye

- 
- 58.1 *Other ocular infection*
- 58.2 *Anaesthetic eye*
- 58.3 *Dry eye*  
Includes : irritating eye
- 58.4 *Deformity of eyeball*  
Includes : disfigurement and exophthalmos  
Excludes : absence of eyeball (50)
- 58.5 *Deformity of eyelid*  
Includes : blepharitis, everted eyelids, ptosis, and deficiency or disfigurement of eyelids
- 58.6 *Other impairment of eyelid*
- 58.7 *Ill-defined ocular impairment*  
Includes : blurred vision, eye pain, eye strain
- 58.8 *Other ocular impairment*
- 58.9 *Unspecified*

## 6 VISCERAL IMPAIRMENTS

Visceral impairments include impairments of internal organs and of other special functions. The array of underlying disorders that may give rise to these impairments is very considerable, extending over large sections of the ICD. However, the functional consequences of such disorders are much more limited. For instance, cardiovascular and respiratory diseases occupy 129 categories in two chapters of the ICD, but their common functional consequences can be identified comprehensively within a single two-digit category of the impairment classification.

### IMPAIRMENTS OF INTERNAL ORGANS (60-66)

#### 60 Mechanical and motor impairment of internal organs

60.0 *Tracheobronchial obstruction*

60.1 *Oesophageal or gastric obstruction*

60.2 *Intestinal obstruction*

60.3 *Other mechanical impairment of internal organs*

Excludes : urinary obstruction (63.4) and genital obstruction (66.5)

60.4 *Diaphragmatic palsy*

60.5 *Other motor or analogous functional impairment of internal organs*

Excludes : laryngeal palsy (35.6)

60.8 \* *Combinations of the above*

60.9 *Unspecified*

#### 61 Impairment of cardiorespiratory function

Excludes : syncope (21.6), peripheral manifestations classifiable to disfigurements of head (82) and of limbs (84-87) such as cyanosis and oedema, and gangrene (99.1-99.3)

61.0 *Shortness of breath*

Includes : dyspnoea, orthopnoea, and respiratory failure

61.1 *Other disturbance of breathing*

Includes : stridor and wheezing

61.2 *Other abnormal sounds*

Includes : cardiac murmurs and abnormal sounds in chest

- 61.3 *Exercise pain in chest*
- 61.4 *Other chest pain*
- 61.5 *Other exercise intolerance*  
Includes : intermittent claudication  
Excludes : fatigue (94.6) and pain on exercise in arm (97.3)
- 61.6 *Disturbance of cardiac rhythm*  
Includes : arrhythmia, heart block, palpitation, and tachycardia
- 61.7 *Cough or sputum*
- 61.8 *Other impairment of cardiorespiratory function*  
Includes : haemoptysis  
Excludes : subject to corrective or prosthetic intervention or surgery (65.20)
- 61.9 *Unspecified*
- 62 Impairment of gastrointestinal function**  
Excludes : impairment of mastication and swallowing (68)
- 62.0 *Food intolerance*  
Includes : nausea  
Excludes : specific dietary intolerance (93.2) and anorexia (25.0)
- 62.1 *Vomiting and regurgitation*
- 62.2 *Flatulence*  
Includes : borborygmi, eructation, and hiccough
- 62.3 *Abdominal pain*  
Includes : intestinal colic and biliary colic
- 62.4 *Constipation*
- 62.5 *Diarrhoea*
- 62.6 *Irritable colon*
- 62.7 *Other intestinal functional impairment*  
Includes : gastrointestinal hypermotility, dumping, and intestinal hurry
- 62.8 *Other impairment of gastrointestinal function*  
Includes : piles and rectal bleeding, mucus, and pain  
Excludes : severe impairment of continence (91)
- 62.9 *Unspecified*  
Includes : faecal incontinence NOS
- 63 Impairment of urinary function**
- 63.0 *Renal colic*



- 63.1 *Other impairment of renal function*  
Includes : renal failure  
Excludes : renal dialysis (94.0) and transplantation (65.60)
- 63.2 *Frequency of micturition*  
Includes : polyuria
- 63.3 *Reflex incontinence*  
Voluntary loss of urine due to abnormal reflex activity in the spinal cord in the absence of the sensation usually associated with the desire to micturate  
Includes : automatic bladder
- 63.4 *Overflow incontinence*  
Involuntary loss of urine when the intravesical pressure exceeds the maximum urethral pressure owing to an elevation of intravesical pressure associated with bladder distension but in the absence of detrusor activity  
Includes : outflow obstruction of micturition, and prostatism
- 63.5 *Urge incontinence*  
Involuntary loss of urine associated with a strong desire to void ; it may be motor, associated with uninhibited detrusor contractions, or sensory, not due to uninhibited detrusor contractions
- 63.6 *Stress incontinence*  
Involuntary loss of urine when the intravesical pressure exceeds the maximum urethral pressure but in the absence of detrusor activity
- 63.7 *Other impairment of micturition*  
Includes : dysuria
- 63.8 *Other impairment of urinary function*  
Excludes : severe impairment of continence (91)
- 63.9 *Unspecified*
- 64 Impairment of reproductive function**  
Excludes : impairment of sexual organs (67), impotence (25.40), and frigidity (25.42)
- 64.0 *Currently subject to contraceptive procedure*  
Includes : consuming a contraceptive pill, intrauterine device *in situ*, and post-vasectomy
- 64.1 *Sterility*  
Excludes : impairments of internal genitalia (65.8 and 66.5)
- 64.2 *Subfertility*  
Includes : infertility other than that due to sterility

- 64.3 *Dyspareunia*  
Excludes : that related to vaginal discharge (67.3)
- 64.4 *Sexual ambiguity*  
Includes : hermaphroditism
- 64.5 *Dysmenorrhoea*
- 64.6 *Menorrhagia*  
Includes : vaginal bleeding and excessive menstrual loss
- 64.8 *Other disturbance of menstrual function*
- 64.9 *Other and unspecified*
- 65 Deficiency of internal organs**
- 65.0 *Deficiency of tracheobronchial tree*  
Excludes : deficiency of larynx (35.1)
- 65.1 *Deficiency of lung*
- 65.2 *Deficiency of heart*
- 65.20 subject to corrective or prosthetic intervention  
or surgery  
Excludes : pacemaker (94.0)
- 65.21 anomalies of cardiac development (such as patent  
interventricular septum)
- 65.22 acquired valvular lesions
- 65.28 other
- 65.29 unspecified
- 65.3 *Deficiency of oesophagus and stomach*
- 65.4 *Deficiency of intestine and rectum*
- 65.5 *Deficiency of gall bladder, liver, or spleen*
- 65.6 *Deficiency of kidney*
- 65.60 subject to renal transplantation
- 65.68 other
- 65.69 unspecified
- 65.7 *Deficiency of bladder*
- 65.8 *Deficiency of internal genitalia*
- 65.9 *Other and unspecified*
- 66 Other impairment of internal organs**  
Excludes : artificial and abnormal orifices (88)

- 66.0 *Abnormality of blood vessels of thorax and abdomen*  
Includes : aortic aneurysm
- 66.1 *Haemorrhage from internal organs*  
Includes : pulmonary, intrathoracic, gastrointestinal, and intra-abdominal  
Excludes : epistaxis (69.3), haemoptysis (61.8), and rectal bleeding (62.8)
- 66.2 *Transposition of viscera*  
Includes : situs inversus viscerum
- 66.3 *Accessory viscera*  
Includes : splenunculus
- 66.4 *Other structural abnormality of viscera*  
Excludes : deficiencies (65)
- 66.5 *Other impairment of internal genitalia*  
Includes : tubal obstruction  
Excludes : deficiency (65.8) and malpositioning (67.4, 67.5)
- 66.8 *Other impairments of internal organs*
- 66.9 *Unspecified*

#### IMPAIRMENTS OF OTHER SPECIAL FUNCTIONS (67-69)

- 67      *Impairment of sexual organs***
- 67.0 *Absence of nipples*
- 67.1 *Hypertrophy of breasts*
- 67.2 *Other impairment of nipples and breasts*
- 67.3 *Genital discharge*  
Includes : urethritis, vaginitis, and urethral or vaginal discharge or irritation
- 67.4 *Prolapse*  
Includes : proclidentia
- 67.5 *Other malposition of internal sex organs*  
Includes : undescended testicle
- 67.6 *Other deformity or deficiency of external genitalia*  
Includes : hypospadias
- 67.7 *Other impairment of external genitalia*
- 67.8 *Other impairment of sexual organs*
- 67.9 *Unspecified*

- 68 Impairment of mastication and swallowing**  
Excludes : impairment of jaw (70) and dentofacial impairments (80)
- 68.0 *Currently wearing dental prosthesis*
- 68.1 *Deficiency of teeth, complete*  
Includes : edentulous  
Excludes : if wearing prosthesis (68.0)
- 68.2 *Other deficiency of teeth*  
Includes : caries or decay  
Excludes : if wearing prosthesis (68.0)
- 68.3 *Toothache*
- 68.4 *Impairment of salivation*  
Includes : dry mouth
- 68.5 *Other impairment of salivary function*
- 68.6 *Other impairment of mastication*
- 68.7 *Other impairment of swallowing*
- 68.8 *Other*
- 68.9 *Unspecified*
- 69 Impairment related to olfaction and other special functions**
- 69.0 *Impairment of smell and taste*
- 69.1 *Impairment of smell*
- 69.2 *Impairment of taste*
- 69.3 *Nasal discharge*  
Includes : epistaxis and rhinorrhoea
- 69.4 *Nasal obstruction*
- 69.5 *Other impairment of nasal function*  
Excludes : nasal deficiency (80.6) and nasal deformity (81.0)
- 69.8 *Impairment of other special functions*  
Excludes : impairment of sleep (22)
- 69.9 *Unspecified*

## 7 SKELETAL IMPAIRMENTS

Skeletal impairments have been interpreted broadly so as to reflect the disposition of the body and its visible parts. Skeletal impairments include mechanical and motor disturbances of the face, head, neck, trunk, and limbs, as well as deficiencies of the limbs. Recourse to a fourth-digit has often been necessary in order to encompass the level of detail desired

Excludes : certain more evidently disfiguring impairments (80-87)

### IMPAIRMENTS OF HEAD AND TRUNK REGIONS (70)

#### 70 Impairment of head and trunk regions

Excludes : most deficiencies and disfigurements (80-83)

##### 70.1 *Mechanical and motor impairment of jaw*

70.10 Trismus

70.11 Malocclusion

70.12 Prognathism

70.13 Underdevelopment of lower jaw

70.14 Other disturbance of jaw development

70.15 Other jaw dysfunctions

Includes : clicking

70.18 Other

70.19 Unspecified

##### 70.2 *Mechanical and motor impairment of face*

70.20 Facial paralysis

Includes : facial palsy

70.21 Involuntary facial movements

Includes : tics and masticatory movements

70.22 Other facial mannerisms

Includes : stereotypies and distinct idiosyncratic or repetitive movements of unclear meaning not classifiable as tics or automatisms (e.g., constant repetition of movements, or postures such as rubbing and grimacing)

70.23 Other disturbance of facial expression

Excludes : impairment of facial expression (33.2)

70.28 Other

70.29 Unspecified

- 70.3 *Other mechanical and motor impairment of head*
- 70.30 Mechanical impairment of head
  - 70.31 Abnormal movement of head  
Includes : titubation
  - 70.32 Other motor impairment of head
  - 70.38 Other  
Includes : both mechanical and motor impairments of head
  - 70.39 Unspecified
- 70.4 *Mechanical and motor impairment of neck*
- 70.40 Torticollis
  - 70.41 Other mechanical impairment of neck
  - 70.42 Other motor impairment of neck
  - 70.48 Other  
Includes : both mechanical and motor impairments of neck
  - 70.49 Unspecified
- 70.5 *Impairment of posture*
- 70.50 Spinal curvature  
Includes : kyphosis, lordosis, and scoliosis
  - 70.51 Deficiency of vertebra
  - 70.52 Other deficiency of spine
  - 70.53 Other spinal deformity
  - 70.54 Involuntary body movements  
Includes : dyskinesia and dystonia  
Excludes : those of limbs (74)
  - 70.55 Postural mannerisms  
Includes : odd stylized movements or acts (usually idiosyncratic and often suggestive of special meaning or purpose) and stereotypies (constant repetition of movements or postures such as rocking, rubbing, and nodding)  
Excludes : other impairments of body language (33.3)
  - 70.58 Other
  - 70.59 Unspecified
- 70.6 *Impairment of physique*
- 70.60 Dwarfism  
Includes : short stature  
Excludes : generalized skeletal defect (70.65)

- |       |   |
|-------|---|
| 70.61 | Gigantism<br>Includes : unduly tall stature   |
| 70.62 | Other impairment of stature   |
| 70.63 | Emaciation<br>Includes : undue thinness   |
| 70.64 | Obesity   |
| 70.65 | Generalized skeletal defect<br>Includes : achondroplasia  |
| 70.68 | Other   |
| 70.69 | Unspecified   |
| 70.7  | <i>Other mechanical and motor impairment of trunk</i>   |
| 70.70 | Impairment of pelvis potentially interfering with normal delivery<br>Includes : contracted pelvis<br>Excludes : deficiency of pelvis (75.4 and 78.0-78.2)     |
| 70.71 | Other impairment of skeletal structures of trunk NEC  |
| 70.72 | Other abnormality of tissues in trunk region NEC  |
| 70.78 | Other   |
| 70.79 | Unspecified   |
| 70.8  | <i>Other impairment of head and trunk region NEC</i><br>Includes : reduced plasticity or slowing of physical functions encountered in association with ageing |
| 70.9  | <i>Unspecified</i>  |

#### MECHANICAL AND MOTOR IMPAIRMENTS OF LIMBS (71-74)

As elsewhere in this section, differentiation is made between mechanical and motor impairments. The distinction is analogous to that between the physical state of a piece of machinery and its constituent parts on the one hand, and that of the power source and its transmission on the other.

Throughout this section certain terms are used with particular meanings :

- |                         |   |
|-------------------------|---|
| extent of involvement : | <i>complete</i> if there is involvement of the whole of a limb, and<br><i>incomplete</i> if only part of a limb is affected |
| degree of involvement : | <i>total</i> if the attribute is totally lacking, and<br><i>partial</i> if there is a reduction of the attribute            |

**71 Mechanical impairment of limb**

The following fourth-digit subclassification to indicate the nature of the mechanical impairment is suggested for use with categories 71.0-71.7

- 0 total loss of movement, with or without deformity, bilateral  
Includes : ankylosis and fixation specified as bilateral
- 1 other total loss of movement  
Includes : ankylosis and fixation
- 2 instability, bilateral  
Includes : flail joint specified as bilateral
- 3 other instability  
Includes : flail joint
- 4 other deformity, including deviation of axis, bilateral  
Excludes : with fixation (0, above)
- 5 other deformity  
Excludes : with fixation (1, above), or if congenital (84)
- 6 other restriction or loss of movement, bilateral  
Includes : stiffness related to mechanical impairment bilaterally  
Excludes : congenital contracture (84)
- 7 other restriction or loss of movement  
Includes : dislocation, and stiffness related to mechanical impairment
- 8\* mixed impairment  
(e.g., otherwise classifiable to 1, above, on one side and 7, above, on other)
- 9 unspecified

71.0 *Mechanical impairment of shoulder and upper arm*

71.1 *Mechanical impairment of elbow and forearm*

71.2 *Mechanical impairment of wrist and carpus*

Excludes : impairment also involving the hand (71.3)

71.3 *Mechanical impairment of metacarpus and hand*

Includes : impairment also involving the wrist and carpus

71.4 *Mechanical impairment of finger*

Includes : impairment of thumb

Excludes : impairment of finger if hand also impaired (71.3)



- 71.5 *Mechanical impairment of hip and thigh*
- 71.6 *Mechanical impairment of knee and leg*
- 71.7 *Mechanical impairment of ankle and foot*  
Includes : impairment of subtaloid, tarsal, and metatarsal joints  
Excludes : toes (71.90 and 71.91)
- 71.8 *Mixed and other upper limb mechanical impairment*  
Excludes : impairments classifiable to 71.08 \*, 71.18 \*, 71.28 \*, 71.38 \*, and 71.48 \*
- 71.80 \* Mechanical impairment of more than one region of an upper limb or limbs  
Includes : shoulder-hand syndrome
- 71.81 \* Upper arm impairment on one side with forearm impairment on the other
- 71.82 \* Upper arm impairment on one side with wrist or hand impairment on the other
- 71.83 \* Upper arm impairment on one side with finger impairment on the other
- 71.84 \* Forearm impairment on one side with wrist or hand impairment on the other
- 71.85 \* Forearm impairment on one side with finger impairment on the other
- 71.86 \* Wrist or hand impairment on one side with finger impairment on the other
- 71.88 \* Other mixed mechanical impairment of upper limb
- 71.89 Other and unspecified mechanical impairment of upper limb
- 71.9 *Mixed and other mechanical impairment of limb*  
Excludes : impairments classifiable to 71.58 \*, 71.68 \*, 71.78 \*, and 71.8
- 71.90 Mechanical impairment of toes, bilateral
- 71.91 Other mechanical impairment of toes
- 71.92 Impairment of lower limbs due to unequal length of legs NEC
- 71.93 Other and unspecified mechanical impairment of lower limb  
Includes : impairment of walking NOS
- 71.94 \* Hip or thigh impairment on one side with knee or leg impairment on the other
- 71.95 \* Hip or thigh impairment on one side with ankle, foot, or toe impairment on the other

- 71.96 \* Knee or leg impairment on one side with ankle, foot, or toe impairment on the other
- 71.97 \* Other mixed mechanical impairment of lower limb
- 71.98 \* Mixed mechanical impairment of upper and lower limb
- 71.99 Unspecified mechanical impairment of limb

## 72 Spastic paralysis of more than one limb

Includes : hemiplegia, paraplegia, and tetraplegia

- 72.0 *Complete spastic paralysis of upper and lower limbs on same side, with involvement of speech*  
Includes : (spastic) hemiplegia, dominant side or with involvement of speech
- 72.1 *Other complete spastic paralysis of upper and lower limbs on same side*
- 72.2 *Other spastic paralysis of upper and lower limb on same side*  
Includes : (spastic) hemiparesis
- 72.3 *Bilateral complete paralysis of lower limbs*  
Includes : (spastic) paraplegia
- 72.4 *Other bilateral spastic paralysis of lower limbs*  
Includes : (spastic) paraparesis
- 72.5 *Spastic paralysis of three limbs*
- 72.6 *Complete paralysis of all four limbs*  
Includes : (spastic) tetraplegia
- 72.7 *Other spastic paralysis of all four limbs*  
Includes : (spastic) tetraparesis
- 72.8 *Other spastic paralysis of more than one limb*
- 72.9 *Unspecified*

## 73 Other paralysis of limb

The following fourth-digit subclassification to indicate the nature of the paralysis is suggested for use with categories 73.0-73.9

- 0 complete spastic paralysis  
Excludes : paralysis classifiable to 72.0, 72.1, 72.3, and 72.6
- 1 other spastic paralysis  
Excludes : paralysis classifiable to 72.2, 72.4, 72.5, and 72.8

- 2 complete total flaccid paralysis
- 3 other total flaccid paralysis
- 4 complete partial flaccid paralysis
- 5 other flaccid paralysis
- 6 other weakness of limb
- 7 fatigue of limb
- 9 unspecified
- 73.0 *Bilateral paralysis of upper limbs*
- 73.1 *Paralysis of dominant upper limb*
- 73.2 *Other paralysis of upper limb*
- 73.3 *Bilateral paralysis of lower limbs*  
Excludes : paralysis classifiable to 72.3 and 72.4
- 73.4 *Other paralysis of lower limb*
- 73.5 *Paralysis of upper and lower limbs on same side*  
Excludes : paralysis classifiable to 72.0, 72.1, and 72.2
- 73.6 *Paralysis of three limbs*  
Excludes : paralysis classifiable to 72.5
- 73.7 *Paralysis of all four limbs*  
Excludes : paralysis classifiable to 72.6 and 72.7
- 73.8 *Other paralysis*
  - 73.80 Spastic paralysis, complete
  - 73.81 Other spastic paralysis  
Includes : spastic paresis or paralysis NOS
  - 73.82 Total flaccid paralysis, complete
  - 73.83 Other total flaccid paralysis
  - 73.84 Partial flaccid paralysis, complete
  - 73.85 Other flaccid paralysis  
Includes : flaccid paralysis NOS
  - 73.86 Other weakness of limbs
  - 73.87 Fatigue of limbs  
Excludes : fatigue NOS (94.5)
- 73.9 *Unspecified*
- 74 Other motor impairment of limb**  
Excludes : stiffness related to mechanical impairment (71)

The following fourth-digit subclassification to indicate the nature of the impairment is suggested for use with this category :

- 0 rigidity or stiffness, complete
- 1 other rigidity or stiffness
- 2 abnormal movement, complete  
Includes : tremor
- 3 other abnormal movement
- 4 lack of coordination, complete
- 5 other lack of coordination
- 6 other impairment of dexterity
- 7 other
- 8\* mixed  
(e.g., otherwise classifiable as both 0 and 5, above)
- 9 unspecified
- 74.0 *Other bilateral motor impairment of upper limbs*
- 74.1 *Other motor impairment of dominant upper limb*
- 74.2 *Other motor impairment of upper limb*
- 74.3 *Other bilateral motor impairment of lower limbs*
- 74.4 *Other motor impairment of lower limb*
- 74.5 *Other motor impairment of upper and lower limbs on same side*
- 74.50 Hemiballismus
- 74.6 *Other motor impairment involving three or four limbs*
- 74.8 *Other motor impairment*
- 74.9 *Unspecified*
- 74.92 Tremor NOS
- 74.97 Limping NOS  
Excludes : impairment of walking NOS (71.93)

## DEFICIENCIES OF LIMBS (75-79)

Excludes : other congenital malformations of limbs (84 and 85)

**Scope**

*Transverse deficiencies (75-76)* present essentially as an amputation-like stump; they may arise as a failure of formation of parts, or as the result of surgical intervention. These impairments include those previously designated as peromelia or terminal transverse deficiencies. A deficiency is customarily identified by the level at which the limb terminates (the most proximal part that is missing), it being understood that all elements distal to the level named are also absent

Excludes : where a deficiency is not complete across the limb at the same level (when it is probably longitudinal rather than transverse)

*Longitudinal deficiencies (77-79).* All skeletal limb deficiencies other than those of the transverse type should be placed in the longitudinal category, though by the same token almost all arise as a failure of formation of parts. These impairments include those previously designated as ectromelias or terminal longitudinal, intercalary transverse, and intercalary longitudinal deficiencies. All absent bones or portions of bones that are missing are named, any bones not named as being missing being understood to be present

- Terminology** The International Society for Prosthetics and Orthotics (ISPO) has developed a preferred nomenclature and recommended abbreviations for describing the appropriate levels, and these have been incorporated in the classification
- Coding**
- 1 A reference chart is appended after category 79, indicating the equivalence between preferred and previous nomenclatures
  - 2 The terms "complete" and "incomplete" have been used with consistency throughout the classification to indicate the extent of an impairment, "complete" denoting involvement of the whole of the part and "incomplete" that less than the whole of the part is affected. However, in practice the terms "total" and "partial" may be encountered and in this context they should be interpreted as equivalent to "complete" and "incomplete" respectively
  - 3 ISPO has a strong preference for full specification of deficiencies, which calls for multiple coding. As a result only a very limited provision for combination categories has been incorporated for other users
  - 4 The degree of detail that is desirable varies in relation to the structure of the part affected. Thus, in proximal parts, particularly those related to long bones, it is the level of the deficiency that is of most concern. In contrast, in distal parts with greater transverse differentiation it is the ray manifesting the deficiency that is of greater interest. For this reason separate subclassifications have been developed for deficiencies of proximal parts, 75, 77, 78, and for those of distal parts, 76 and 79

**75 Transverse deficiency of proximal parts of limb**  
(see chart after category 79)

Includes : those of arm, carpus, leg, and tarsus

The following fourth-digit subclassification to indicate the extent of the deficiency is recommended for use with this category :

- 0 complete deficiency, right
- 1 other complete deficiency  
Includes : left, bilateral \*, and side unspecified
- 2 incomplete deficiency, upper third right
- 3 other incomplete deficiency, upper third
- 4 incomplete deficiency, middle third right
- 5 other incomplete deficiency, middle third
- 6 incomplete deficiency, lower third right
- 7 other incomplete deficiency, lower third
- 8 other incomplete deficiency, right  
Includes : incomplete deficiency of carpus or tarsus,  
right
- 9 other incomplete, or unspecified extent

75.0 *Transverse deficiency of shoulder (Sh)*

Includes : fore-quarter amputation

Excludes : deficiencies where only a portion of the shoulder is missing (77)  
shoulder disarticulation (75.1)

75.1 *Transverse deficiency of upper arm (Ar)*

Includes : shoulder disarticulation

75.2 *Transverse deficiency of forearm (Fo)*

Includes : elbow disarticulation

75.3 *Transverse deficiency of carpus (Ca)*

Includes : wrist disarticulation

75.4 *Transverse deficiency of pelvis (Pel)*

Includes : hind-quarter amputation

75.5 *Transverse deficiency of thigh (Th)*

Includes : hip disarticulation

75.6 *Transverse deficiency of lower leg (Le)*

Includes : knee disarticulation

75.7 *Transverse deficiency of tarsus (Ta)*

Includes : ankle disarticulation

75.8 \* *Transverse deficiencies at multiple sites in proximal parts of limbs*

- 75.9 *Unspecified site in proximal parts of limb*  
 Includes upper limb (UL) (transverse) deficiency  
 lower limb (LL) (transverse) deficiency  
 deficiency of hand or foot NOS

- 76 Transverse deficiency of distal parts of limb**  
 (see chart after category 79)  
 Includes : where rays identifiable in hand or foot

The following fourth-digit code to indicate the extent of the deficiency is suggested for use with this category :

- 0 deficiency of all rays  
 1 deficiency of first ray, complete  
 2 other deficiency of first ray  
 3 deficiency of second ray, complete  
 4 other deficiency of second ray  
 5 deficiency of third ray, complete  
 6 other deficiency of third ray  
 7 deficiency of fourth ray, complete  
 8 other deficiency of fourth ray  
 9 deficiency of fifth ray, or of unspecified ray

- 76.0 *Transverse deficiency of metacarpal, right (MC)*  
 76.1 *Other transverse deficiency of metacarpal*  
 76.2 *Transverse deficiency of phalanges of fingers, right (Ph)*  
 76.3 *Other transverse deficiency of phalanges of fingers*  
 76.4 *Transverse deficiency of metatarsal , right (MT)*  
 76.5 *Other transverse deficiency of metatarsal*  
 76.6 *Transverse deficiency of phalanges of toes, right (Ph)*  
 76.7 *Other transverse deficiency of phalanges of toes*  
 76.8 \* *Transverse deficiencies at multiple sites in limb*  
 Includes : deficiencies at multiple sites in distal parts of limbs,  
 and mixed transverse deficiencies of proximal and  
 distal parts of limbs  
 76.9 *Unspecified site*

- 77 Longitudinal deficiency of proximal parts of upper limb**  
 (see chart after category 79)  
 Includes : those of arm and carpus

The following fourth-digit subclassification to indicate the extent of the deficiency is suggested for use with this category, and also with 78

- 0 complete deficiency, right
- 1 other complete deficiency
- 2 incomplete deficiency, right
- 3 other incomplete deficiency
- 8 other
- 9 unspecified extent

- 77.0 *Longitudinal deficiency of scapula (Sc)*
- 77.1 *Longitudinal deficiency of clavicle (Cl)*
- 77.2 *Longitudinal deficiency of humerus (Hu)*
- 77.3 *Longitudinal deficiency of radius (Ra)*
- 77.4 *Longitudinal deficiency of ulna (Ul)*
- 77.5 *Longitudinal deficiency of radial elements of carpus (Ca)*
- 77.6 *Longitudinal deficiency of central elements of carpus*
- 77.7 *Longitudinal deficiency of other elements of carpus*
- 77.8 *Longitudinal deficiencies at multiple sites in proximal parts of upper limb*
- 77.9 *Unspecified site in proximal part of upper limb*  
Includes : upper limb (UL) longitudinal deficiency

- 78 Longitudinal deficiency of proximal parts of lower limb**  
(see chart after category 79)  
Includes : those of leg and tarsus

The same fourth-digit subclassification as for 77 is suggested for use with this category

- 78.0 *Longitudinal deficiency of ilium (Il)*
- 78.1 *Longitudinal deficiency of ischium (Is)*
- 78.2 *Longitudinal deficiency of pubis (Pu)*
- 78.3 *Longitudinal deficiency of femur (Fem)*
- 78.4 *Longitudinal deficiency of tibia (Ti)*
- 78.5 *Longitudinal deficiency of fibula (Fib)*
- 78.6 *Longitudinal deficiency of tibial elements of tarsus (Ta)*
- 78.7 *Longitudinal deficiency of other elements of tarsus*
- 78.8\* *Longitudinal deficiencies at multiple sites in proximal parts of limb*



78.9 *Unspecified site*  
Includes : lower limb (LL) longitudinal deficiency, and longitudinal deficiency NOS

**79 Longitudinal deficiency of distal parts of limb**  
(see chart on next page)

Includes : where rays identifiable in hand or foot

The following fourth-digit subclassification to indicate the extent of the deficiency is suggested for use with this category :

- 0 deficiency of all rays
- 1 deficiency of first ray, complete
- 2 other deficiency of first ray
- 3 deficiency of second ray, complete
- 4 other deficiency of second ray
- 5 deficiency of third ray, complete
- 6 other deficiency of third ray
- 7 deficiency of fourth ray, complete
- 8 other deficiency of fourth ray
- 9 deficiency of fifth ray, or of unspecified ray

79.0 *Longitudinal deficiency of metacarpal, right (MC)*

79.1 *Other longitudinal deficiency of metacarpal*

79.2 *Longitudinal deficiency of phalanges of fingers, right (Ph)*

79.3 *Other longitudinal deficiency of phalanges of fingers*

79.4 *Longitudinal deficiency of metatarsal , right (MT)*

79.5 *Other longitudinal deficiency of metatarsal*

79.6 *Longitudinal deficiency of phalanges of toes, right (Ph)*

79.7 *Other longitudinal deficiency of phalanges of toes*

79.8 \* *Longitudinal deficiencies at multiple sites in limbs*

79.9 *Unspecified site*

ISPO-preferred	Frantz-O' Rahilly (original)	Frantz-O' Rahilly (revised)	European	Other
<b>TRANSVERSE DEFICIENCIES (T-)</b>				
arm, complete	amelia, upper	amelia, upper	amelia, upper	shoulder disarticulation
thigh, complete	amelia, lower	amelia, lower	amelia, lower	hip disarticulation
arm, middle (third)	hemimelia (A/E)	meromelia, humerus M	peromelia, mid-humeral	short above-elbow stump
thigh, lower (third)	hemimelia (A/K)	meromelia, femur D	peromelia, lower femoral	long above-knee stump
forearm, complete	hemimelia (E-D)	meromelia, radio-ulnar	peromelia at level of elbow	elbow disarticulation
leg, complete	hemimelia (K-D)	meromelia, tibio-fibular	peromelia at level of knee	knee disarticulation
forearm, lower (third)	partial hemimelia	meromelia, radius D, ulna D	peromelia, lower radio-ulnar	partial aplasia of radius and ulna
leg, upper (third)	partial hemimelia	meromelia, tibia P, fibula P	peromelia, upper tibio-fibular	partial aplasia of tibia and fibula
carpal, complete	acheiria			wrist disarticulation
metacarpal, complete	adactylia			
phalanges, complete	aphalangia			
<b>LONGITUDINAL DEFICIENCIES (L/)</b>				
humerus, complete	proximal phocomelia			(intercalary transverse)
tibial-fibular, complete	distal phocomelia			
ulnar, complete	complete paraxial hemimelia, ulnar	meromelia, ulnar	ectromelia with complete axial aplasia, ulnar	(intercalary longitudinal)
radial, complete; carpal, partial; MC 1.2, complete; Ph 1.2, complete	complete paraxial hemimelia, radial	meromelia, radial	ectromelia with axial aplasia	(terminal longitudinal)
tibial, partial; tarsal, partial; MT 1.2, complete; Ph 1.2, complete	incomplete paraxial hemimelia, tibial	meromelia, tibial M, D	ectromelia with partial aplasia of tibia and complete aplasia of tarsals, metatarsals, and phalanges	

The above are only examples, and further details may be derived from "The proposed international terminology for the classification of congenital limb deficiencies", *Developmental medicine and child neurology*. 17, Suppl. 34 (1975) .

## 8 DISFIGURING IMPAIRMENTS

Disfiguring impairments include those with a potential to interfere with or otherwise disturb social relationships with other people. The concept has been interpreted broadly so as to include conditions that may not be the consequence of specific diseases, such as disfigurement, as well as disorders that may impair control of bodily functions in the manner that is customary and socially acceptable. However, more specifically biomedical impairments with a potential to engender aversion (for instance, abnormal movements of parts of the body) have been classified to one of the preceding sections

### DISFIGUREMENTS OF HEAD AND TRUNK REGIONS (80-83)

Excludes : those of ear (49), of eye (58), of sexual organs (67), and of posture and physique (70)

#### **80 Deficiency in head region**

80.0 *Deficiency of cranial vault*

80.1 *Deficiency of upper jaw*

80.2 *Deficiency of lower jaw*

Excludes : prognathism and underdevelopment of lower jaw (70.1)

80.3 *Other deficiency of skull*

80.4 *Cleft palate*

80.5 *Other dentofacial deficiency*

80.6 *Deficiency of nose*

80.8 *Other*

80.9 *Unspecified*

#### **81 Structural deformity in head and trunk regions**

81.0 *Deformity of nose*

81.1 *Deformity of head shape*

Includes : hydrocephaly, microcephaly, and abnormality of skull shape

81.2 *Accessory structures in head region*

81.3 *Other deformity of head region*

Includes : lips, tongue, and palate

81.4 *Deficiency in neck region*

Excludes : deficiency of vertebra (70.51)

- 81.5 *Deficiency in thorax*  
Excludes : internal organs (65)
- 81.6 *Deficiency in abdominal wall*
- 81.7 *Other deficiency in abdomen*  
Excludes : internal organs (65)
- 81.8 *Other*  
Includes : funnel chest
- 81.9 *Unspecified*
- 82 Other disfigurement of head**  
Includes : face
- 82.0 *Other soft tissue deficiency of head*  
Includes : wasting
- 82.1 *Swelling of part of head*  
Includes : tumours
- 82.2 *Pigmentation of skin of head*  
Includes : depigmentation
- 82.3 *Other colour changes of head*  
Includes : cyanosis, flushing, rashes, and skin infections
- 82.4 *Baldness, partial or complete*
- 82.5 *Other abnormality of hair*
- 82.6 *Scarring of head*
- 82.7 *Other abnormality of skin of head*
- 82.8 *Other disfigurement of head*  
Includes : marked ugliness (e.g., gargoylism)  
Excludes : deformity of nose (81.0), dentofacial deficiencies (80.4 and 80.5), and deformity of head shape (81.1)
- 82.9 *Unspecified*
- 83 Other disfigurement of trunk**
- 83.0 *Other soft tissue deficiency of trunk*  
Includes : wasting
- 83.1 *Swelling of trunk*  
Includes : tumours  
Excludes : hypertrophy of breasts (67.1)
- 83.2 *Pigmentation of skin of trunk*  
Includes : depigmentation
- 83.3 *Other colour changes of trunk*  
Includes : rashes and skin infections

- 83.4 *Abnormal hair on trunk*  
 83.5 *Scarring of trunk*  
 83.6 *Other abnormality of skin of trunk*  
 Excludes : absence of nipples (67.0)  
 83.7 *Accessory structures on trunk*  
 Excludes : accessory nipples (67.2)  
 83.8 *Other disfigurement of trunk*  
 83.9 *Unspecified*

#### DISFIGUREMENTS OF LIMBS (84-87)

Excludes : limb deficiencies (76-79)

The following fourth-digit subclassification to indicate the location of limb disfigurements is suggested for use with categories 84-87

- 0 shoulder and upper arm  
 1 elbow and forearm  
 2 wrist and carpus  
 Excludes : disfigurement also involving the hand (3, below)  
 3 metacarpus and hand  
 Includes : disfigurement also involving wrist and carpus  
 4 finger  
 Includes : thumb  
 Excludes: disfigurements classifiable under 3 (above)  
 5 hip and thigh  
 6 knee and leg  
 7 ankle, foot, and toe  
 8\* multiple  
 Includes : those affecting whole of limb or affecting both upper and lower limbs  
 9 location unspecified
- 84 Failure of differentiation of parts**  
 Includes : failure of separation of parts
- 84.0 *Congenital deformity*  
 84.1 *Synostosis*

- 84.2 *Congenital soft-tissue contracture*  
Includes : contracture secondary to failure of differentiation of muscle, ligament, and capsular structures, such as arthrogryposis, camptodactyly, and trigger digit
- 84.3 *Congenital skeletal contracture*  
Includes : clinodactyly
- 84.4 *Simple syndactylia*
- 84.5 *Soft-tissue syndactylia*  
Includes : synonychia
- 84.6 *Skeletal syndactylia*  
Includes : fusions, acrosyndactylia, Apert's syndrome, and brachysyndactylia
- 84.8 *Other*
- 84.9 *Unspecified*
- 85 Other congenital malformation**  
Excludes : those of internal organs (65 and 66)
- 85.0 *Hypoplasia of skin and nails*
- 85.1 *Hypoplasia of musculotendinous structures*
- 85.2 *Hypoplasia of neurovascular structures*
- 85.3 *Other hypoplasia*  
Includes : hypoplasia of more than one of preceding types of tissue (85.0-85.2)  
Excludes : dwarfism (70.60), and achondroplasia and generalized skeletal defect (70.65)
- 85.4 *Overgrowth*  
Excludes : impairment of physique and gigantism (70.61)
- 85.5 *Skeletal duplications*  
Includes : those of bones of limbs
- 85.6 *Other duplications*  
Includes : those of all tissues, such as polydactylism and mirror hand
- 85.7 *Congenital constriction band*
- 85.8 *Other*  
Includes : arachnodactyly
- 85.9 *Unspecified*
- 86 Other structural disfigurement**
- 86.0 *Wasting, complete*  
Excludes : emaciation (70.63)

- 86.1 *Other wasting*
- 86.2 *Other soft-tissue deficiency, complete*
- 86.3 *Other soft-tissue deficiency*
- 86.4 *Swelling of tissues*
  - Includes : tumours
  - Excludes : that due to fluid (86.5 and 86.6)
- 86.5 *Other swelling, complete*
  - Includes : lymphoedema
- 86.6 *Other swelling*
  - Includes : oedema
- 86.7 *Accessory structures*
  - Excludes : accessory digits (85.6)
- 86.8 *Other structural disfigurement*
- 86.9 *Unspecified*
  
- 87 Other disfigurement**
  
- 87.0 *Pigmentation*
  - Includes : depigmentation
- 87.1 *Cyanosis*
- 87.2 *Other disturbance of skin colouration*
  - Includes : bruising, rashes, and skin infections
  - Excludes : generalized bruising (92.4)
- 87.3 *Other circulatory disturbance of skin*
  - Includes : varicose veins
- 87.4 *Disturbance of sweating*
- 87.5 *Exudation*
  - Includes : scaling
- 87.6 *Scarring*
- 87.7 *Abnormal hair*
- 87.8 *Other abnormality of skin*
- 87.9 *Other and unspecified*

#### OTHER DISFIGURING IMPAIRMENTS (88-89)

- 88 Abnormal orifice**
  
- 88.0 *Tracheostomy*
- 88.1 *Gastrostomy*
- 88.2 *Ileostomy*

- 
- 88.3 *Colostomy*
  - 88.4 *Other gastrointestinal diversion*
  - 88.5 *Indwelling urinary catheter*
  - 88.6 *Other urinary diversion*  
Includes : extraurethral incontinence
  - 88.7 *Other artificial orifice*
  - 88.8 *Other abnormal orifice*
  - 88.9 *Unspecified*
  
  - 89 **Other disfiguring impairment**



## 9 GENERALIZED, SENSORY, AND OTHER IMPAIRMENTS

### GENERALIZED IMPAIRMENTS (90-94)

- 90 Multiple impairment**
- 90.0\* *Multiple, of all classes*
  - 90.1\* *Multiple, of mental functions, speech, and special senses*  
Includes : if with viscera or with skeleton
  - 90.2\* *Multiple, of mental functions and speech*
  - 90.3\* *Multiple, of special senses, viscera, and skeleton*
  - 90.4\* *Multiple, of special senses*
  - 90.5\* *Multiple, of viscera and skeleton*
  - 90.8\* *Other*
  - 90.9\* *Unspecified*
- 91 Severe impairment of continence**  
Excludes : reflex, overflow, urge, and stress incontinence (63)
- 91.0 *Subject to control by devices*  
Includes : adaptive devices and electrical stimulators  
Excludes : special protective clothing (classify appropriately to 91.1-91.8)
  - 91.1 *Double incontinence with frequency greater than once every night and once every day*  
Includes : soiling (faecal incontinence) and wetting (incontinence of urine) greater than specified frequencies
  - 91.2 *Other double incontinence every night and every day*
  - 91.3 *Double incontinence with frequency greater than once every week by night and by day*
  - 91.4 *Other double incontinence*
  - 91.5 *Faecal incontinence with frequency greater than once every 24 hours*
  - 91.6 *Other faecal incontinence with frequency greater than once every week*
  - 91.7 *Incontinence of urine with frequency greater than once every 24 hours*
  - 91.8 *Other*
  - 91.9 *Unspecified*  
Excludes : faecal incontinence NOS (62.9)

- 92 Undue susceptibility to trauma**  
Excludes : intermittent impairment of consciousness (21) and impairment of balance function (48)
- 92.0 *Haemorrhagic disorders*  
Includes : bleeding tendencies  
Excludes : bruising (92.4)
- 92.1 *Skeletal fragility*
- 92.2 *Other undue susceptibility to fracture*
- 92.3 *Undue susceptibility to pressure sores*
- 92.4 *Generalized bruising*
- 92.5 *Undue insensitivity to pain*
- 92.6 *Other undue susceptibility of tissues*  
Includes : scurvy
- 92.7 *Reduced recuperative powers associated with ageing*
- 92.8 *Other*
- 92.9 *Unspecified*
- 93 Metabolic impairment**  
Excludes : impairment of physique (70.6) and skeletal fragility (92.1)
- 93.0 *Impairment of growth*  
Includes : failure to thrive and failure of maturation
- 93.1 *Delicate*
- 93.2 *Specific dietary intolerance*  
Includes : gluten sensitivity
- 93.3 *Other dietary limitation*  
Includes : diabetes  
Excludes : food intolerance (62.0)
- 93.4 *Malnutrition*
- 93.5 *Weight loss*  
Excludes : emaciation (70.63)
- 93.6 *Weight gain*  
Excludes : obesity (70.64)
- 93.7 *Polydipsia*
- 93.8 *Other*
- 93.9 *Unspecified*

- 94 Other generalized impairment**  
Excludes : impairment of sleep (22)
- 94.0 *Subject to electromechanical devices for life support*  
Includes : pacemaker, renal dialysis, and respirator
- 94.1 *Intermittent prostration*  
Includes : such as may occur with asthma or migraine  
Excludes : vertigo (48.0)
- 94.2 *Generalized pain*
- 94.3 *Fever*  
Includes : pyrexia
- 94.4 *Generalized pruritus*
- 94.5 *Other weakness*  
Includes : (generalized) weakness NOS
- 94.6 *Generalized fatigue*  
Includes : fatigue NOS  
Excludes : fatigability (28.5)
- 94.8 *Other*
- 94.9 *Unspecified*

#### SENSORY IMPAIRMENTS (95-98)

- 95 Sensory impairment of head**
- 95.0 *Anaesthesia*  
Excludes : anaesthetic eye (58.2)
- 95.1 *Disturbance of sweating*
- 95.2 *Temperature change*
- 95.3 *Facial pain*
- 95.4 *Headache*
- 95.5 *Other ache in head*  
Includes : congestion, throbbing, and tightness  
Excludes : earache (49.3)
- 95.6 *Itching*
- 95.7 *Numbness*  
Includes : paraesthesia and tingling
- 95.8 *Other*
- 95.9 *Unspecified*
- 96 Sensory impairment of trunk**
- 96.0 *Anaesthesia*

- 96.1 *Disturbance of sweating*
- 96.2 *Temperature change*
- 96.3 *Back pain*  
Includes : neck pain and lumbago
- 96.4 *Other pain in trunk region*  
Excludes : abdominal pain (62.3), chest pain (61.3 and 61.4),  
and renal colic (63.0)
- 96.5 *Other ache in trunk region*  
Includes : congestion and tightness  
Excludes : chest pain (61.3 and 61.4) and tightness of chest  
related to exercise (61.5)
- 96.6 *Itching*
- 96.7 *Numbness*  
Includes : paraesthesia and tingling
- 96.8 *Other*
- 96.9 *Unspecified*
- 97 Sensory impairment of upper limb**
- 97.0 *Anaesthesia*
- 97.1 *Disturbance of deep sensibility*  
Includes : astereognosis
- 97.2 *Temperature change*
- 97.3 *Pain on exercise*
- 97.4 *Other pain*  
Includes : causalgia
- 97.5 *Other ache*  
Includes : congestion and tightness
- 97.6 *Itching*
- 97.7 *Numbness*  
Includes : paraesthesia and tingling
- 97.8 *Other*  
Includes : burning or prickling, and cramp or spasm
- 97.9 *Unspecified*
- 98 Other sensory impairment**  
Includes : that of lower limb
- 98.0 *Anaesthesia*

- 98.1 *Disturbance of deep sensibility*  
Includes : astereognosis
- 98.2 *Temperature change*
- 98.3 *Pain*  
Includes : causalgia  
Excludes : pain on exercise (61.5)
- 98.4 *Other ache*  
Includes : congestion or tightness  
Excludes : intermittent claudication (61.5)
- 98.5 *Itching*  
Excludes : generalized pruritus (94.4)
- 98.6 *Numbness*  
Includes : paraesthesia and tingling
- 98.8 *Other*  
Includes : burning or prickling, and cramp or spasm
- 98.9 *Unspecified*

OTHER IMPAIRMENTS (99)

- 99 Other impairment**
- 99.0 *Currently pregnant*  
(Pregnancy has been included within this classification because it is associated with certain functional limitations)
- 99.1 *Gangrene of upper limb*
- 99.2 *Gangrene of lower limb*
- 99.3 *Other gangrene*  
Includes : that affecting upper and lower limb
- 99.8 *Other*
- 99.9 *Unspecified*  
Includes : impairment NOS

## GUIDANCE ON ASSIGNMENT

Impairments resemble disease terms in the ICD in that they are best conceived of as threshold phenomena ; for any particular category, all that is involved is a judgement about whether the impairment is present or not.

The apparent comprehensiveness of the code may at first appear to be daunting. However, the level of detail provided serves two purposes : first, as a means of defining the content of classes, and, secondly, to allow for considerable specificity for users who may wish to record such detail. The taxonomic structure of the code resembles that of the ICD in that it is hierarchical, with meaning preserved even if the code is used only in abbreviated form. Thus the level of detail to be recorded is a matter of choice for the user.

Hitherto, the more immediate consequences of disease — the major impairments — are generally likely to have been noted in records. Thus coding to appropriate categories of the I code of whatever has been recorded should not present insuperable difficulties. The principal problem is likely to be concerned with under-ascertainment — the degree to which significant impairments may not have been noted.

From now on, it is suggested that the nine major sections of the I code be used as a check-list that is applied to each individual. This would require that the observer ask himself a series of questions : “Does this person have an intellectual impairment, does he have any other psychological impairment, does he have a language impairment ?” and so on, in sequence. Further information on any questions answered affirmatively, along the lines of the greater detail contained in the code, could then be elicited.

The main aspect likely to command attention in the future relates to identification criteria and their relation to severity. The difficulties have been noted under “Deviation from norms”, in the earlier section on the *Consequences of disease*.



## **ALPHABETICAL INDEX**





In the alphabetical list below, the code numbers of impairments may be of two, three or four digits, e.g., Deaf mutism 40, Tunnel vision 56.6, Kyphosis 70.50. A dash (-) may replace the fourth digit, e.g., Paralysis, limb(s) NEC 73.9-; this means that fourth-digit subdivisions exist but have not been indexed and that the coder should refer to the classification of impairments itself in order to assign the appropriate fourth digit. In the above example, the coder would find on page 97 a fourth-digit subclassification for rubrics 73.0 to 73.9 indicating the nature of the paralysis.

## A

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>Abdominal pain 62.3</li> <li>Abnormal, abnormality, abnormally           <ul style="list-style-type: none"> <li>- activity during sleep 22.5</li> <li>- blood vessels of thorax and abdomen 66.0</li> <li>- hair (head) NEC 82.5</li> <li>- - limb (lower) (upper) 87.7-</li> <li>- - trunk 83.4</li> <li>- limited gesture 33.3</li> <li>- muscle tension 33.3</li> <li>- quiet voice 36.3</li> <li>- rapid speech 37.1</li> <li>- skin NEC               <ul style="list-style-type: none"> <li>- - head 82.7</li> <li>- - limb (lower) (upper) 87.8-</li> <li>- - trunk 83.6</li> </ul> </li> <li>- skull shape 81.1</li> <li>- sleep/wakefulness pattern 22.3</li> <li>- sounds in chest 61.2</li> <li>- tissues in trunk region NEC 70.72</li> <li>- viscera, structural NEC 66.4</li> </ul> </li> <li>Absence           <ul style="list-style-type: none"> <li>- eye NEC 50.9</li> <li>- - both 50.0</li> <li>- - one, visual impairment of other eye               <ul style="list-style-type: none"> <li>- - - moderate 50.5</li> <li>- - - near-total 50.2</li> <li>- - - none 50.7</li> <li>- - - profound 50.3</li> <li>- - - severe 50.4</li> <li>- - - slight 50.6</li> <li>- - - total 50.1</li> <li>- - - unspecified 50.8</li> </ul> </li> <li>- limb (total) - see Deficiency, transverse</li> <li>- nipples 67.0</li> </ul> </li> <li>Acalculia 19.2</li> <li>Acceleration of thought 17.3</li> </ul> | <ul style="list-style-type: none"> <li>Accessory           <ul style="list-style-type: none"> <li>- digits 85.6-</li> <li>- structures               <ul style="list-style-type: none"> <li>- - head (region) 81.2</li> <li>- - limb (lower) (upper) 86.7-</li> <li>- - trunk 83.7</li> </ul> </li> <li>- viscera 66.3</li> </ul> </li> <li>Ache (lower limb) NEC 98.4           <ul style="list-style-type: none"> <li>- head 95.4</li> <li>- trunk (region) 96.5</li> <li>- upper limb 97.5</li> </ul> </li> <li>Achondroplasia 70.65</li> <li>Acrosyndactylia 84.6-</li> <li>Acts, impulsive 27.7</li> <li>Addiction, drug 25.6</li> <li>Agensis, limb - see Deficiency, transverse</li> <li>Aggressivity 29.7</li> <li>Agitation 33.3</li> <li>Agnosia 19.0</li> <li>Agoraphobia 29.6</li> <li>Alcohol dependence 25.5</li> <li>Alcoholism 25.5</li> <li>Alienation (parts) of own body 23.30</li> <li>Ambiguity, sexual 64.4</li> <li>Ambitendence 27.4</li> <li>Ambivalence of affect 26.7</li> <li>Amblyopia 57.7</li> <li>Amelia - see Deficiency, longitudinal</li> <li>Amnesia NEC (for) 15.9           <ul style="list-style-type: none"> <li>- congrade 15.2</li> <li>- figures 15.6</li> <li>- long-term events 15.1</li> <li>- psychogenic 15.3</li> <li>- recent events 15.2</li> <li>- retrograde 15.0</li> <li>- shapes 15.4</li> <li>- specified type NEC 15.8</li> <li>- words 15.5</li> </ul> </li> </ul> |
|--|---|

- Amputation (stump), limb - *see* Deficiency, transverse
- Anesthesia (limb) NEC 98.0
- eye 58.2
  - head NEC 95.0
  - lower limb 98.0
  - trunk 96.0
  - upper limb 97.0
- Anesthetic eye 58.2
- Aneurysm, aortic 66.0
- Angry outbursts 26.5
- Anhedonia 26.1
- Ankylosis, limb, NEC - *see* categories 71.0-71.7 with 4th digit 1
- bilateral - *see* categories 71.0-71.7 with 4th digit 0
- Anomalies of cardiac development 65.21
- Anorexia 25.0
- Anosognosia 19.0
- Anxiety NEC 26.09
- free-floating 26.00
  - pathological 26.00
- Anxiety NEC - *continued*
- phobic 26.01
  - specified type NEC 26.08
- Aortic aneurysm 66.0
- Apathy 26.2
- Apert's syndrome 84.6-
- Appetite
- decreased 25.0
  - increased 25.1
- Apraxia 19.1
- Arachnodactyly 85.8-
- Arrhythmia 61.6
- Arthrogyposis 84.2-
- Artificial larynx 35.0
- Astereognosis (lower limb) 98.1
- upper limb 97.1
- Astigmatism 57.1
- Attacks, panic 26.01
- Attempted control of affect display 26.81
- Attention-seeking 29.84
- Autism 30.1
- Automatic bladder 63.3
- Awakening premature from sleep 22.1

**B**

- Baldness (complete) (partial) 82.4
- Banging head 29.82
- Behavior, solitary 29.85
- Biliary colic 62.3
- Blank spells 24.4
- Bleeding
- rectal 62.8
  - tendencies 92.0
  - vaginal 64.6
- Blepharitis 58.5
- Blindness (bilateral) NEC 51.9
- one eye NEC 54.9
  - - with low vision of other eye 52.9
- Blunting of affect 26.2
- Blurred vision 58.7
- Borborygmi 62.2
- Brachysyndactyly 84.6-
- Bruising - *see also* Color changes
- generalized 92.4
- Bulimia 25.1
- Burning sensation (lower limb) 98.8
- upper limb 97.8

**C**

- Camptodactyly 84.2-
- Cardiac murmurs 61.2
- Caries (teeth) 68.2
- Catastrophic reaction 26.80
- Catatonic movements 33.3
- Causalgia (lower limb) 98.3
- upper limb 97.4
- Chilblains (lower limb) (upper limb) 87.3-
- Circumstantial thinking 17.5
- Claudication, intermittent 61.5
- Cleft palate 80.4
- Clicking jaw 70.15
- Clinodactyly 84.3-
- Clouding of consciousness 20.1
- Colic
- biliary 62.3
  - intestinal 62.3
  - renal 63.0

- Color changes NEC
  - head 82.3
  - limb (lower) (upper) 87.2-
  - trunk 83.3
- Colostomy 88.3
- Coma 20.0
- Compulsion(s) 27.5
  - repetition 29.5
- Confabulation 16.0
- Confusion 20.4
- Congestion - *see also* Ache
  - head 95.5
- Constipation 62.4
- Constriction band, congenital 85.7-
- Contraceptive procedure in use 64.0
- Contracted pelvis 70.70
- Contracture, congenital
  - skeletal 84.3-
  - soft tissue 84.2-
- Cough 61.7
- Cramp (lower limb) 98.8
  - upper limb 97.8
- Craving, pathological (alcohol) (drug) 25.7
- Cryptomnesia 16.2
- Curvature, spinal 70.50
- Cyanosis
  - head 82.3
  - limb (lower) (upper) 87.1-

## D

- Deaf mutism 40
- Deafness (bilateral) (*see also* Loss, hearing, total) 41.9
  - one ear 44.9
  - psychogenic 43.9
- Decay (teeth) 68.2
- Decreased
  - appetite 25.0
  - libido 25.3
- Deficiency
  - abdomen 81.7
    - – wall 81.6
  - all rays - *see* categories 76- & 79- with 4th digit 0
  - bladder 65.7
  - cranial vault 80.0
  - dentofacial NEC 80.5
  - ear NEC 49.9
    - – external 49.7
    - – inner 49.0
    - – middle 49.5
  - esophagus 65.3
  - eyelid 58.5
  - fifth ray NEC - *see* categories 76- & 79- with 4th digit 9
  - first ray NEC - *see* categories 76- & 79- with 4th digit 2
    - – complete - *see* categories 76- & 79- with 4th digit 1
  - foot NEC 75.9-
  - fourth ray NEC - *see* categories 76- & 79- with 4th digit 8
    - – complete - *see* categories 76- & 79- with 4th digit 7
  - gall bladder 65.5
  - genitalia
    - genitalia - *continued*
      - – external 67.6
      - – internal 65.8
      - hand NEC 75.9-
      - head (region) NEC 80.9
        - – specified part NEC 80.8
      - heart NEC 65.29
        - – specified type NEC 65.28
      - – subject to corrective or prosthetic intervention 65.20
      - internal organs NEC 65.9
      - intestine 65.4
      - kidney NEC 65.69
        - – specified type NEC 65.68
        - – subject to renal transplantation 65.60
      - larynx 35.1
      - liver 65.5
      - longitudinal (intercalary) (terminal) NEC 78.9-
        - – distal parts of limb NEC 79.9-
          - – – metacarpal NEC 79.1-
          - – – – right 79.0-
          - – – metatarsal NEC 79.5-
          - – – – right 79.4-
          - – – multiple sites 79.8-
          - – – phalanx
            - – – – finger NEC 79.3-
            - – – – – right 79.2-
            - – – – toe NEC 79.7-
            - – – – right 79.6-
        - proximal parts of limb (lower) NEC 78.9-
          - – – carpus NEC 77.7-
          - – – – central elements 77.6-
          - – – – radial elements 77.5-

Deficiency - *continued*

- longitudinal - *continued*
- - proximal parts of limb - *continued*
- - - clavicle 77.1-
- - - complete NEC - *see* categories 77- & 78- with 4th digit 1
- - - - right - *see* categories 77- & 78- with 4th digit 0
- - - femur 78.3-
- - - fibula 78.5-
- - - humerus 77.2-
- - - ilium 78.0-
- - - incomplete NEC - *see* categories 77- & 78- with 4th digit 3
- - - - right - *see* categories 77- & 78- with 4th digit 2
- - - ischium 78.1-
- - - multiple sites 78.8-
- - - pubis 78.2-
- - - radius 77.3-
- - - scapula 77.0-
- - - tarsus NEC 78.7-
- - - tibial elements 78.6-
- - - tibia 78.4-
- - - ulna 77.4-
- - - unspecified extent - *see* categories 77- & 78- with 4th digit 9
- - - upper NEC 77.9-
- - - - multiple sites 77.8-
- lower
- - jaw 80.2
- - limb (transverse) NEC 75.9-
- lung 65.1
- neck (region) 81.4
- nose 80.6
- rectum 65.4
- second ray NEC - *see* categories 76- & 79- with 4th digit 4
- - complete - *see* categories 76- & 79- with 4th digit 3
- skull NEC 80.3
- soft tissue
- - head NEC 82.0
- - limb (lower) (upper) NEC 86.3-
- - - complete 86.2-
- - trunk NEC 83.0
- spine NEC 70.52
- spleen 65.5
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- - complete 68.1
- third ray NEC - *see* categories 76- & 79- with 4th digit 6
- - complete - *see* categories 76- & 79- with 4th digit 5
- thorax 81.5
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Deficiency - *continued*

- transverse (terminal) NEC 76.9-
- - distal parts of limb NEC 76.9-
- - - metacarpal NEC 76.1-
- - - - right 76.0-
- - - metatarsal NEC 76.5-
- - - - right 76.4-
- - - multiple sites 76.8-
- - - phalanx
- - - - finger NEC 76.3-
- - - - right 76.2-
- - - - toe NEC 76.7-
- - - - right 76.6-
- - intercalary - *see* Deficiency, longitudinal
- - - and proximal parts 76.8-
- - proximal parts of limb NEC 75.9-
- - - and distal parts 76.8-
- - - carpus 75.3-
- - - complete NEC - *see* categories 75.0-75.9 with 4th digit 1
- - - - right - *see* categories 75.0-75.9 with 4th digit 0
- - - forearm 75.2-
- - - incomplete NEC - *see* categories 75.0-75.9 with 4th digit 9
- - - - right NEC - *see* categories 75.0-75.9 with 4th digit 8
- - - - lower third NEC - *see* categories 75.0-75.9 with 4th digit 7
- - - - - right - *see* categories 75.0-75.9 with 4th digit 6
- - - - middle third NEC - *see* categories 75.0-75.9 with 4th digit 5
- - - - - right - *see* categories 75.0-75.9 with 4th digit 4
- - - - upper third NEC - *see* categories 75.0-75.9 with 4th digit 3
- - - - - right - *see* categories 75.0-75.9 with 4th digit 2
- - - lower leg 75.6-
- - - multiple sites 75.8-
- - - pelvis 75.4-
- - - shoulder 75.0-
- - - tarsus 75.7-
- - - thigh 75.5-
- - - upper arm 75.1-
- unspecified ray - *see* categories 76- & 79- with 4th digit 9
- upper
- - jaw 80.1
- - limb (transverse) NEC 75.9-
- - valvular, due to acquired lesions 65.22
- vertebra 70.51
- Deformity (structural)
- congenital, limb (lower) (upper) 84.0-
- ear NEC 49.9

- Deformity - *continued*
- ear NEC - *continued*
  - - external 49.7
  - - inner 49.1
  - - middle 49.6
  - external genitalia 67.6
  - eyeball 58.4
  - eyelid 58.5
  - head (region) NEC 81.3
  - - shape 81.1
  - limb, NEC - *see* categories 71.0-71.7 with 4th digit 5
  - - with fixation - *see* categories 71.0-71.7 with 4th digit 1
  - - bilateral - *see* categories 71.0-71.7 with 4th digit 4
  - - - with fixation - *see* categories 71.0-71.7 with 4th digit 0
  - nose 81.0
  - spinal NEC 70.53
  - trunk (region) NEC 81.9
  - - specified part NEC 81.8
  - "Déjà vécu" experience 23.31
  - "Déjà vu" experience 23.31
  - Delayed language comprehension and use for
    - auditory stimuli 34.0
    - visual stimuli 34.1
  - Delicate 93.1
  - Delirium 20.3
  - Delusional jealousy 18.4
  - Delusions (of) NEC 18.8
    - depressive 18.3
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    - guilt 18.3
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    - jealousy 18.4
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  - Dementia NEC 14.2
    - global 14.0
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  - Dependence (on)
    - alcohol 25.5
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    - life support devices 94.0
    - pacemaker 94.0
    - renal dialysis 94.0
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  - Depersonalization 23.30
  - Depigmentation - *see* Pigmentation
  - Depression 26.1
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  - Dermatitis, ear 49.3
  - Destructiveness NEC 29.83
  - Diabetes 93.3
  - Dialysis (renal) 94.0
  - Diaphragmatic palsy 60.4
  - Diarrhea 62.5
  - Dietary
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  - Difficulty (in)
    - getting off to sleep 22.0
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    - understanding language (spoken) (written) 34.5
    - using language (spoken) (written) 34.5
  - Diplopia 57.3
  - Disarticulation
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    - elbow 75.2-
    - hip 75.5-
    - knee 75.6-
    - shoulder 75.1-
    - wrist 75.3-
  - Discharge
    - aural 49.2
    - genital 67.3
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    - ocular 58.0
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  - Disfigurement NEC 89
    - external ear 49.7
    - eyeball 58.4
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    - face NEC 82.9
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    - limb (lower) (upper) NEC 87.9-
      - - ankle, foot and toe - *see* categories 84-87 with 4th digit 7
      - - elbow and forearm - *see* categories 84-87 with 4th digit 1
      - - finger - *see* categories 84-87 with 4th digit 4
      - - hip and thigh - *see* categories 84-87 with 4th digit 5
      - - knee and leg - *see* categories 84-87 with 4th digit 6
      - - metacarpus and hand - *see* categories 84-87 with 4th digit 3
      - - multiple - *see* categories 84-87 with 4th digit 8
      - - shoulder and upper arm - *see* categories 84-87 with 4th digit 0

- Disfigurement NEC - *continued*  
 - limb - *continued*  
 - - structural NEC 86.9-  
 - - - specified type NEC 86.8-  
 - - unspecified - *see* categories 84-87 with  
 4th digit 9  
 - - wrist and carpus - *see* categories 84-87  
 with 4th digit 2  
 - trunk NEC 83.9  
 - - specified type NEC 83.8
- Dislocation, limbs - *see* categories 71.0-71.7  
 with 4th digit 7
- Disorder (of)  
 - body image 23.32  
 - central  
 - - visual function NEC 31.2  
 - - - and speech, with severe impairment  
 of communication 30.1  
 - - - with inability to communicate 31.0  
 - heart valves, acquired 65.22  
 - hemorrhagic 92.0
- Disorientation (time, place, persons) 20.4
- Dissociative state 20.5
- Distortion (of)  
 - memory content NEC 16.3  
 - perception NEC 23.19  
 - - specified type NEC 23.18  
 - visual 57.7
- Distractibility 24.0
- Distress NEC 26.85
- Disturbance  
 - attention NEC 24.9  
 - - intensity 24.0  
 - - mobility 24.3  
 - - span 24.2  
 - body awareness NEC 23.39  
 - - specified type NEC 23.38  
 - breathing 61.1  
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 - circulatory, skin of limb (lower) (upper)  
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 - consciousness, intermittent 21.4  
 - deep sensibility (lower limb) 98.1  
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 - facial expression NEC 70.23  
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- Disturbance - *continued*  
 - sexual  
 - - functions NEC (with normal libido)  
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- external ear NEC 49.8
- extralinguistic function 32.0
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- genitalia NEC 67.9
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- - - bilateral 45.7
- - - - one ear
- - - - hearing impairment, other ear
- - - - - moderate 45.5
- - - - - moderately severe 45.2
- - - - - none 45.8
- - - - - profound 44.5
- - - - - severe 45.0
- - - - - unspecified 45.8
- - - - total hearing loss, other ear 44.1
- - moderate
- - - bilateral 45.4
- - - one ear
- - - - hearing impairment, other ear
- - - - - mild 45.5
- - - - - moderately severe 45.2
- - - - - none 45.6
- - - - - profound 44.4
- - - - - severe 45.0
- - - - - unspecified 45.6
- - - - total hearing loss, other ear 44.0
- - moderately severe
- - - better ear 43.3
- - - bilateral 43.2
- - - one ear
- - - - hearing impairment, other ear
- - - - - mild 45.2
- - - - - moderate 45.2
- - - - - none 45.3

**Impairment - continued**

- hearing NEC - *continued*
- - moderately severe - *continued*
- - - one ear - *continued*
- - - - hearing impairment, other ear - *continued*
- - - - - profound 42.3
- - - - - severe 43.1
- - - - - unspecified 45.3
- - - - total hearing loss, other ear 42.1
- - - profound NEC 41.9
- - - - better ear 41.3
- - - - bilateral 41.2
- - - - one ear NEC 44.9
- - - - hearing impairment, other ear
- - - - - mild 44.5
- - - - - moderate 44.4
- - - - - moderately severe 42.3
- - - - - none 44.6
- - - - - severe 42.2
- - - - - unspecified 44.7
- - - - total hearing loss, other ear 41.1
- - - - - severe
- - - - - better ear 42.4
- - - - - bilateral 43.0
- - - - - one ear
- - - - - hearing impairment, other ear
- - - - - - mild 45.0
- - - - - - moderate 45.0
- - - - - - moderately severe 43.1
- - - - - - none 45.1
- - - - - - profound 42.2
- - - - - - unspecified 45.1
- - - - total hearing loss, other ear 42.0
- - - - - subjective NEC 47.3
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- - specified type NEC 19.8
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- - specified type NEC 66.8
- intestinal function NEC 62.7
- labyrinthine function 48.1
- language
- - comprehension (nonverbal) NEC 33.5
- - - and use NEC 31.9
- - - - specified type NEC 31.8
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- - formulation (graphic) NEC 33.7
- - - oral 33.6
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- linguistic function NEC 33.9
- - specified type NEC 33.8
- listener feedback 33.1
- logical thinking 17.1
- mastication NEC 68.6
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- - - specified type NEC 68.8
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- - and motor
- - - face NEC 70.29
- - - - specified type NEC 70.28
- - - head NEC 70.39
- - - - specified type NEC 70.38
- - - internal organs NEC 60.9
- - - jaw NEC 70.19
- - - - specified type NEC 70.18
- - - neck NEC 70.49
- - - - specified type NEC 70.48
- - - trunk NEC 70.79
- - - - specified type NEC 70.78
- ankle 71.7-
- - one side, with other side
- - - hip or thigh 71.95
- - - knee or leg 71.96
- - carpus 71.2-
- - - and metacarpus 71.3-
- - elbow 71.1-
- - finger 71.4-
- - - one side, with other side
- - - - forearm 71.85
- - - - hand 71.86
- - - - upper arm 71.83
- - - - wrist 71.86
- - foot 71.7-
- - - one side, with other side
- - - - hip or thigh 71.95
- - - - knee or leg 71.96
- - forearm 71.1-
- - - one side, with other side
- - - - finger 71.85
- - - - hand 71.84
- - - - upper arm 71.81
- - - - wrist 71.84
- - hand (and wrist) 71.3-
- - - one side, with other side
- - - - finger 71.86
- - - - forearm 71.84
- - - - upper arm 71.82
- - head 70.30
- - hip 71.5-
- - - one side, with other side

Impairment - *continued*

- mechanical - *continued*
- - hip - *continued*
- - - one side - *continued*
- - - - ankle, foot or toe 71.95
- - - - knee or leg 71.94
- - internal organ NEC 60.3
- - knee 71.6-
- - - one side, with other side
- - - - ankle, foot or toe 71.96
- - - - hip or thigh 71.94
- - leg 71.6-
- - - one side, with other side
- - - - ankle, foot or toe 71.96
- - - - hip or thigh 71.94
- - limb NEC 71.99
- - - mixed type - *see* categories 71.0-71.7 with 4th digit 8
- - lower limb NEC 71.93
- - - and upper limb 71.98
- - - both NEC 71.97
- - - due to unequal length of legs 71.92
- - metacarpus (and carpus) 71.3-
- - metatarsal joint 71.7-
- - neck NEC 70.41
- - shoulder 71.0-
- - subtaloid joint 71.7-
- - tarsal joint 71.7-
- - thigh 71.5-
- - - one side, with other side
- - - - ankle, foot or toe 71.95
- - - - knee or leg 71.94
- - thumb 71.4-
- - toe NEC 71.91
- - - bilateral 71.90
- - - one side, with other side
- - - - hip or thigh 71.95
- - - - knee or leg 71.96
- - upper
- - - arm, one side, with other side
- - - - finger 71.83
- - - - forearm 71.81
- - - - hand 71.82
- - - - wrist 71.82
- - - limb NEC 71.89
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- - - - both NEC 71.88
- - - - more than one region 71.80
- - upper arm 71.0-
- - wrist 71.2-
- - - and hand 71.3-
- - - one side, with other side
- - - - finger 71.86
- - - - forearm 71.84
- - - - upper arm 71.82
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- - past events 15.0
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- - head NEC 70.32
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- - - four 74.6-
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- - - - both 74.3-
- - - - with upper, same side 74.5-
- - - mixed type - *see* categories 74.0-74.9 with 4th digit 8
- - - specified
- - - - combinations NEC 74.8-
- - - - type NEC - *see* categories 74.0-74.9 with 4th digit 7
- - - three 74.6-
- - - upper NEC 74.2-
- - - - both 74.0-
- - - - dominant 74.1-
- - - - with lower, same side 74.5-
- - neck NEC 70.42
- - or analogous functional of internal organs 60.5
- - - combinations NEC 60.8
- multiple NEC 90.9
- - all classes 90.0
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- - - and viscera and skeleton 90.3
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- neurological control of speech organs NEC 35.6
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  - - specified type NEC 70.68
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- psychomotor functions NEC 28.9
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- renal function NEC 63.1
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- sensorineural NEC 47.1
- sensory NEC 98.9
  - - head NEC 95.9
    - - - specified type NEC 95.8
    - - - lower limb 98.9
      - - - - specified type NEC 98.8
    - - - trunk NEC 96.9
      - - - - specified type NEC 96.8
    - - - upper limb NEC 97.9
      - - - - specified type NEC 97.8
  - sexual
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      - - - bilateral NEC 46.8
        - - - - mild 46.4
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  - - discrimination NEC - *continued*
    - - - bilateral NEC - *continued*
      - - - - moderately severe 46.2
      - - - - profound 46.0
        - - - - - severe 46.1
      - - - - unilateral NEC 46.9
        - - - - - mild 46.7
      - - - - moderate 46.7
        - - - - - moderately severe 46.6
      - - - - profound 46.5
        - - - - - severe 46.6
    - - fluency 37.0
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    - - sublinguistic function NEC 32.8
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          - - - - - specified type NEC 68.8
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    - - taste 69.2
      - - - and smell 69.0
    - - thought content NEC 18.9
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    - - skeletal structures NEC 70.71
      - - - specified type NEC 70.8
    - - urinary function NEC 63.9
      - - - specified type NEC 63.8
  - use of
    - - finger spelling 33.0
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    - - vestibular function NEC 48.9
      - - - and balance 48.9
        - - - - specified type NEC 48.8
      - - - locomotion 48.2
        - - - - specified type NEC 48.4
      - - - vision (unilateral) NEC 55.9
        - - - - binocular NEC 57.4
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          - - - - - subjective 57.7
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        - - - - acuity NEC (*see also* Impairment, vision) 55.9
        - - - - bilateral NEC 53.9
          - - - - - field NEC 56.9
          - - - - - moderate 56.4

Impairment - *continued*

- visual NEC - *continued*
- - field NEC - *continued*
- - - near-total 56.1
- - - profound 56.2
- - - severe 56.3
- - - slight 56.5
- - - total 56.0
- - - unilateral NEC 56.8
- - moderate
- - - better eye NEC 53.3
- - - both eyes 53.2
- - - one eye
- - - - other eye absent 50.5
- - - - visual impairment, other eye
- - - - - near-total 52.3
- - - - - none 55.4
- - - - - profound 52.5
- - - - - severe 53.1
- - - - - slight 55.3
- - - - - total 52.1
- - - - - unspecified 55.5
- - near-total
- - - better eye NEC 51.5
- - - both eyes 51.3
- - - one eye
- - - - other eye absent 50.2
- - - - visual impairment, other eye
- - - - - moderate 52.3
- - - - - none 54.4
- - - - - profound 51.4
- - - - - severe 52.2
- - - - - slight 54.3
- - - - - total 51.1
- - - - - unspecified 54.5
- - profound
- - - better eye NEC 51.7
- - - both eyes 51.6
- - - one eye
- - - - other eye absent 50.3
- - - - visual impairment, other eye
- - - - - moderate 52.5
- - - - - near-total 51.4
- - - - - none 54.7
- - - - - severe 52.4
- - - - - slight 54.6
- - - - - total 51.2
- - - - - unspecified 54.8
- - severe
- - - better eye NEC 52.6
- - - both eyes 53.0
- - - one eye
- - - - other eye absent 50.4
- - - - visual impairment, other eye
- - - - - moderate 53.1
- - - - - near-total 52.2
- - - - - none 55.1

Impairment - *continued*

- visual NEC - *continued*
- - severe - *continued*
- - - one eye - *continued*
- - - - visual impairment, other eye - *continued*
- - - - - profound 52.4
- - - - - slight 55.0
- - - - - total 52.0
- - - - - unspecified 55.2
- - slight
- - - both eyes 55.6
- - - one eye
- - - - other eye absent 50.6
- - - - visual impairment, other eye
- - - - - moderate 55.3
- - - - - near-total 54.3
- - - - - none 55.7
- - - - - profound 54.6
- - - - - severe 55.0
- - - - - total 54.0
- - - - - unspecified 55.8
- - specified type NEC 57.8
- - total
- - - both eyes 51.0
- - - one eye
- - - - other eye absent 50.1
- - - - visual impairment, other eye
- - - - - moderate 52.1
- - - - - near-total 51.1
- - - - - none 54.1
- - - - - profound 51.2
- - - - - severe 52.0
- - - - - slight 54.0
- - - - - unspecified 54.2
- vocabulary 31.3
- voice
- - function NEC 36.9
- - - intonation NEC 36.5
- - - loudness NEC 36.4
- - - modulation 36.1
- - - pitch 36.2
- - - quality 36.6
- - - - specified type NEC 36.7
- - - - specified type NEC 36.8
- - - production NEC 35.9
- - - - specified type NEC 35.8
- volition NEC 27.9
- - specified type NEC 27.8
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- - specified type NEC 22.8
- walking NEC 71.93
- writing 34.4
- Impotence 25.40
- Impulsive
- acts 27.6
- falls 48.6

- Inability to sustain goals 25.80
- Inappropriate
- facial expression 33.2
  - gesture 33.3
  - humor 38.2
  - posture (orientation) (position) 33.3
  - speech NEC 38.1
- Inattentiveness 24.5
- Incoherence NEC 37.5
- thought processes 17.8
- Incongruity of affect 26.7
- Incontinence
- double NEC 91.4
  - - - every night and every day 91.2
  - - - frequency greater than once every
  - - - - night and once every day 91.1
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  - - - frequency greater than once every
  - - - - week 91.6
  - - - - 24 hours 91.5
  - severe NEC 91.9
  - - - specified type NEC 91.8
  - - - subject to control by devices 91.0
  - urinary NEC 63.7
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  - - - frequency greater than once every 24
  - - - - hours 91.7
  - - - overflow 63.4
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- Indecisiveness 29.5
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- aural NEC 49.4
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- Infertility NEC 64.2
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- Insomnia NEC 22.1
- Instability
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  - - - 4th digit 3
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|

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- Limping NEC 74.97
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    - – profound (bilateral) NEC 41.9
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    - – – bilateral 41.0
    - – – one ear NEC 44.9
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  - hearing - *continued*
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    - – – one ear NEC - *continued*
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 - - - complete - *see* categories 74.0-74.9 with 4th digit 2  
 - - postural 70.55  
 - involuntary  
 - - body 70.54  
 - - facial 70.21  
 - - limb - *see* categories 74.0-74.9 with 4th digit 3  
 - - - complete - *see* categories 74.0-74.9 with 4th digit 2  
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  - back 96.3
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  - eye 58.7
  - facial 95.3
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  - lower limb NEC 98.3
  - - on exercise 61.5
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- diaphragmatic 60.4
  - facial 70.20
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    - - - complete - *see* categories 73.0-73.9 with 4th digit 4
    - - - total NEC - *see* categories 73.0-73.9 with 4th digit 3
    - - - - complete - *see* categories 73.0-73.9 with 4th digit 2
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    - - - - four NEC 72.7
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    - - - - - lower NEC 73.41
    - - - - - and upper, same side 72.2
    - - - - - complete 72.1
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  - - - - - both NEC 73.01
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  - - - three 73.6-
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- Paraparesis (spastic) 72.4
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- Pathological
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- Perplexity 29.81
- Perseveration 17.4
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- Petit mal (*see also* Epilepsy) 21.5
- Phantom limb experiences 23.32
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- Pigmentation (skin)
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- Piles 62.8
- Pill (contraceptive) in use 64.0
- Polydactylism 85.6-
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- Poverty (of)
- speech content 38.5
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- Pregnant 99.0
- Prickling (lower limb) 98.8
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- Procidencia (uteri) 67.4
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 Prosthesis, dental 68.0  
 Pruritus (generalized) 94.4  
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 Reaction, catastrophic 26.80  
 Reading difficulties 34.2  
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 – colic 63.0  
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 Retardation, mental - *see* Mental, retardation  
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 Scarring  
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 Secretion lacrimal, excessive 58.0  
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 Shoulder-hand syndrome 71.80  
 Shyness, excessive 29.2  
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Sleep  
 – -talking 22.5  
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 Sleepiness 22.7  
 Slowness 28.0  
 – speech 28.0  
 – thought 17.2  
 – walking 28.0  
 Slurred speech 35.5  
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 Solitary behavior 29.85  
 Sopor 20.0  
 Spasm (lower limb) 98.8  
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 Speech  
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 – idiosyncratic 38.0  
 – inappropriate NEC 38.1  
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 – restricted 38.4  
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 Stature  
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 – simple 84.4-  
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 Syndrome  
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 – head 95.2  
 – lower limb 98.2  
 – trunk 96.2  
 – upper limb 97.2  
 Tetraparesis (spastic) 72.7  
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 Thinking - *see* condition  
 Thinness, undue 70.63  
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 Throbbing, head 95.5  
 Tics 70.21  
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 Titubation 70.31  
 Toothache 68.3  
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 Traits  
 – histrionic 29.80  
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 Trance-like state 20.6  
 Transplantation, renal 65.60  
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 Tremor NEC 74.92  
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 - limb (lower) (upper) 86.4-  
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 Uncooperativeness 29.7  
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 Underdevelopment, lower jaw 70.13  
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 Undue  
 - insensitivity to pain 92.5  
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 - tallness 70.61  
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 - bleeding 64.6  
 - discharge 67.3  
 Vaginitis 67.3  
 Varicose veins 87.3-  
 Vertigo 48.0  
 Violence 29.7  
 Vision loss NEC 55.9  
 - transient 57.7

Voice  
 - abnormally quiet 36.3  
 - breathy 36.6  
 - expressionless 36.1  
 - flat tone 36.1  
 - harsh 36.6  
 Vomiting 62.1  
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## W

Wasting  
 - head 82.0  
 - limb (lower) (upper) NEC 86.1-  
 - - complete 86.0-  
 - trunk 83.0  
 Weakness (generalized) NEC 94.5  
 - limbs - *see* categories 73.0-73.9 with 4th  
 digit 6  
 Wears correcting lenses (giving  
 (near-)normal vision) 57.0

Weight  
 - gain 93.6  
 - loss 93.5  
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 Wheezing 61.1  
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## **SECTION 3**

### **CLASSIFICATION OF DISABILITIES**

#### **List of two-digit categories**

- 1 Behaviour disabilities**
- 2 Communication disabilities**
- 3 Personal care disabilities**
- 4 Locomotor disabilities**
- 5 Body disposition disabilities**
- 6 Dexterity disabilities**
- 7 Situational disabilities**
- 8 Particular skill disabilities**
- 9 Other activity restrictions**

**Supplementary gradings**

**Guidance on assignment**

## DISABILITY

*Definition* In the context of health experience, a disability is any restriction or lack (resulting from an impairment) of ability to perform an activity in the manner or within the range considered normal for a human being

*Characteristics* Disability is characterized by excesses or deficiencies of customarily expected activity performance and behaviour, and these may be temporary or permanent, reversible or irreversible, and progressive or regressive. Disabilities may arise as a direct consequence of impairment or as a response by the individual, particularly psychologically, to a physical, sensory, or other impairment. Disability represents objectification of an impairment, and as such it reflects disturbances at the level of the person

Disability is concerned with abilities, in the form of composite activities and behaviours, that are generally accepted as essential components of everyday life. Examples include disturbances in behaving in an appropriate manner, in personal care (such as excretory control and the ability to wash and feed oneself), in the performance of other activities of daily living, and in locomotor activities (such as the ability to walk)

## **LIST OF TWO-DIGIT CATEGORIES OF DISABILITY**

### **1 BEHAVIOUR DISABILITIES**

#### **Awareness disabilities (10-16)**

- 10 Self-awareness disability
- 11 Disability relating to location in time and space
- 12 Other identification disability
- 13 Personal safety disability
- 14 Disability relating to situational behaviour
- 15 Knowledge acquisition disability
- 16 Other educational disability

#### **Disabilities in relations (17-19)**

- 17 Family role disability
- 18 Occupational role disability
- 19 Other behaviour disability

### **2 COMMUNICATION DISABILITIES**

#### **Speaking disabilities (20-22)**

- 20 Disability in understanding speech
- 21 Disability in talking
- 22 Other speaking disability

#### **Listening disabilities (23-24)**

- 23 Disability in listening to speech
- 24 Other listening disability

#### **Seeing disabilities (25-27)**

- 25 Disability in gross visual tasks
- 26 Disability in detailed visual tasks
- 27 Other disability in seeing and related activities



**Other communication disabilities (28-29)**

- 28 Disability in writing
- 29 Other communication disability

**3 PERSONAL CARE DISABILITIES****Excretion disabilities (30-32)**

- 30 Controlled excretory difficulty
- 31 Uncontrolled excretory difficulty
- 32 Other excretion disability

**Personal hygiene disabilities (33-34)**

- 33 Bathing disability
- 34 Other personal hygiene disability

**Dressing disabilities (35-36)**

- 35 Clothing disability
- 36 Other dressing disability

**Feeding and other personal care disabilities (37-39)**

- 37 Disability in preliminaries to feeding
- 38 Other feeding disability
- 39 Other personal care disability

**4 LOCOMOTOR DISABILITIES****Ambulation disabilities (40-45)**

- 40 Walking disability
- 41 Traversing disability
- 42 Climbing stairs disability
- 43 Other climbing disability
- 44 Running disability
- 45 Other ambulation disability

**Confining disabilities (46-47)**

- 46 Transfer disability
- 47 Transport disability

**Other locomotor disabilities (48-49)**

- 48 Lifting disability
- 49 Other locomotor disability

**5 BODY DISPOSITION DISABILITIES****Domestic disability (50-51)**

- 50 Subsistence disability
- 51 Household disability

**Body movement disabilities (52-27)**

- 52 Retrieval disability
- 53 Reaching disability
- 54 Other disability in arm function
- 55 Kneeling disability
- 56 Crouching disability
- 57 Other body movement disability

**Other body disposition disabilities (58-59)**

- 58 Postural disability
- 59 Other body disposition disability

**6 DEXTERITY DISABILITIES****Daily activity disabilities (60-61)**

- 60 Environmental modulation disability
- 61 Other daily activity disability

**Manual activity disabilities (62-66)**

- 62 Fingering disability
- 63 Gripping disability
- 64 Holding disability
- 65 Handedness disability
- 66 Other manual activity disability

**Other dexterity disabilities (67-69)**

- 67 Foot control disability
- 68 Other body control disability
- 69 Other dexterity disability

**7 SITUATIONAL DISABILITIES****Dependence and endurance disabilities (70-71)**

- 70 Circumstantial dependence
- 71 Disability in endurance

**Environmental disabilities (72-77)**

- 72 Disability relating to temperature tolerance
- 73 Disability relating to tolerance of other climatic features
- 74 Disability relating to tolerance of noise
- 75 Disability relating to tolerance of illumination
- 76 Disability relating to tolerance of work stresses
- 77 Disability relating to tolerance of other environmental factors

**Other situational disabilities (78)**

- 78 Other situational disability

**8 PARTICULAR SKILL DISABILITIES****9 OTHER ACTIVITY RESTRICTIONS**

# 1 BEHAVIOUR DISABILITIES

Refer to an individual's awareness and ability to conduct himself, both in everyday activities and towards others, and including the ability to learn

Excludes : communication disabilities (2)

## AWARENESS DISABILITIES (10 - 16)

Awareness refers to having knowledge

### 10 Self-awareness disability

Includes : disturbance of the ability to develop or maintain a mental representation of the identity of the individual's self or body ("body image") and its continuity over time; and disturbance of behaviour resulting from interference with consciousness or sense of identity and confusion (inappropriate interpretation of and response to external events, which expresses itself in agitation, restlessness, and noisiness)

#### 10.0 *Transient self-awareness disability*

#### 10.1 *Disability in body image orientation*

Includes : disturbance in the mental representation of the individual's body, such as inability in right-left differentiation, "phantom limb" experiences, and other related phenomena

#### 10.2 *Personal uncleanliness*

Includes : neglect of shaving or state of hair, and dirty clothing

#### 10.3 *Other disturbance of appearance*

Includes : careless dress or make-up, and appearance that is bizarre (such as "secret documents" and special clothes or ornaments with idiosyncratic meaning, which may be related to delusions), of very inappropriate taste, or conspicuously out of fashion

#### 10.4 *Other disturbance of self-presentation*

Includes : disturbance of the ability to present a favourable image in social situations, such as by inattention to supportive social routines (e.g., greetings, partings, giving thanks, apologizing, excusing, and reciprocation of these), and lack of "presence" (e.g., total absence of originality, or excessive conformity in demeanour)

Excludes : intended unconventional behaviour (which is not a disability)

- 10.8 *Other*
- 10.9 *Unspecified*
- 11 Disability relating to location in time and space**  
Includes : disturbance of the ability of the individual to correctly locate external objects, events, and himself in relation to the dimensions of time and space
- 11.0 *Transient disability relating to location in time and space*
- 11.8 *Other*
- 11.9 *Unspecified*
- 12 Other identification disability**  
Includes : disturbance of the ability to identify objects and persons correctly
- 12.0 *Transient disability in identifying objects and persons*
- 12.1 *Conduct out of context*  
Conduct that is generally appropriate but which is inappropriate to the place, time, or stage of maturation  
Includes : cultural shock (such as in immigrants), moving in different identities (e.g., transvestism and passing, such as black passing for white), pseudo-feeble-mindedness, and breaking taboos
- 12.8 *Other*
- 12.9 *Unspecified*
- 13 Personal safety disability**  
Includes : disturbance of the ability to avoid hazards to the integrity of the individual's body, such as being in hazard from self-injury or from inability to safeguard self from danger
- 13.0 *Liability to self-injury*  
Includes : risk of suicide or self-inflicted injury
- 13.1 *Personal safety disability in special situations*  
Includes : being in hazard in special situations, such as those related to travel and transport, occupation, and recreation, including sport  
Excludes : occupational role disability (18)
- 13.2 *Conduct potentially dangerous to the individual himself*  
Includes : leaving gas taps or fires on

- 13.3 *Other irresponsible conduct*  
Includes : tossing lighted matches on carpet
- 13.4 *Getting lost*
- 13.5 *Other wandering*  
Includes : when inappropriately clad
- 13.8 *Other*
- 13.9 *Unspecified*
- 14      **Disability relating to situational behaviour****  
Includes : disturbance of the capacity to register and understand relations between objects and persons in situations of daily living  
Excludes : personal safety disability in special situations (13.1)
- 14.0 *Situation comprehension disability*  
Includes : disturbance of the capacity to perceive, register, or understand relations between things and people
- 14.1 *Situation interpretation disability*  
Includes : false interpretation of the relations between and meaning of things and people
- 14.2 *Situation coping disability*  
Includes : disturbance of the ability to perform everyday activities in specific situations, such as outside the home or in the presence of particular animals or other objects  
Excludes : disability in crisis conduct (18.6)
- 14.8 *Other*
- 14.9 *Unspecified*
- 15      **Knowledge acquisition disability****  
Includes : general disturbance of the ability to learn, such as may arise from impairments of intellect or of the ability to learn new skills
- 16      **Other educational disability****  
Includes : other inability to benefit from educational opportunities because of disturbance of specific individual capacities for acquiring, processing, and retaining new information  
Excludes : those arising from communication (2) and other disabilities (3 - 7)

16.9 *Unspecified*

Includes : slowing of mental functions NOS

**DISABILITIES IN RELATIONS (17 - 19)**

**17 Family role disability**

17.0 *Disability in participation in household activities*

Includes : customary common activities such as having meals together, doing domestic chores, going out or visiting together, playing games, and watching television, and conduct during these activities, as well as household decision-making, such as decisions about children and money

17.1 *Disability in affective partnership role*

Includes : affective relationship with steady partner or spouse, and communication (such as talking about children, news, and ordinary events), ability to show affection and warmth (but excluding culturally customary outbursts of anger or irritability), and engendering a feeling of being a source of support in the partner

17.2 *Other disability in affective partnership role*

Includes : disturbance of sexual relations with steady partner (including occurrence of sexual intercourse and whether both individual and partner find sexual relations satisfactory)

17.3 *Parental role disability*

Includes : undertaking and performance of child care tasks appropriate to the individual's position in household (such as feeding, putting to bed, or taking to school, for small children, and looking after child's needs, for older children) and taking interest in child (such as playing with, reading to, and taking interest in child's problems or school work)

17.8 *Other family role disability*

17.9 *Unspecified*

- 18 Occupational role disability**  
Includes : disturbance of the ability to organize and participate in routine activities connected with the occupation of time, not just confined to the performance of work  
Excludes : situational disabilities (70 - 79)
- 18.0 *Disability in motivation*  
Includes : interference with the ability to work by virtue of severe impairment of drive
- 18.1 *Disability in cooperation*  
Includes : inability to cooperate with others and to "give and take" in social interaction
- 18.2 *Disability in work routine*  
Includes : other aspects of conformity to work routine (such as going to work regularly and on time, and observing the rules)
- 18.3 *Disability in organizing daily routine*  
Includes : disturbance of the ability to organize activities in temporal sequences, and difficulty in making decisions about day-to-day matters
- 18.4 *Other disability in work performance*  
Includes : other inadequacy in performance and output
- 18.5 *Recreation disability*  
Includes : lack of interest in leisure activities (such as watching television, listening to radio, reading newspapers or books, participating in games, and hobbies) and in local and world events (including efforts to obtain information)
- 18.6 *Disability in crisis conduct*  
Includes : unsatisfactory or inappropriate responses to incidents (such as sickness, accident, or other incident affecting family member or involving other people), emergencies (such as fire), and other experiences customarily requiring quick decision and action
- 18.8 *Other occupational role disability*  
Includes : for individuals not working, their interest in obtaining or returning to work and actual steps undertaken to this end  
Excludes : other social role disability (19.2)



18.9 *Unspecified*

**19 Other behaviour disability**

Includes : disturbance of interpersonal relationships outside the household

Excludes : occupational role disability (18)

19.0 *Antisocial behaviour*

Includes : severely maladjusted, psychopathic, and delinquent

19.1 *Indifference to accepted social standards*

Includes : conduct that is embarrassing (such as making sexual suggestions or advances, or lacking restraint in scratching genitals or in passing loud flatus), irreverent (such as singing, making facetious silly jokes or flippant remarks, or being unduly familiar), or histrionic (such as expression of feelings in an exaggerated, dramatic, or histrionic manner)

19.2 *Other social role disability*

Includes : overt conduct by the individual involving arguments, arrogance, anger, marked irritability, or other friction arising in social situations outside own home (such as with supervisors, co-workers, or customers, if the individual engages in outside work; with neighbours and other people in the community, if the individual has a domestic role; with teachers, administrators, and fellow students, if the individual is a student; and with fellow inhabitants, if the individual lives in communal accommodation)

Excludes : self-awareness (10) and identification disabilities (11 - 12)

19.3 *Other personality disability*

Includes : other excess or lack of any customary trait of personality NOS

19.4 *Other severe behaviour disorder*

Includes : other disturbance of behaviour (such as aggressiveness, destructiveness, extreme overactivity, and attention-seeking) that presents problems in management and that are NEC

19.8 *Other*

19.9 *Unspecified*

Includes : behaviour disorder NOS

## 2 COMMUNICATION DISABILITIES

Refer to an individual's ability to generate and emit messages, and to receive and understand messages

### SPEAKING DISABILITIES (20 - 22)

#### **20 Disability in understanding speech**

Includes : loss or restriction of the ability to understand the meaning of verbal messages

Excludes : listening disabilities (23) and situation-related difficulties such as lack of knowledge of a local language

#### **21 Disability in talking**

Includes : loss or restriction of the ability to produce audible verbal messages and to convey meaning through speech

#### **22 Other speaking disability**

##### **22.0 *Disability in understanding other audible messages***

Excludes : listening disabilities (24)

##### **22.1 *Disability in expression through substitute language codes***

Includes : loss or restriction of the ability to convey information by a code of sign language

##### **22.2 *Other disability with substitute language codes***

Includes : loss or reduction of the ability to receive information by a code of sign language

##### **22.8 *Other***

##### **22.9 *Unspecified***

### LISTENING DISABILITIES (23 - 24)

#### **23 Disability in listening to speech**

Includes : loss or reduction of the ability to receive verbal messages

#### **24 Other listening disability**

Includes : loss or reduction of the ability to receive other audible messages

## SEEING DISABILITIES (25 - 27)

**25 Disability in gross visual tasks**

Includes : loss or reduction of the ability to execute tasks requiring adequate distant or peripheral vision

**26 Disability in detailed visual tasks**

Includes : loss or reduction of the ability to execute tasks requiring adequate visual acuity, such as reading, recognition of faces, writing, and visual manipulation

**27 Other disability in seeing and related activities**

Excludes : disability related to tolerance of illumination (75)

**27.0 Disability in night vision****27.1 Disability in colour recognition****27.2 Disability in comprehending written messages**

Includes : loss or reduction of the ability to decode and understand written messages

**27.3 Other disability in reading written language**

Includes : difficulty with speed or endurance

**27.4 Disability in reading other systems of notation**

Includes : loss or reduction of the ability to read Braille by an individual disabled in near sight who had previously had this ability, or difficulty in learning this system of notation by an individual disabled in near sight

**27.5 Disability in lip reading**

Includes : loss or reduction of the ability to lip-read by an individual disabled in listening who had previously had this ability, or difficulty in learning this skill by an individual disabled in listening

**27.8 Other****27.9 Unspecified**

## OTHER COMMUNICATION DISABILITIES (28 - 29)

**28 Disability in writing**

Includes : loss or reduction of the ability to encode language into written words and to execute written messages or to make marks

**29 Other communication disability****29.0 *Disability in symbolic communication***

**Includes :** loss or restriction of the ability to understand signs and symbols associated with conventional codes (e.g., traffic lights and signs, and pictograms) and to read maps, simple diagrams, and other schematic representations of objects

**29.1 *Other disability in nonverbal expression***

**Includes :** loss or restriction of the ability to convey information by gesture, expression, and related means

**29.2 *Other disability in nonverbal communication***

**Includes :** loss or restriction of the ability to receive information by gesture, expression, and related means

**29.8 *Other*****29.9 *Unspecified***

**Includes :** communication disability NOS

### 3 PERSONAL CARE DISABILITIES

Refer to an individual's ability to look after himself in regard to basic physiological activities, such as excretion and feeding, and to caring for himself, such as with hygiene and dressing

#### EXCRETION DISABILITIES (30-32)

- 30 Controlled excretory difficulty**  
Control relates to mitigation of the consequences of excretory difficulty by effecting a degree of regulation, either by adaptive devices, electrical stimulators, special protective clothing, or by some other means, so that an effectively customary existence becomes possible
- 30.0 *Control by adaptive devices*
- 30.1 *Control by electrical stimulators*
- 30.2 *Gastrointestinal diversion*  
Includes : ileostomy and colostomy  
Excludes : internal short-circuit operations (70.5)
- 30.3 *Indwelling urinary catheter*
- 30.4 *Other urinary diversion*  
Includes : with abnormal orifice (such as cystostomy)  
Excludes : internal short-circuit operations (70.5)
- 30.5 *Control by special protective clothing*
- 30.8 *Other control of excretory difficulty*
- 30.9 *Unspecified*
- 31 Uncontrolled excretory difficulty**
- 31.0 *Severe double incontinence*  
Frequency every night and every day  
Includes : soiling (faecal incontinence) and wetting (incontinence of urine)
- 31.1 *Moderate double incontinence*  
Frequency greater than once every week by night and by day
- 31.2 *Other double incontinence*
- 31.3 *Other faecal incontinence*
- 31.4 *Other urinary incontinence*
- 31.8 *Other uncontrolled incontinence*
- 31.9 *Unspecified*
- 32 Other excretion disability**

- 32.0 *Associated with transfer difficulty at home*  
Difficulty for the individual in transferring self to and from a lavatory at home
- 32.1 *Associated with transfer difficulty elsewhere*
- 32.2 *Other difficulty in using sanitary facilities*
- 32.8 *Other excretion disability*
- 32.9 *Unspecified*

### PERSONAL HYGIENE DISABILITIES (33-34)

- 33 Bathing disability**  
Includes : having an all-over wash, washing the body and the back, and drying self thereafter
- 33.0 *Associated with transfer difficulty*  
Difficulty for the individual in transferring self to and from a bath
- 33.1 *Other difficulty in using a bath*
- 33.2 *Difficulty in using a shower*
- 33.8 *Other bathing disability*
- 33.9 *Unspecified*
- 34 Other personal hygiene disability**
- 34.0 *Washing face*
- 34.1 *Washing hair*  
Includes : washing neck and ears
- 34.2 *Care of hands*  
Includes : washing, and care of fingernails
- 34.3 *Care of feet*  
Includes : washing, and care of toenails
- 34.4 *Post-excretion hygiene*
- 34.5 *Menstrual hygiene*
- 34.6 *Dental hygiene*
- 34.7 *Gender-specific care*  
Includes : brushing and combing hair, and shaving
- 34.8 *Other*
- 34.9 *Unspecified*

**DRESSING DISABILITIES (35-36)****35 Clothing disability**

Excludes : footwear (36.1)

35.0 *Underclothes*35.1 *Lower part of body*

Includes : putting on skirts and trousers

35.2 *Over arms and shoulders*

Includes : putting on jackets

35.3 *Over the head*

Includes : putting on blouses, shirts, and nightdresses

35.4 *Outer clothing*

Includes : putting on overalls, smocks, and overcoats

35.5 *Fastenings*

Includes : doing up buttons, hooks, and zips

35.8 *Other*35.9 *Unspecified***36 Other dressing disability**36.0 *Hosiery*

Includes : putting on socks and stockings

36.1 *Footwear*

Includes : putting on shoes and tying shoelaces

36.2 *Protective covering of hands*36.3 *Headwear*36.4 *Cosmetics*36.5 *Other aspects of adornment*36.8 *Other*36.9 *Unspecified***FEEDING AND OTHER PERSONAL CARE DISABILITIES (37-39)****37 Disability in preliminaries to feeding**37.0 *Dispensing beverages*

Includes : pouring tea

- 37.1 *Holding drinking vessel*
- 37.2 *Dispensing food*  
Includes : serving food
- 37.3 *Making food ready*  
Includes : cutting meat and buttering bread
- 37.4 *Eating utensils*  
Includes : holding cutlery and other eating utensils
- 37.8 *Other*
- 37.9 *Unspecified*
  
- 38 Other feeding disability**
- 38.0 *Drinking*  
Includes : conveying beverages to mouth and consuming (such as sipping)
- 38.1 *Eating*  
Includes : conveying food to mouth and ingesting
- 38.2 *Chewing*  
Includes : mastication
- 38.3 *Swallowing*
- 38.4 *Gastrostomy*
- 38.5 *Poor appetite*
- 38.8 *Other*
- 38.9 *Unspecified*
  
- 39 Other personal care disability**
- 39.0 *Difficulty in getting to bed*  
Includes : difficulty in getting up, and inability to make the decision to go to bed  
Excludes : transfer disability (46)
- 39.1 *Difficulty in bed*  
Includes : difficulty in managing bedclothes
- 39.8 *Other*
- 39.9 *Unspecified*



## 4 LOCOMOTOR DISABILITIES

Refer to an individual's ability to execute distinctive activities associated with moving, both himself and objects, from place to place

Excludes : overall mobility and consideration of the degree to which this may be restored by aids (code under the handicap classification), and also disabilities arising from diminished endurance (71)

### AMBULATION DISABILITIES (40-45)

**40 Walking disability**

Includes : ambulation on flat terrain

Excludes : negotiation of discontinuities in terrain (41-43)

**41 Traversing disability**

Includes : negotiation of discontinuities in terrain such as the occasional step between different levels

Excludes : flights of stairs (42) and other aspects of climbing (43)

**42 Climbing stairs disability**

Includes : negotiation of flights of stairs and similar man-made obstacles such as ladders

Excludes : the occasional step (41)

**43 Other climbing disability**

Includes : natural obstacles

**44 Running disability**

**45 Other ambulation disability**

### CONFINING DISABILITIES (46-47)

**46 Transfer disability**

Excludes : those related to excretion (32), bathing (33), and transport (47)

**46.0 Transfer from lying**

Includes : difficulty in rising from and lying down on bed

Excludes : difficulties in getting to bed and getting up that are not related to the actual transfer (39.0)

**46.1 Transfer from sitting**

Includes : difficulty in getting in and out of chairs

Excludes : difficulty associated with getting on or off a lavatory (32) or in and out of a car (47.0)

- 46.2 *Standing transfer*  
Includes : difficulty in standing transfer to or from bed associated with manipulative problems
- 46.3 *Reaching bed or chair*  
Includes : difficulty in reaching a bed or chair
- 46.8 *Other*
- 46.9 *Unspecified*
- 47 Transport disability**
- 47.0 *Personal transport*  
Includes : difficulty such as transfer in and out of car or in using other forms of personal transport
- 47.1 *Other vehicles*  
Includes : mounting and dismounting from public transport
- 47.2 *Other difficulty with remote shopping*  
Includes : inaccessibility from location to which transported (such as that vehicles cannot be parked sufficiently close)  
Excludes : neighbourhood shopping (50.0) and lack of availability of transport (which is a handicap)
- 47.7 *Other transport disability*
- 47.8 *Other confining disability*
- 47.9 *Unspecified*

#### OTHER LOCOMOTOR DISABILITIES (48-49)

- 48 Lifting disability**  
Includes : carrying  
Excludes : difficulty in lifting and carrying related only to sustenance disability (50)
- 49 Other locomotor disability**  
Excludes : body movement disabilities (52-57)

## 5 BODY DISPOSITION DISABILITIES

Refer to an individual's ability to execute distinctive activities associated with the disposition of the parts of the body, and including derivative activities such as execution of tasks associated with the individual's domicile

Excludes : dexterity disabilities (6)

### DOMESTIC DISABILITIES (50-51)

#### 50 Subsistence disability

##### 50.0 *Procuring sustenance*

Includes : shopping in immediate neighbourhood

Excludes : remote shopping associated with transport disability (47)

##### 50.1 *Transporting sustenance*

Includes : laying in supplies by transporting to home (such as carrying shopping)

##### 50.2 *Opening containers*

Includes : opening cans

##### 50.3 *Preparing food*

Includes : cutting and chopping

##### 50.4 *Mixing food*

Includes : beating

##### 50.5 *Cooking solids*

Includes : lifting and serving from pots and pans

##### 50.6 *Cooking liquids*

Includes : managing and pouring from containers of hot fluids

##### 50.7 *Serving food*

Includes : carrying trays

##### 50.8 *Catering hygiene*

Includes : washing up utensils after meals

##### 50.9 *Other and unspecified*

#### 51 Household disability

##### 51.0 *Care of bedding*

##### 51.1 *"Smalls" laundry*

Includes : gentle hand washing (such as of small or delicate garments)

- 51.2 ***Bulk laundry***  
Includes : washing large garments and household linen
- 51.3 ***Drying laundry***  
Includes : wringing, hanging out, and spreading out
- 51.4 ***Manual cleaning***  
Includes : wiping, dusting, rubbing, and polishing
- 51.5 ***Assisted cleaning***  
Includes : sweeping and use of floor cleaner (such as vacuum cleaner)
- 51.6 ***Care of dependants***  
Includes : helping children or other dependants with tasks such as feeding and dressing
- 51.8 ***Other***  
Excludes : moving objects (61.3) and reaching or stretching up (53)
- 51.9 ***Unspecified***

#### BODY MOVEMENT DISABILITIES (52 - 57)

Excludes : those classifiable as domestic disabilities (50-51)

- 52 **Retrieval disability**  
Includes : picking up objects from floor, and bending  
Excludes : picking up and carrying small objects (61.3)
- 53 **Reaching disability**  
Includes : reaching or stretching up for objects
- 54 **Other disability in arm function**  
Includes : the ability to push or pull with the upper limbs
- 55 **Kneeling disability**
- 56 **Crouching disability**  
Includes : stooping
- 57 **Other body movement disability**

#### OTHER BODY DISPOSITION DISABILITIES (58-59)

- 58 **Postural disability**  
Includes : difficulty in attaining or maintaining postures (such as disturbance of balance)  
Excludes : those related to limited endurance (71)

**59 Other body disposition disability**

Includes : other difficulty in maintaining appropriate relations  
between different parts of the body

## 6 DEXTERITY DISABILITIES

Refer to adroitness and skill in bodily movements, including manipulative skills and the ability to regulate control mechanisms

Excludes : ability to write or make marks (28)

### DAILY ACTIVITY DISABILITIES (60-61)

#### **60 Environmental modulation disability**

##### **60.0 Security disability**

Includes : operation of latches and other closures (such as door handles), and use of keys

##### **60.1 Ingress disability**

Includes : opening and closing of doors

##### **60.2 Fire**

Includes : kindling fire and striking matches

##### **60.3 Domestic appliances**

Includes : use of taps, pumps, switches, and plugs

##### **60.4 Ventilation**

Includes : opening windows

##### **60.8 Other**

##### **60.9 Unspecified**

#### **61 Other daily activity disability**

##### **61.0 Use of standard (dial) telephone**

##### **61.1 Currency**

Includes : handling money

##### **61.2 Other fine movements**

Includes : winding watches and clocks

##### **61.3 Moving objects**

Includes : picking up and carrying small objects and avoiding dropping objects

Excludes : retrieving objects (52)

##### **61.4 Handling objects**

Includes : managing a newspaper

##### **61.8 Other**

##### **61.9 Unspecified**

**MANUAL ACTIVITY DISABILITIES (62-66)**

Excludes : writing disability (28)

- 62 Fingering disability**  
Includes : ability to manipulate with fingers
- 63 Gripping disability**  
Includes : ability to grasp or grip objects and move them
- 64 Holding disability**  
Includes : ability to immobilize objects by holding them
- 65 Handedness disability**  
Includes : disabled by virtue of being a sinistral in a predominantly dextral culture
- 66 Other manual activity disability**  
Includes : other difficulty in coordination

**OTHER DEXTERITY DISABILITIES (67-69)**

- 67 Foot control disability**  
Includes : ability to use foot control mechanisms
- 68 Other body control disability**  
Includes : ability to use other parts of body to regulate control mechanisms
- 69 Other dexterity disability**

## 7 SITUATIONAL DISABILITIES

Although some of the difficulties incorporated in this section are not strictly disturbances of activity performance (in fact, some could more properly be conceived of as impairments), they have been included here for practical reasons – particularly in regard to reciprocal specification of the environment (see earlier section on the *Consequences of disease*)

### DEPENDENCE AND ENDURANCE DISABILITIES (70-71)

- 70**      **Circumstantial dependence**  
Includes : dependence for continued existence and activity upon life-sustaining equipment or special procedures or care
- 70.1      *Dependent on external mechanical equipment*  
Includes : dependence on any form of external life-saving machine, such as an aspirator, a respirator, and a kidney (dialysis) machine, or any form of electromechanical device for the sustenance or extension of activity potential, such as Possum and related enabling devices
- 70.2      *Dependent on internal devices for life sustenance*  
Includes : cardiac pacemaker
- 70.3      *Dependent on other internal devices*  
Includes : cardiac valve prostheses and joint replacements
- 70.4      *Dependent on organ transplantation*  
Includes : post-transplantation status
- 70.5      *Dependent on other alterations to the internal environment of the body*  
Includes : internal short-circuit operations and existence of artificial orifices  
Excludes : organ removal without functional consequences (such as appendicectomy or cholecystectomy), and artificial orifices related to excretion (30) or feeding (38)
- 70.6      *Dependent on special diet*  
Includes : inability to partake of the meals customary to the individual's culture
- 70.8      *Dependent on other forms of special care*  
Excludes : dependence on the help of another individual (see *Supplementary gradings of disability*)
- 70.9      *Unspecified dependence*



**71 Disability in endurance**71.0 *Disability in sustaining positions*

Includes : sitting and standing

71.1 *Disability in exercise tolerance*71.2 *Disability in other aspects of physical endurance*71.8 *Other disability in endurance*71.9 *Unspecified*

## ENVIRONMENTAL DISABILITIES (72-77)

**72 Disability relating to temperature tolerance**72.0 *Tolerance of cold*72.1 *Tolerance of heat*72.8 *Tolerance of other aspect of ventilation*72.9 *Unspecified***73 Disability relating to tolerance of other climatic features**73.0 *Tolerance of ultraviolet light*

Includes : sunlight

Excludes : intolerance of bright illumination (75.0)

73.1 *Tolerance of humidity*73.2 *Tolerance of extremes of barometric pressure*

Includes : intolerance of pressurization associated with flying

73.9 *Unspecified***74 Disability relating to tolerance of noise****75 Disability relating to tolerance of illumination**75.0 *Tolerance of bright illumination*75.1 *Tolerance of fluctuation in illumination*75.8 *Other*75.9 *Unspecified*

**76 Disability relating to tolerance of work stresses**

Includes : inability to cope with the speed or other aspects of the pressure of work

Excludes : that attributable to occupational role disability (18)

**77 Disability relating to tolerance of other environmental factors**

77.0 *Tolerance of dust*

77.1 *Tolerance of other allergens*

77.2 *Undue susceptibility to chemical agents*

Includes : that associated with liver disease, and that arising from previous exposure to safe limits of toxic chemicals

77.3 *Undue susceptibility to other toxins*

77.4 *Undue susceptibility to ionizing radiation*

Includes : that arising from previous exposure to safe limits of irradiation

77.8 *Tolerance of other environmental factors*

77.9 *Unspecified*

**OTHER SITUATIONAL DISABILITIES (78)****78 Other situational disability**

Includes : generalized activity restrictions arising from such reasons as the individual's being delicate or unduly susceptible to trauma

## 8 PARTICULAR SKILL DISABILITIES

Vocational resettlement calls for assessment of many aspects of the individual's abilities and accomplishments. These include :

- i) behavioural abilities, such as intelligence, drive, motivation (including attitude to rehabilitation), perception, awareness (including ability to see possibilities and limitations), learning ability (including openness to new ideas and learning potential), orientation for shape and space, concentration (including intensity and ability to be sustained), memory (for words, figures, and shapes, and long-term), and thinking (abstract and logical), as well as reaction to criticism, ability to cooperate, and other aspects of social relationships
  
- ii) task fulfilment abilities, such as capacity to plan tasks, problem solving (flexibility and resourcefulness), adaptability, independence in fulfilment, task motivation and interest, capacity to control own work and compare it with that of others, sensorimotor coordination, dexterity (fine and gross), accuracy, tidiness, punctuality, safety behaviour, endurance (both as regards sustaining full-time occupation and in relation to work circumstances, such as fatigue resistance), performance rate (both for repetitive and for complex tasks), and performance quality

Most of these attributes have already been accommodated, as appropriate, in the impairment and disability classifications. However, there is also a need to accommodate particular occupation-related physical and other skills that have not been included elsewhere. This need may vary in different contexts at present, and there is insufficient basis at the moment for development of a subclassification of such skills that might have universal application. Nevertheless this section has been provided in anticipation of these needs, in the hope that preliminary experience in the use of these classifications will indicate the most useful approach. It is hoped that individual users of the disability classification will develop their own tentative subclassifications for this section

## **9 OTHER ACTIVITY RESTRICTIONS**

This section also has been made available so as to provide a means of meeting needs not satisfied in other parts of the classification. Again, it is hoped that individual users of the disability classification will develop their own tentative subclassifications for this section, so as to provide the basis for a more standardized scheme in the future

## SUPPLEMENTARY GRADINGS OF DISABILITY

- Severity* Most people concerned with helping individuals who have a disability usually qualify their assessments with a grading of the severity of restriction in activity performance. Provision has therefore been made for a fourth-digit supplement to disability classification assignments for this purpose
- Outlook* Some users have expressed a wish to be able to codify the outlook for individuals who have a disability. Provision has therefore been made for an optional fifth-digit supplement to disability classification assignments for this purpose
- Coding conventions* The structure of the disability classification is such that it may extend to a three-digit field. In order to avoid ambiguity, therefore, it is recommended that these supplementary gradings always be coded to the fourth and fifth digit positions, even if the classification is only being used at one or two digit levels

## INTERVENTION AND SEVERITY

In everyday life, performance is very rarely an all-or-none characteristic, and most people acknowledge this fact by grading the severity of restriction. It is recommended that grading to the scale categories shown in the next section be recorded as a fourth-digit supplement to disability classification assignments; there are very few disabilities to which the standard scale categories are not applicable

As far as scale categories are concerned, there are four goals for intervention in regard to disability :

- (i) *Disability prevention*, when the individual is able to perform activities unaided and on his own without difficulty
- (ii) *Enhancement*, when the individual is able to perform activities unaided and on his own but only with difficulty
- (iii) *Supplementation*, when the individual is able to perform activities only with aid, including that of others
- (iv) *Substitution*, when the individual cannot perform activities, even with aid

These goals can be illustrated by different levels of disability in seeing. Thus :

- Level (i)        the individual can carry out all visual tasks
- Level (ii)       the individual's vision needs enhancement in order for him to be able to carry out detailed visual tasks without difficulty; enhancement may be accomplished directly, such as by the use of reading glasses, or indirectly by adaptation, either by adjustment of illumination or by complementary assistance such as the use of large print
- Level (iii)      the individual can accomplish gross visual tasks only by supplementing his performance with aid; supplementation may be *direct*, e.g., by the continuous use of appliances such as powerful correcting lenses or by help from a guide dog, or, with other types of physical disability, by the assistance of other people, or *indirect*, e.g., by adjustment or adaptation of the environment (for instance, by use of raised marks on control gear, such as knobs, to allow tactile reinforcement of precision in adjustment)
- Level (iv)       the individual has no useful vision and so is dependent on substitution to accomplish tasks that are customarily mediated by vision; substitution may be accomplished in various ways, such as by radio as a replacement for newspapers as a source of news, by provision of talking books, or by provision of a suitably adapted environment to eliminate hazards that the individual usually avoids by reliance on his vision

At first it might be thought that these four levels could provide the basis for a simple scaling of severity of disability. Certainly the categories have the merit of being fairly easy to define, and hence to ascertain, and such a four-point scale has been used quite widely. However, the categories are too broad to indicate with enough precision the quality of intervention required to improve performance. Moreover, they are unequal in their scope; thus levels i, ii, and iii can all refer to individuals who, under most circumstances, could be regarded as being independent, whereas both levels iii and iv are susceptible to subdivision that displays more sensitively the types of intervention required

**SEVERITY OF DISABILITY**  
(optional fourth-digit supplement)

**Definition**

Severity of disability reflects the degree to which an individual's activity performance is restricted

**Characteristics**

Scale construct : the potential for intervention at the level of the individual to improve functional performance in relation to current status

Includes : indications of the potential to meet some unfulfilled needs in regard to disability

Excludes : severity of underlying impairments, and also the potential to reduce the individual's handicap (disadvantage) status, particularly by social policy and social welfare measures

**Severity scale categories**

0 *Not disabled* (not in categories 1 - 9)

Includes : no disability present (i.e., the individual can perform the activity or sustain the behaviour unaided and on his own without difficulty)

1 *Difficulty in performance* (not in categories 2 - 9)

Includes : difficulty present (i.e., the individual can perform the activity or sustain the behaviour unaided and on his own but only with difficulty)

2 *Aided performance* (not in categories 3 - 9)

Includes : aids and appliances necessary (i.e., the individual can perform the activity only with a physical aid or appliance)

Excludes : assistance by other people (category 3)

3 *Assisted performance* (not in categories 4 - 9)

Includes : the need for a helping hand (i.e., the individual can perform the activity or sustain the behaviour, whether augmented by aids or not, only with some assistance from another person)

4 *Dependent performance* (not in categories 5 - 9)

Includes : complete dependence on the presence of another person (i.e., the individual can perform the activity or sustain the behaviour, but only when someone is with him most of the time)

Excludes : inability (categories 5 and 6)

- 5      *Augmented inability* (not in categories 6 - 9)  
 Includes : activity impossible to achieve other than with the help of another person, the latter needing an aid or appliance to enable him to provide this help (for example, the individual cannot be got out of bed other than by the use of a hoist); behaviour can be sustained only in the presence of another person and in a protected environment
- 6      *Complete inability* (not in categories 8 or 9)  
 Includes : activity or behaviour impossible to achieve or sustain (for example, an individual who is bed-bound is also unable to transfer)
- 8      *Not applicable*  
 Includes : severity grading not applicable to particular disability
- 9      *Severity unspecified*

It can be seen that the scale categories correspond to intervention goals in the following manner :

|                 |                          |
|-----------------|--------------------------|
| Enhancement     | scale category 1         |
| Supplementation | scale categories 2 - 4   |
| Substitution    | scale categories 5 and 6 |

#### **Rules for assignment**

- i      categorize an individual according to his activity performance, taking account of aids, appliances, and assistance needed to permit this level of accomplishment;
- ii     aids and appliances that it is intended to provide or prescribe should not be taken into account – this would then permit use of the scale as a rough measure of what had been accomplished when such aid or appliance was provided;
- iii    if doubt is experienced about the category to which a disability should be assigned, rate it to the less favourable category (i.e., that with a higher number)

### **ASSESSMENT OF OUTLOOK** (optional fifth-digit supplement)

#### **Definition**

Outlook reflects the likely course of the individual's disability status



**Characteristics**

- Scale construct :** the potential for intervention at the level of the individual to improve functional performance in relation to expected future status
- Includes :** indications of the potential to anticipate some needs in regard to disability
- Excludes :** prognosis for underlying impairments, other than to the extent that disability status may correlate closely with the outlook for the impairment  
the potential to reduce the individual's handicap (disadvantage) status, particularly by social policy and social welfare measures

**Outlook scale categories**

- 0 *Not disabled* (not in categories 1 - 9)  
Includes : no disability present
- 1 *Recovery potential* (not in categories 2 - 9)  
Includes : disability present but diminishing, and recovery without ultimate restriction in functional performance expected
- 2 *Improvement potential* (not in categories 3 - 9)  
Includes : disability present but diminishing, though the individual is likely to be left with residual restriction in functional performance
- 3 *Assistance potential* (not in categories 4 - 9)  
Includes : disability in stable or static state, but functional performance could be improved by provision of aids, assistance, or other support
- 4 *Stable disability* (not in categories 5 - 9)  
Includes : disability in stable or static state with no outlook for improvement in functional performance
- 5 *Amelioration potential* (not in categories 6 - 9)  
To ameliorate means to make more bearable  
Includes : disability increasing, but functional performance could be improved by provision of aids, assistance, or other support
- 6 *Deteriorating disability* (not in categories 8 or 9)  
Includes : disability increasing with no outlook for amelioration

8 *Indeterminable outlook*

9 *Outlook unspecified*

**Rules for assignment**

- i categorize an individual according to the outlook for his activity performance (i.e., disability status), and not for the prognosis of the underlying impairments, other than to the extent that disability may correlate closely with the outlook for the impairments, and taking account of aids and appliances, modification or adaptation of his immediate environment, and assistance received from other persons;
- ii aids or adaptations that it is intended to provide or prescribe should not be taken into account — this would then permit use of the scale as a rough measure of what had been accomplished when such an aid or adaptation was provided;  
(Note : the ordination of this scale according to the potential for intervention determines that categories with a potential for assistance or amelioration have lower numbers than the corresponding stable or deteriorating categories — thus provision of assistance or amelioration is likely to lead to reassignment to a category with a higher number, since no further potential for improvement can be assumed)
- iii if doubt is experienced about the category to which a disability should be assigned, rate it to the less favourable category (i.e., that with a higher number)

## GUIDANCE ON ASSIGNMENT

To some extent, disabilities can be conceived of in the first instance as threshold phenomena. Thus, to establish the existence and nature of a disability calls only for a judgement about whether a particular accomplishment can be performed or not. In principle, the assignment of failures in accomplishment to the appropriate categories in the code should not prove to be unduly difficult.

The taxonomic structure of the code resembles that of the ICD in that it is hierarchical, with meaning preserved even if the code is used only in abbreviated form. Once again, the level of detail provided is intended to define the content of classes and to allow specificity for users who desire it. However, the scheme is less exhaustive than the I code, and provision has been made for expansion in response to needs uncovered by further experience of applying the code. Thus the level of detail to be recorded is a matter of choice for the user.

Information about major difficulties is generally likely to have been noted in existing records. Coding whatever has been recorded to the appropriate categories of the D code should therefore not present insuperable difficulties. However, two problems should be acknowledged. First, existing records will usually be vulnerable in regard to under-ascertainment — the degree to which significant disabilities may not have been noted. Secondly, some caution is required in connexion with potential variability related to the method of ascertainment. Thus differences are to be expected between assignments based on clinical assessments, functional testing (including the activities of daily living), or questionnaires.

From now on, it is suggested that the major sections of the D code be used as a check-list that is applied to each individual. This would require that the observer ask himself a series of questions: "Does this person have a behaviour disability, does he have a communication disability, does he have a personal care disability?" and so on, in sequence. Further information on any question answered affirmatively, along the lines of the greater detail contained in the code, could then be elicited.

Having established the presence of particular disabilities, further questions then arise. This occurs because disability represents a failure in accomplishment, so that a gradation in performance is to be expected. Thus assessment of the severity of individual disabilities is called for. Assessment of outlook is also likely to prove helpful. The basis for such assessments has been indicated on the immediately preceding pages, dealing with supplementary

gradings. It is unfortunate that, in attempting retrospective assessment on the basis of existing records, insufficient details of the level of performance may prevent full application of the supplementary gradings.

Two aspects of the D code are likely to command particular attention in the future. First, the proposals in the code contrast fairly markedly with the complexity and exhaustiveness of conventional assessment schedules for the activities of daily living. The difference in approach is based on preliminary reappraisal of goals and methods,<sup>1</sup> and it is hoped that further experience with the code will help resolve the differences in such a way as to promote economy in future assessment effort. Secondly, users have the opportunity to expand the code in order to meet their own needs more satisfactorily. It is hoped that, as requested in the Introduction, such developments will be communicated to the originators of these classifications.

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1. Badley, E.M., Lee, J. & Wood, P.H.N. (1979) *Rheumatology and Rehabilitation*, 18, 105-109.

## SECTION 4

### CLASSIFICATION OF HANDICAPS

#### List of dimensions

- 1 Orientation handicap
- 2 Physical independence handicap
- 3 Mobility handicap
- 4 Occupation handicap
- 5 Social integration handicap
- 6 Economic self-sufficiency handicap
- 7 Other handicap

#### Guidance on assessment

## HANDICAP

*Definition* In the context of health experience, a handicap is a disadvantage for a given individual, resulting from an impairment or a disability, that limits or prevents the fulfilment of a role that is normal (depending on age, sex, and social and cultural factors) for that individual

*Characteristics* Handicap is concerned with the value attached to an individual's situation or experience when it departs from the norm. It is characterized by a discordance between the individual's performance or status and the expectations of the individual himself or of the particular group of which he is a member. Handicap thus represents socialization of an impairment or disability, and as such it reflects the consequences for the individual – cultural, social, economic, and environmental – that stem from the presence of impairment and disability

Disadvantage arises from failure or inability to conform to the expectations or norms of the individual's universe. Handicap thus occurs when there is interference with the ability to sustain what might be designated as "survival roles" (see next page)

*Classification* It is important to recognize that the handicap classification is neither a taxonomy of disadvantage nor a classification of individuals. Rather is it a classification of circumstances in which disabled people are likely to find themselves, circumstances that place such individuals at a disadvantage relative to their peers when viewed from the norms of society

## LIST OF DIMENSIONS OF HANDICAP

### SURVIVAL ROLES

- 1 Orientation handicap
- 2 Physical independence handicap
- 3 Mobility handicap
- 4 Occupation handicap
- 5 Social integration handicap
- 6 Economic self-sufficiency handicap

### OTHER HANDICAPS

- 7 Other handicaps

*Survival roles* The six key dimensions of experience in which competence is expected of the individual have been designated as survival roles. For each of these dimensions the more important array of circumstances that may apply has been scaled. In contrast to the impairment and disability classifications, in which individuals are likely to be identified only in the categories that apply to them, in the handicap classification it is desirable that individuals always be identified on each dimension or survival role. This will provide a profile of their disadvantage status

*Other handicaps* The six major survival roles by no means exhaust the possibilities of disadvantage, although they do cover the major problems. The varied nature of other handicaps precludes scaling, and so provision has been made just for the identification of these difficulties

# 1 ORIENTATION HANDICAP

## Definition

Orientation is the individual's ability to orient himself in relation to his surroundings

## Characteristics

Scale construct : orientation to surroundings, including reciprocation or interaction with surroundings

Includes : reception of signals from surroundings (such as by seeing, listening, smelling, or touching), assimilation of these signals, and expression of response to what is assimilated ; consequences of disabilities of behaviour and communication, and including the planes of seeing, listening, touching, speaking, and assimilation of these functions by the mind

Excludes : response to reception and assimilation of signals from the surroundings manifest as handicaps of personal care (physical independence handicap, 2), evasion of physical hazard (mobility handicap, 3), behaviour in specific situations (occupation handicap, 4), and behaviour towards others (social integration handicap, 5)

## Scale categories

0 *Fully oriented* (not in categories 1-9)

1 *Fully compensated impediment to orientation* (not in categories 2-9)

Includes : continuous use of aids for seeing (e.g., spectacles), listening (e.g., amplification), or extension of touching (e.g., a cane), or continuous use of medication to control behaviour or communication disabilities, with resultant restoration of full orientation

Excludes : aids or medication used intermittently (other handicaps, 7)

2 *Intermittent disturbance of orientation* (not in categories 3-9)

Includes : episodic experiences that interfere with full orientation, such as vertigo, those associated with Menieres disease, diplopia (as may be encountered with multiple sclerosis), intermittent interruption of consciousness (e.g., epilepsy), and certain impediments of speech form (e.g., stuttering)

Excludes : fully corrected or controlled disturbances (category 1)



- 3 *Partially compensated impediment to orientation* (not in categories 4-9)  
 Includes : individuals otherwise classifiable to categories 1 or 2 but who experience disadvantage in some aspect of their lives because the impediment to orientation renders them vulnerable in certain circumstances, such as critical dependence on levels of illumination, for some seeing disabilities; critical dependence on levels of background noise and other competing signals, for listening disabilities and some speaking disabilities (e.g., where speech volume is impaired); and disadvantage attributed to the need for aids or medication (e.g., by virtue of resultant ineligibility to take up certain employments or to drive an automobile — code such instances additionally as curtailed occupation, category 2, of occupation handicap, 4)
- 4 *Moderate impediment to orientation* (not in categories 5-9)  
 Includes : where aids or medication fail to produce satisfactory compensation of the impediment, so that appreciable difficulty in orientation is experienced; or where assistance is required from other people, such as for individuals who are partially sighted, have appreciable hearing loss, have insensitivity to touch, are confused, or have other appreciable impediments
- 5 *Severe impediments to orientation* (not in categories 6-9)  
 Includes : severe behaviour or communication disabilities where substitution is necessary, such as more severe degrees of the states encountered in category 4 (i.e., the individual cannot perform the activity, even with aid, and so is dependent on substitution by other planes of orientation in order to compensate, such as the reliance of the blind on listening or touching), or moderate disorientation
- 6 *Orientation deprivation* (not in categories 7-9)  
 Includes : where there is moderate or severe impediment in more than one plane of orientation, these planes being seeing, listening, touching, and speaking  
 Excludes : disabilities of speech form associated with deafness (assign such individuals solely according to listening status unless speaking disturbance is so severe as to interfere with communication; assign individuals in the latter situation to category 6)

- 7 *Disorientation* (not in categories 8 or 9)  
Includes : inability of the individual to orient himself to his surroundings to the extent that he requires institutional care
- 8 *Unconscious*
- 9 *Unspecified*

**Rules for assignment**

- i occasional difficulty or dependence on help should not preclude assignment to a less disadvantaged category (i.e., that with a lower number);
- ii aids or adaptations that it is intended to provide or prescribe should not be taken into account – this would then permit use of the classification as a rough measure of what had been accomplished when such an aid or adaptation was provided ;
- iii if doubt is experienced about the category to which an individual should be assigned, rate him to the less favourable category (i.e., that with a higher number);
- iv morale is obviously an important factor, but an individual should be assigned according to his actual degree of dependence, rather than to what the assessor thinks he may be capable of

## 2 PHYSICAL INDEPENDENCE HANDICAP

### Definition

Physical independence is the individual's ability to sustain a customarily effective independent existence

### Characteristics

Scale construct : independence in regard to aids and the assistance of others

Includes : self-care and other activities of daily living

Excludes : aids or assistance in orientation (orientation handicap, 1)

### Scale categories

0 *Fully independent* (not in categories 1-9)

Includes : independence in self-care and without dependence on aids, appliances, environmental modification, or the assistance of other people, or dependent only on minor aids not essential to independence (the latter should be identified as other handicaps, 7)

1 *Aided independence* (not in categories 2-9)

Assignment to this category depends on the provision and use of an aid or appliance. Individuals who have been provided with an aid or appliance but do not make use of it, and thus forfeit some of their independence, and individuals living in cultures where suitable aids and appliances are not available, should be assigned to category 3 or 4.

Includes : dependence on the use of aids and appliances, such as an artificial or substitute limb, other prostheses, walking aids, or aids to daily living, as well as controlled excretory difficulty

Excludes : minor aids and appliances not essential to independence, such as artificial dentures or ring pessaries to control prolapse of the womb, and seeing aids (spectacles) provided that the individual would not otherwise be dependent on assistance of the type described in categories 4 - 8; individuals whose lives are assisted or improved by minor aids of this type should be assigned to category 0 and should also be identified, as appropriate, under orientation (1) or other handicaps (7)

2 *Adapted independence* (not in categories 3-9)

Assignment to this category presupposes two conditions. First, that the immediate environment customary to the way of life of the individual and the group of which he is a member creates physical ob-

stacles to independence, e.g., structural or architectural barriers such as ladders or stairs (for the purpose of this category immediate environment shall be interpreted as the dwelling); and, secondly, that the potential to create or provide an alternative environment is available in that culture. For example, a lake dweller encounters obstacles in climbing a ladder to his dwelling, and yet the means for an alternative environment do not exist in that culture

**Includes :** dependence on modification or adaptation of the immediate environment, such as individuals who are dependent on a wheelchair, provided that the individual can get in and out of, operate, and otherwise transfer to and from the chair without assistance from another individual ; individuals who have been rehoused in order to reduce their physical dependence, because of their previous inability to cope with a dwelling of more than one storey ; and individuals who have had structural alterations or special adaptations to their dwellings, such as the provision of a ramp or a lift, or an alteration in the height of working surfaces, etc.

**Excludes :** architectural barriers not related to the individual's dwelling (difficulties in this regard should be assigned to category 3 or 4, as appropriate); individuals who decline an offer of a dwelling with amenities that would reduce their physical dependence, who should be assigned to category 3 or 4

3 *Situational dependence* (not in categories 4-9)

**Includes :** difficulty in meeting personal needs but without being largely dependent on others, such as may arise because aids and appliances or environmental modifications or adaptations are not feasible or not available within the culture in which the individual lives, or, if available, are declined ; difficulty in mobility outside the home that is overcome only with the assistance of other people ; and moderate impediments to orientation that require assistance from other people

4 *Long-interval dependence* (not in categories 5-9)

Long-interval needs are those that arise once every 24 hours or less frequently

**Includes :** dependence on other individuals for meeting long-interval needs such as those identified as subsistence or household disabilities, which relate to the ability to perform tasks necessary for maintenance of acceptable degrees of sustenance, warmth, cleanliness, and security ; need for sheltered or supervised accommodation

- Excludes : culturally determined dependence, such as the customary dependence of an employed male on his spouse (which in this context shall not be regarded as a disadvantage)
- 5 *Short-interval dependence* (not in categories 6-9)  
 Short-interval needs are those that arise every few hours by day  
 Includes : dependence on other individuals for meeting short-interval needs, such as those identified under personal hygiene, feeding, and other personal care disabilities; mobility within the home; transferring to chair or commode; emptying chamber pot, commode, or bucket; stripping beds and washing linen soiled by urine or faeces; and need for residential care in order to be looked after
- 6 *Critical-interval dependence* (not in categories 7-9)  
 Critical-interval needs are those that arise at short and unpredictable intervals by day and which require the continuous availability of help from other persons  
 Includes : dependence on other individuals for meeting critical-interval needs such as going to the toilet, unfastening and removing clothes, using toilet paper, and cleansing ; individuals who are unable to rise from a bed or chair, walk to the toilet unassisted, use it, and return safely without danger of falling ; and individuals with physical frailty or mental instability giving rise to potential hazard ;  
 need for institutional care in order to provide supervision, such as for behaviour that is socially unacceptable
- 7 *Special-care dependence* (not in categories 8 or 9)  
 Special-care needs are those that arise predominantly throughout the day or throughout the night and that give rise to continuous demands for supervision and help (as opposed to the mere availability of such help, category 6)  
 Includes : individuals who need someone to supply most of their personal needs and to care for them as far as customary everyday functions are concerned, or are sufficiently senile, or otherwise mentally impaired, to need a similar order of care, and who as a result require the constant attendance of other people throughout the day; substantial soiling of clothing or bedding by urine or faeces of frequent occurrence other than in response only to physical stress; and individuals who need help with excretory or similar critical functions (such as behaviour) practically every night but who are less dependent by day;  
 need for institutional care in order to provide restraint of behaviour

**8**      *Intensive-care dependence*

Intensive-care needs are those that arise practically every night as well as throughout the day and which as a result require the constant attendance of other people throughout the 24 hours

Includes : individuals who need help with excretory or similar critical functions (such as behaviour) practically every night as well as throughout the day – most individuals in this category need to be fed and dressed, as well as requiring a lot of help during the day with washing and excretory functions, or are incontinent

**9**      *Unspecified***Rules for assignment**

- i      occasional difficulty or dependence on help should not preclude assignment to a less disadvantaged category (i.e., that with a lower number);
- ii     aids or adaptations that it is intended to provide or prescribe should not be taken into account – this would then permit use of the classification as a rough measure of what had been accomplished when such an aid or adaptation was provided ;
- iii    if doubt is experienced about the category to which an individual should be assigned, rate him to the less favourable category (i.e., that with a higher number);
- iv     morale is obviously an important factor, but an individual should be assigned according to his actual degree of dependence, rather than to what the assessor thinks he may be capable of

### 3 MOBILITY HANDICAP

#### **Definition**

Mobility is the individual's ability to move about effectively in his surroundings

#### **Characteristics**

Scale construct : extent of mobility from a reference point, the individual's bed

Includes : the individual's abilities augmented, where appropriate, by prostheses or other physical aids, including a wheelchair (all these should have been identified in categories 1 or 2 of physical independence handicap, 2)

Excludes : mobility attainments with the assistance of other individuals (the latter should be identified as long-interval dependence, category 4 of physical independence handicap, 2)

#### **Scale categories**

0 *Fully mobile* (not in categories 1-9)

1 *Variable restriction of mobility* (not in categories 2-9)

Includes : a bronchitic with winter impairment of exercise tolerance, or a severe asthmatic with intermittent impairment of exercise tolerance, and impairments and disabilities following a fluctuating course, such as mild rheumatoid arthritis or (osteo)arthrosis

2 *Impaired mobility* (not in categories 3-9)

Includes : restriction such that the ability to get around is not interfered with but getting around may take longer, e.g., because seeing disability makes the individual uncertain in getting around, or because of other uncertainty, or, in an urbanized society, because the individual has difficulty but nevertheless is able to cope with public transport under all circumstances

3 *Reduced mobility* (not in categories 4-9)

Includes : reduction such that the ability to get around is curtailed, e.g., because seeing disability interferes with the ability to get around; or curtailment because of uncertainty, frailty, or debility; or disability on severe exertion due to cardiac or respiratory impairment; or, in an urbanized society, inability to cope with public transport under all circumstances; or

- interference with following occupation by virtue of difficulty in getting to and from occupation when this is followed away from the individual's dwelling
- 4 *Neighbourhood restriction* (not in categories 5-9)  
Includes : restriction to immediate neighbourhood of dwelling, such as by disability on moderate exertion owing to cardiac or respiratory impairment
- 5 *Dwelling restriction* (not in categories 6-9)  
Includes : confinement to dwelling such as by severe seeing disability or disability on mild exertion owing to cardiac or respiratory impairment
- 6 *Room restriction* (not in categories 7-9)  
Includes : confinement to room, such as by disability at rest owing to cardiac or respiratory impairment
- 7 *Chair restriction* (not in categories 8 or 9)  
Includes : confinement to chair, such as by disability when recumbent owing to cardiac or respiratory impairment, or by dependence on hoists or similar appliances for getting in and out of bed
- 8 *Total restriction of mobility*  
Includes : bedfast or confined to bed
- 9 *Unspecified*

#### Rules for assignment

- i categorize an individual according to his independent abilities, taking account of aids and appliances and modification or adaptation of his immediate environment, but disregarding his accomplishments with the aid of other persons (thus uncertainty leading to classification to category 3 or 4 may be reduced in the company of other persons; this consequential reduction should nevertheless not be taken into account, but the dependence on other persons should be identified under category 3 or 5, as appropriate, of physical independence handicap, 2);
- ii occasional reduction or restriction of mobility should not preclude assignment to a less disadvantaged category (i.e., that with a lower number);
- iii aids or adaptations that it is intended to provide or prescribe should not be taken into account;
- iv if doubt is experienced about the category to which an individual should be assigned, rate him to the less favourable category (i.e., that with a higher number);
- v an individual should be categorized according to his actual degree of mobility, rather than to what the assessor thinks he may be capable of



- Notes*
- a) Problems may be encountered in selecting categories, such as the choice between categories 2 and 3 in areas where a public transport system is not available. In instances like these the individual should be assigned to the less favourable category, in accordance with Rule iv, because it is only by providing a special vehicle that category 2 mobility can be accomplished. This convention should be applied even if the individual's occupation or way of life does not call for overall mobility; it is only the effort that would be deployed in trying to modify his category that would be influenced by these facts
  - b) The dependence of disadvantage on cultural norms is well illustrated by a problem in urbanized societies. The behaviour of bus drivers in one area might preclude use of public transport by disabled persons in that area, whereas more sympathetic behaviour by bus drivers in another area might allow someone with the same disability to use public transport. This leads to a conflict between reproducibility (a category meaning the same thing in all places) and the ability of the classification to reflect an individual's needs. The handicap classification is intended predominantly for the latter purpose, and only secondarily for transcultural comparisons

## 4 OCCUPATION HANDICAP

### Definition

Occupation is the individual's ability to occupy his time in the manner customary to his sex, age, and culture

### Characteristics

Scale construct : the ability to sustain appropriate occupation of time for the working day

Includes : play or recreation, employment, and the elderly pursuing occupations customary to their age group, which in many cultures includes their assuming a more domestic role and fulfilling this after the upper age for normal employment

Excludes : restriction or loss of the ability to follow an occupation that is not due to an individual's impairment, such as might arise because of changes in employment possibilities

### Scale categories

- 0 *Customarily occupied* (not in categories 1-9)  
Includes : where educational opportunities exist, the ability of a child to attend a normal school; independent of educational opportunities, the ability of a child to participate in the activities customary for his age group; the ability to run a household in the accepted manner; and the ability to discharge the responsibilities customarily expected of a parent bringing up young children
- 1 *Intermittently unoccupied* (not in categories 2-9)  
Includes : intermittent inability to follow customary occupation or leisure-time activities, e.g., because of interference by conditions such as epilepsy, migraine, or allergy, or because of occasional falls (with or without injury) in the elderly
- 2 *Curtailed occupation* (not in categories 3-9)  
Includes : reduced ability to follow customary occupation, such as in children able to attend normal school but who suffer from disabilities that restrict participation in all the activities of the school; individuals who are unable to participate in all the activities associated with their customary occupation or recreation (e.g., "light work"); and individuals experiencing difficulty in running a household or in discharging the responsibilities customarily expected of a parent bringing up young children, although they are able to manage these activities

- 3      *Adjusted occupation* (not in categories 4-9)  
Includes : inability to follow customary occupation, but the individual is able to follow modified or alternative full-time occupation (including modifications to customary occupation because of disability, e.g., alterations at work place or provision of special assistance or aids); alteration of recreations and other leisure activities (e.g., hobbies); need for special help at ordinary school (e.g., because the individual is partially sighted or partially deaf, or because he needs toilet assistance or help with feeding); restriction of career choice because of impairment or disability; necessity to change employment or occupation (including premature retirement – after attaining the customary age of retirement the individual should be reassigned to one of the categories 0-2, as appropriate); and having to make special arrangements to allow continued running of household or looking after children (e.g., by compensatory role adaptation by spouse, by some extra support from social network, by special purchase of labour-saving devices, or by employment of some paid assistance with general duties such as cleaning)
- 4      *Reduced occupation* (not in categories 5-9)  
Includes : limitations on the amount of time the individual is able to devote to his occupation, such as curtailment of recreation and other leisure activities (e.g., because of conditions such as rheumatic heart disease); able to attend school only part-time, or other reduction in amount of regular educational instruction; able to sustain only part-time employment or occupation because of impairment or disability; impaired concentration in the elderly (domestic and parental responsibilities can usually be discharged on a more fluid time scale than other occupations, and this may allow compensation for limitations in the time that can be devoted to them – interference with these activities therefore does not feature in this category)

- 5 *Restricted occupation* (not in categories 6-9)  
Includes : limitations on the type of occupation the individual follows, such as severe restriction of participation in activities customary for the individual's age group; disabilities that preclude a child from attending a normal school (e.g., the need to attend a special establishment for disabled children, where such exists); moderate mental retardation; able to gain employment only under special circumstances (e.g., in a sheltered workshop); has to delegate most of responsibilities for running a household or bringing up children (e.g., by appreciable support from social network or by employment of assistance); and frequent falls in the elderly
- 6 *Confined occupation* (not in categories 7-9)  
Includes : limitations on both the type of occupation the individual follows and the amount of time he devotes to it, such as inability to participate in activities customary for the individual's age group; disabilities that require a child to be resident in an institution for purposes of education (where such exists), or to be educated at home (where this is not customary); severe mental retardation; able to carry out only very limited domestic activities (e.g., those connected with running a household or bringing up children); and impairment of concentration leading to difficulty in sustaining an occupation  
Excludes : residence in an institution by virtue of behavioural maladjustment or the need for restraint (categories 5-8 of physical independence handicap, 2)
- 7 *No occupation* (not in categories 8 or 9)  
Includes : inability to follow occupation because of impairment or disability, such as severe limitation in ability to benefit from educational endeavours (e.g., profound mental retardation); unable to sustain any form of employment; unable to run a household or bring up children; and severe impairment of concentration leading to inability to sustain an occupation

- 8      *Unoccupiable*  
Includes : inability to occupy self in a meaningful manner
- 9      *Unspecified*

**Rules for assignment**

- i      occasional less favourable experience should not preclude assignment to a less disadvantaged category (i.e., that with a lower number);
- ii     if doubt is experienced about the category to which an individual should be assigned, rate him to the less favourable category (i.e., that with a higher number);
- iii    an individual should be categorized according to his actual occupation status, rather than to what the assessor thinks he may be capable of

## 5 SOCIAL INTEGRATION HANDICAP

### Definition

Social integration is the individual's ability to participate in and maintain customary social relationships

### Characteristics

Scale construct : individual's level of contact with a widening circle, from the reference point of self

### Scale categories

- 0 *Socially integrated* (not in categories 1-9)  
Includes : full participation in all customary social relationships
- 1 *Inhibited participation* (not in categories 2-9)  
Includes : individuals in whom the presence of an impairment or disability gives rise to nonspecific disadvantage that may inhibit but not prevent participation in the full range of customary social activities (includes embarrassment, shyness, and other defects of self-image due to disfigurement or other impairments and disabilities); and certain mild personality impairments or behaviour disabilities
- 2 *Restricted participation* (not in categories 3-9)  
Includes : individuals who do not participate in the full range of customary social activities, such as those with impairments or disabilities that interfere with opportunities for marriage; curtailment of sexual activity because of impairment or disability; and certain personality impairments or behaviour disabilities  
Excludes : impairments and disabilities that do not interfere with social relationships, such as prolapse of the womb controlled by a ring pessary that does not give rise to appreciable curtailment of sexual activity
- 3 *Diminished participation* (not in categories 4-9)  
Includes : individuals who are unable to relate to casual acquaintances, so that social relationships are confined to primary and secondary contacts such as family, friends, neighbours, and colleagues: and individuals who are retarded in physical, psychological, or social development but in whom developmental improvement is continuing

- 4     *Impoverished relationships* (not in categories 5-9)  
Includes : individuals who have difficulty in sustaining relations with secondary contacts such as friends, neighbours, and colleagues; and individuals who are retarded in physical, psychological, or social development and in whom there is no evidence that developmental improvement is occurring
- 5     *Reduced relationships* (not in categories 6-9)  
Includes : individuals who are able to relate only to significant others, such as parent or spouse; general withdrawal or disengagement by an elderly person; and moderately severe behaviour disorders
- 6     *Disturbed relationships* (not in categories 7-9)  
Includes : individuals who have difficulty in relating to significant others; and severe behaviour disorders
- 7     *Alienated* (not in categories 8 or 9)  
Includes : individuals who are unable to relate to other people; impairment or disability preventing the development of normal social relationships; and individuals in whom behavioural maladjustment prevents coexistence and integration in the customary home and family; and elderly persons following the loss of family and friends and with reduced capacity to enter into new relationships
- 8     *Socially isolated*  
Includes : individuals whose capacity for social relationships is indeterminable because of their isolated situation, such as those admitted to institutional care because of lack of social support in the home or community (e.g., children in an orphanage or otherwise abandoned, and residents in an old people's home)
- 9     *Unspecified*

**Rules for assignment**

- i     occasional reduction in social integration should not preclude assignment to a less disadvantaged category (i.e., that with a lower number);
- ii    if doubt is experienced about the category to which the individual should be assigned, rate him to the less favourable category (i.e., a higher number);

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- iii an individual should be categorized according to his actual degree of social integration, rather than to what the assessor thinks he may be capable of



## 6 ECONOMIC SELF-SUFFICIENCY HANDICAP

### Definition

Economic self-sufficiency is the individual's ability to sustain customary socioeconomic activity and independence

### Characteristics

Scale construct : fundamentally related to economic self-sufficiency, from the reference point of zero economic resources, but, unlike with the other handicap scales, the construct has been extended so as to include possession or command of an unusual abundance of resources; the justification for this extension is the potential that abundant resources provide for relieving or ameliorating disadvantage in other dimensions

Includes : the individual's self-sufficiency in regard to obligations to sustain others, such as members of the family; economic self-sufficiency sustained by virtue of any compensation or standard disability, invalidity, or retirement pension that the individual receives or to which he may be entitled, but excluding any supplementary allowances or benefits to which the individual's poverty may entitle him; economic self-sufficiency by virtue of income (earned or otherwise) or material possessions such as natural resources, livestock, or crops; and poverty resulting from or exacerbated by impairment or disability

Excludes : economic deprivation due to factors other than impairment or disability

### Scale categories

0 *Wealthy* (not in categories 1-9)

Includes : individuals in possession of resources considerably in excess of those available to the majority of the population of which the individual forms a part

1 *Comfortably off* (not in categories 2-9)

Includes : individuals in possession of resources sufficiently in excess of their requirements for sustaining their accustomed style of living that the additional resource expenditure incurred in attempts to ameliorate handicap and disability can be accommodated without appreciable sacrifice

- 2 *Fully self-sufficient* (not in categories 3-9)  
Includes : economic self-sufficiency without support from or dependence on financial or material aid from other individuals or the community (including the state, but compensation or standard disability, invalidity, or retirement pensions shall be regarded as income entitlement rather than aid in this context) and such that the burden of attempts to ameliorate handicap and disability can be accommodated without appreciable deprivation
- 3 *Adjusted self-sufficiency* (not in categories 4-9)  
Includes : individuals who, although economically self-sufficient, have suffered a reduction in economic well-being when compared with status before impairment or disability developed or that expected if the individual were not impaired or disabled, such as those who have experienced lower economic reward as a consequence of having had to change their occupation, or those who have incurred extra expenses because of their disability and to such a degree as to lead to appreciable deprivation
- 4 *Precariously self-sufficient* (not in categories (5-9)  
Includes : individuals who, following a reduction in economic well-being, remain self-sufficient only by virtue of appreciable support from or dependence on financial or material aid from other individuals or the community (including the state, such as an allowance or benefit supplementary to the customary provisions for disability or retirement pensions to which they are entitled), and who in the process may jeopardize their family's self-sufficiency or cause other family members to be deprived
- 5 *Economically deprived* (not in categories 6-9)  
Includes : individuals who economically are only partially self-sufficient because their income or possessions or financial or material aid from other individuals or the community meets only part of their needs, and who in the process may reduce their family to a subsistence level of existence

- 6 *Impoverished* (not in categories 7-9)  
 Includes : Individuals who economically are not self-sufficient by virtue of being totally dependent for financial or material aid on the goodwill of other individuals or the community, because of ineligibility for or non-availability of disablement or retirement pensions or supplementary benefits, and who in the process may reduce their family to existence below subsistence level; or individuals residing in institutions for the indigent
- 7 *Destitute* (not in categories 8 or 9)  
 Includes : individuals who economically are not self-sufficient and to whom support from others is not available, so that their disability status is further aggravated
- 8 *Economically inactive*  
 Includes : individuals without family support who are unable to undertake economic activity by virtue of limited competence (such as that resulting from mental retardation) or tender years (such as a child that has not passed the customary age at which he normally becomes independent of the family for complete economic or material support)  
 Excludes : those with family support and those often regarded as being economically inactive by virtue of their "dependent" status, such as a spouse or other cohabitant (assign to one of the categories 0-7, as appropriate, according to economic self-sufficiency of family or head of family)
- 9 *Unspecified*

#### Rules for assignment

- i categorize an individual according to the economic self-sufficiency of his family, so that dependent status is not taken into account;
- ii occasional reduction in economic self-sufficiency should not preclude assignment to a less disadvantaged category (i.e., that with a lower number);

- iii pensions or supplementary benefits that it is intended to provide or prescribe should not be taken into account;
- iv if doubt is experienced about the category to which an individual should be assigned, rate him to the less favourable category (i.e., that with a higher number)

## 7 OTHER HANDICAPS

### **Definition**

Other circumstances that may give rise to disadvantage

### **Characteristics**

Excludes :           disadvantages identified elsewhere in the handicap classification

### **Categories**

- 0       *Not subject to disadvantage*  
Includes : impairments or disabilities not giving rise to appreciable disadvantage, such as some chronic diseases that do not appreciably interfere with everyday life
- 1       *Minor disadvantage*  
Includes : the need to use minor aids that do not appreciably interfere with everyday life, such as dentures, reading glasses, or a ring pessary to control uterine prolapse; intermittent use of aids or medication to control disability
- 2       *Nonspecific disadvantage*  
Includes : impairments or disabilities that give rise to general or nonspecific disadvantage, such as coeliac disease or the state of being delicate
- 3       *Specific disadvantage*  
Includes : reduction of the quality of life as a result of specific disadvantage not elsewhere identified in the handicap classification
- 9       *Unspecified disadvantage*

## GUIDANCE ON ASSESSMENT

The structure of the H code is radically different from that of all other ICD-related classifications. Thus the codes are not hierarchical in the customarily accepted sense, and abbreviation is not really acceptable. What is called for is that every individual should be categorized according to each dimension of the H code, the latter relating to various circumstances in which disabled people are likely to find themselves. As a result of these considerations, the difficulties in applying the H code relate not to assignment, but to assessment of the individual's status in regard to each dimension of handicap. However, the basis for such assessments has been indicated under each of the dimensions.

For retrospective application to existing records, the major difficulty is likely to be incomplete information. Nevertheless, as noted in the Introduction, the orientation of whatever information may be available to the dimensions of the H code can still be very instructive.

From now on, it is hoped that information will be gathered so as to permit assessment in each dimension of the code. The main aspect likely to command attention in the future relates to the development of assessment schedules. These are likely to be based on a questionnaire approach, so that problems with language will loom large, and so it will not be easy to develop instruments capable of transcultural application.

